New York State Bar Association



One Elk Street, Albany, New York 12207 • 518/463-3200 • http://www.nysba.org

Memorandum in Support of proposed amendment to the Statement of Client's Rights and Responsibilities in a Domestic Relations Matter

FAMILY LAW SECTION

FLS # 6 August 8, 2018

The Administrative Board of the Courts has requested comment on a proposed amendment to the Statement of Client's Rights and Responsibilities (SCR&R) in a Domestic Relations Matter required to be provided pursuant to section 1400.2 of the Rules of the Appellate Division. A copy of the proposed amendment can be found at the following link: www.nycourts.gov/rules/comments/PDF/Part125.pdf

THE FAMILY LAW SECTION SUPPORTS THESE RULE CHANGES

The proposed amendment includes various additions to the SCR&R, the below list not being exhaustive:

- Language providing that clients "are responsible" for communicating "honestly, civilly and respectfully" with their attorneys.
- Language requiring clients to read the retainer agreement before signing it along with asking the attorney any questions about the retainer agreement.
- Language reminding the client that the retainer deposit may not be sufficient to cover all the time that the attorney spends on his or her case.
- Language explaining that, if the client's conduct is found to be frivolous or meant to intentionally delay the case, he or she could be sanctioned.
- Language informing the client that his or her attorney has the right to send written communications to the client if the attorney disagrees with how the client wants his or her case handled.
- Language informing the client that in some cases, the attorney may seek a retaining lien on the file as a security.
- Language explaining that even if the Court orders the other party to pay the client's fees, if the other party fails to do so, then the client is still responsible for the fees owed to the attorney and any experts.

• Language stating that if the client expects the attorney to prepare and file documents related to the transfer of title (e.g., house, cooperative apartment), then the retainer agreement must specify same. The proposed amendment also reminds the client that an agreement or court order directing the transfer of title does not, in fact, constitute a transfer of title for a house or cooperative apartment.

The proposed amendment is useful and productive. While the SCR&R may appear to be *pro forma*, its materiality in matrimonial matters should not be understated. The amendment serves the twofold purpose of (1) further educating clients to a greater degree regarding their rights and responsibilities while a case is pending, and (2) reminding counsel of the importance of understanding the client's expectations from the outset, and ensuring that the retainer agreement reflects such understanding accordingly.

Based upon the foregoing, the Family Law Section **SUPPORTS** the proposed amendment of rules relating to the SCR&R.

From: Benjamin G. Johns, Esq. <benjamin.johns.esq@gmail.com>

Sent: Thursday, September 20, 2018 2:10 PM

To: rulecomments

Subject: Comment on Proposed Amendment to the Statement of Client's Rights and

Responsibilities in Domestic Relations Case, Et. al.

I feel that all of these notices (referring back to the criminal notices now given in court to defendants and prosecutors), as well as the above proposed statement, not only infringe on the attorney's relationship with the client, but also seem to supplant good practice. It is our profession to know these things and advise our clients accordingly. Furthermore, some aspects of the notice(s) are not easily understood by the lay person and can lead to unnecessary conflict even where an attorney is discharging all of their obligations properly. While I support the principle that a client has a right to know and understand these things, they should not supplant good practice and a good retainer agreement.

Just let me do my job and stop generating forms for every case. Sanction those lawyers where it comes to light their clients are not properly informed or have been the subject of financial misconduct.

Ben

Benjamin G. Johns Attorney and Counselor at Law 200 Market Street, No. 275, Potsdam, NY 13676-1217 Phone: (315) 314-8105 Facsimile: (315) 849-2161*

^{*}Service by facsimile or email not accepted absent affirmative consent in advance.