



NEW YORK STATE
Unified Court System

BUDGET

FISCAL YEAR
2020–2021

JANET DIFIORE

CHIEF JUDGE

LAWRENCE K. MARKS

CHIEF ADMINISTRATIVE JUDGE

JOHN W. MCCONNELL

EXECUTIVE DIRECTOR

NANCY J. BARRY

CHIEF OF OPERATIONS

MAUREEN HIGGINS MCALARY

BUDGET DIRECTOR

State of New York
Unified Court System



25 Beaver Street
New York, N.Y. 10004
(212) 428-2100

Lawrence K. Marks
Chief Administrative Judge

November 29, 2019

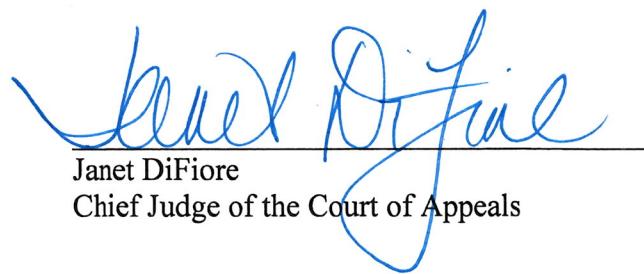
To:	Honorable Andrew M. Cuomo	Honorable Carl E. Heastie
	Honorable Andrea Stewart-Cousins	Honorable Brian M. Kolb
	Honorable John J. Flanagan	Honorable Helene E. Weinstein
	Honorable Liz Krueger	Honorable William Barclay
	Honorable James Seward	Honorable Jeffrey Dinowitz
	Honorable Brad Hoylman	Honorable Anthony Palumbo
	Honorable Thomas O'Mara	
From:	Lawrence K. Marks <i>LKM</i>	

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2020. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2019.

CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2020 and that they have been approved by the Court of Appeals.



Janet DiFiore
Chief Judge of the Court of Appeals



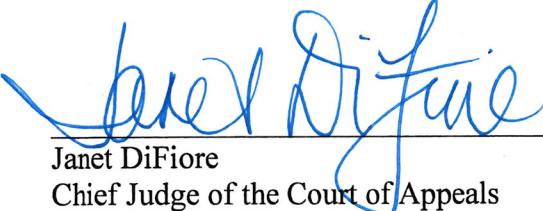
Attest: 
Clerk of the Court of Appeals

Date: 11/19/19

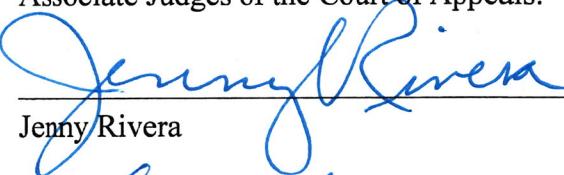
COURT OF APPEALS APPROVAL

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

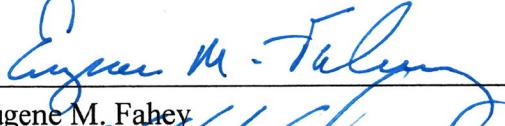
Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2020.


Janet DiFiore
Chief Judge of the Court of Appeals

Associate Judges of the Court of Appeals:


Jenny Rivera


Leslie E. Stein


Eugene M. Fahey


Michael J. Garcia


Rowan D. Wilson


Paul G. Feinman



Attest: 
Clerk of the Court of Appeals

Date: 11/19/19

2020-2021 JUDICIARY BUDGET

Table of Contents

	<u>Page</u>
<u>Section 1: Introduction</u>	
Introduction.....	i
Judiciary Budget Request	
All Funds Summary Tables	xiii
Judiciary Appropriation Bill	Bill Copy 1-15
<u>Section 2 - Part I: 2020-2021 Judiciary Budget Request</u>	
Overview.....	1
Courts of Original Jurisdiction.....	5
Supreme and County Courts	18
Family Courts.....	22
Surrogate's Courts	25
Multi-Bench Courts	29
City and District Courts	33
New York City Housing Court	37
Community Courts.....	40
Drug Treatment Courts	43
Court of Claims.....	46
Jury Operations	49
New York City County Clerks (Non-Jury Operations)	52
Supreme and County Court Law Libraries	55
Alternative Dispute Resolution and Court Improvement Programs	58
Court Support Services	61
Town and Village - State Operations.....	67

Table of Contents – Continued

Public Safety	70
Maintenance Undistributed.....	76
Trial Court Administration	84
Court of Appeals	90
Appellate Court Operations.....	94
Appellate Auxiliary Operations.....	99
Assigned Counsel.....	109
Attorney for the Child.....	112
Mental Hygiene Legal Service.....	117
Candidate Examination.....	120
Candidate Fitness	124
Attorney Discipline.....	127
Administration and General Support	130
Lawyers' Fund for Client Protection	136

Section 2 - Part II: Aid to Localities

Aid to Localities.....	139
Court Facilities Incentive Aid.....	142
Justice Court Assistance	145
Aid to Localities Suballocation	148
Indigent Criminal Defense	151
Interest on Lawyer Account Fund.....	154

Section 2 - Part III: Capital Projects

Capital Projects.....	157
------------------------------	------------

**STATE OF NEW YORK
JUDICIARY BUDGET**

Fiscal Year
2020-21

Introduction

**S
E
C
T
I
O
N
1**

JUDICIARY BUDGET 2020-2021 BUDGET REQUEST

INTRODUCTION

Pursuant to Article VII, Section 1 of the State Constitution, the Judiciary respectfully submits the following itemized estimate of its financial needs for Fiscal Year 2020-2021. This request seeks \$2.36 billion for the State Operations portion of the Judiciary budget, which represents an increase of \$45.9 million, or two percent, over current year cash funding. New York State's Unified Court System (UCS) is among the largest, busiest and most complex in the country, consisting of twelve distinct State-paid trial and appellate courts, employing over 1,300 state-paid judges and 15,000 nonjudicial staff in more than 300 locations around the State, and receiving more than 3 million filings a year. This budget request seeks the funds that will enable the courts to fulfill their mission to deliver justice in a manner that reflects the full measure of New York's commitment to a just society under the rule of law.

The Excellence Initiative

At her investiture in February 2016, Chief Judge Janet DiFiore established as the court system's highest management priority the Excellence Initiative – a comprehensive and sustained effort to achieve operational excellence by speeding case management, eliminating backlogs and delays, increasing judicial productivity, and providing better quality services to lawyers and litigants. The Initiative was designed to be an objective, data-driven internal assessment of the court system's performance of its core obligation to fairly and promptly resolve the millions of matters filed in the New York State courts every year. It entailed critical study of every practice, procedure and system in place to process caseloads; identification of the causes of backlog and inefficiency; assembly of a strong leadership team; appropriate training in management skills and techniques – and relentless, repeated, and candid self-examination, data collection, and performance measurement.

As a result of this Initiative, and the change in culture to which it gave rise, the court system has made great strides over the last 3 years in reducing backlogs, improving disposition rates and times, and providing improved justice services. Backlogs have been cut in half all across the State, and eliminated entirely in many places. Behind the statistics lie a range of beneficial consequences: quicker resolution of disputes, fewer court appearances, cost and fee savings, and a reduction in the many adverse intangible consequences of lengthy involvement in any legal proceedings.

In short, the outstanding work of judges and court staff, together with the cooperation of the bar, has been highly successful in reducing the court system's case backlogs in all parts of the State. Of course, more work remains to be done, and the focus on prompt adjudication will continue. Critical self-examination, and commitment to the continued improvement in the speed and efficiency of court operations, will remain the core management principles of the Judicial Branch.

Alternative Dispute Resolution – Presumptive Early ADR

No matter how effective courts may be in resolving disputes through the litigation process, the public is best served by a court system that provides broad opportunity to avoid the time and expense of litigation through fair and effective alternatives. In 2019, at the direction of the Chief Judge, the court system began a statewide program of presumptive alternative dispute resolution (ADR) at the earliest point in civil litigation. The goals are to reduce the high cost of civil litigation and further reduce court congestion by resolving more cases through referral to early ADR, reserving limited court resources for those cases that require the full litigation process. ADR – and especially early mediation – has a proven track record in resolving civil cases before parties have expended substantial time and resources in the formal litigation process. Moreover, even when ADR does not produce a final resolution, it usually narrows the disputed issues, ultimately speeding resolution of the case and reducing litigation costs.

The program calls for the development and restructuring of court-sponsored ADR plans in each judicial district throughout the State. It further provides for the integration and coordination of arbitration, mediation, and other resolution programs of both public and private providers, especially community dispute resolution centers. The program entails a commitment of all available resources – judges, court staff, judicial hearing officers, volunteer mediators, and others – and builds upon the extensive expansion of local dispute resolution programs addressed in prior UCS annual budget reports.

Court Simplification and Consolidation

In addition to the ongoing commitment to the Excellence Initiative, and the improvement of the litigation process through presumptive early ADR, Chief Judge DiFiore announced a third major UCS operational initiative for fiscal 2020-2021 – the consolidation and simplification of the trial court structure of the Unified Court System. That initiative is based on an irrefutable proposition: New York’s structure of 11 state-paid trial courts, with particular and sometimes overlapping subject matter jurisdiction, confuses litigants, wastes resources, increases litigation costs, undermines access to justice, and frustrates achievement of the goal of the Excellence Initiative to resolve cases as expeditiously as possible. Needless complexity in court structure makes the court process more expensive and less efficient; requires litigants and counsel to appear excessively and unnecessarily in related matters; limits access to justice in our courts by the economically disadvantaged and underserved; and hampers court operations in numerous other aspects.

For decades, the UCS has operated within these parameters to provide effective and innovative court services to the public. Where jurisdictional limitations posed barriers to the joint resolution of matters entwining criminal and family law, the UCS established integrated domestic violence courts and parts, and cross-designated judges for service in multiple courts, allowing such resolution. Where problems of mental health, drug addition, human trafficking, or the special trauma faced by military veterans could not be addressed effectively in a traditional criminal law setting, the UCS established specialty courts to provide judicial expertise and appropriate resources to defendants and other parties. And the court system’s key technological programs in recent

years – electronic filing, statewide trial court case management systems, statewide records digitization – have helped to mitigate the effects of these anachronistic divisions.

Yet such operational remedies are by necessity ad hoc and, even when effectively employed, solve only part of the problem caused by a fractured court structure. The simplification proposed by the Chief Judge – consolidating Supreme Court, the Court of Claims, County Court, Family Court and Surrogate’s Court into a single Supreme Court, and the lower courts of limited jurisdiction into a single Municipal Court – will bring substantial clarity, transparency and simplicity to this complex system, greatly enhance UCS’s capacity to maximize efficiencies and modernize operations statewide, and substantially facilitate the courts’ ability to respond creatively and effectively to the changing needs of the people we serve. If ultimately adopted and approved as a ballot proposition, court consolidation will have a profound effect upon the operations of the Judiciary that underlie this budget request.

Continued Development and Expansion of Specialized Courts

The UCS has long been a national leader in the creation of specialized courts that marshal expertise and resources necessary to address a range of societal problems and issues – mental health, drug abuse, human trafficking, veterans’ concerns, and others. New York courts currently have more than 300 such specialized courts and parts statewide. For example, opioid courts, initiated two years ago in Buffalo City Court, Bronx Criminal Court and Suffolk County District Court, may now be found in 19 locations around the State, with an additional 18 courts under development. Opioid courts address the acute need for a rapid criminal justice response to the opioid crisis, bringing specialized provider services to those in need of treatment for their addiction and screening defendants at risk of overdose for immediate access to health services. The 2020-21 budget proposal calls for continued expansion of the innovative work of these and other specialty courts.

Ensuring Access to Justice

Providing meaningful access to the courts to all persons, without regard to economic status, education, disability, or language proficiency, remains a matter of paramount importance in our justice system and to the Excellence Initiative. Toward this goal, the New York courts have undertaken a wide range of actions, including:

- **Judiciary Civil Legal Services Grants:** With the support of the Governor and the Legislature, the Judiciary Civil Legal Services (JCLS) grants program provides funding to 78 civil legal services organizations serving low-income New Yorkers in every county of the state in matters involving the essentials of life — legal problems in the areas of housing (including evictions, foreclosures and homelessness), family matters (including domestic violence, children and family stability), access to health care and education, and subsistence income (including wages, disability, veterans and other benefits). Last year, JCLS providers reported handling 547,392 cases benefitting more than 2.5 million New Yorkers. For example, the Legal Aid Society (NYC) reported more than 78,000 cases

handled that included evictions, foreclosures, domestic violence, employment, healthcare, and subsistence income, while the Legal Aid Society of Northeastern New York provided a broad range of preventative legal advice and information – in housing, consumer debt, family, domestic violence, and public benefits matters – to help low income residents of northeastern New York meet their essential needs. Our proposed budget includes \$85 million for the continued funding of these crucial civil legal services, in addition to a \$15 million suballocation for the Interest on Lawyer Account (IOLA) to further support civil legal service organizations.

- Strategic Plan for Bridging the Justice Gap: The JCLS grants program, in conjunction with a broad range of other efforts by the New York courts, has made significant progress in ensuring access to justice.¹ Yet there is much more to be done, as many New Yorkers represent themselves in legal proceedings in which their homes or other essentials of life are at risk. In 2017, the Chief Judge's Permanent Commission on Access to Justice, supported by a grant from the Public Welfare Foundation and working with the National Center for State Courts, developed a strategic plan to eliminate the most salient ongoing features of the “justice gap.”² That plan proposes a broad array of statewide and local initiatives including more effective assistance of counsel, the elimination of barriers faced by unrepresented litigants when navigating the courts, the expansion of help centers and the development of training modules for judges and court staff on issues of cultural competency. In implementing the Commission’s recommendations, the UCS has established access to justice committees in judicial districts throughout the State, and has commenced a wide array of local programs, including establishing a remote help center in Chautauqua County Family Court; developing a town and village court online records access pilot in several courts on Monroe County; and developing community law day events, with legal clinics, in Nassau and Schenectady Counties. Numerous initiatives are also underway in New York City.
- Pay Parity for NYC Attorney for the Child Providers: The City of New York recently enacted a budget that provides for the phase-in of a salary increase for criminal defense providers sufficient to establish salary parity with New York City assistant district attorneys and Corporation Counsel attorneys. Because those providers participate in the Attorney for the Child program funded by contracts with the court system, the UCS proposed budget includes \$2 million to address this parity issue.
- The Center for Court Innovation: For more than two decades, the Center for Court Innovation (CCI) has worked in close coordination with the Unified Court System to develop and manage a host of innovative and important court programs: community courts in the Bronx, Harlem, Red Hook and elsewhere; drug court training and technical assistance, storefront legal information providers, youth justice programs, and a host of others. In addition, CCI has provided crucial expertise in monitoring and testing the effects of cutting-edge court programs, assuring that these projects yield appropriate returns on

¹ The New York court system’s numerous Access to Justice programs are set forth on the web site of our Office of Justice Initiatives, at nycourts.gov/ip/nya2j/index.shtml.

² The Plan can be accessed at <http://ww2.nycourts.gov/sites/default/files/document/files/2018-04/JFA-Report-122217.pdf>.

investment of public resources. In recognition of this important relationship, we have included a specific appropriation (\$9.7 million) for CCI programs in this year's budget.

Language Access

Building upon the issuance two years ago of a strategic plan for ensuring language access, the UCS has continued its commitment that all persons may seek justice in New York's courts despite limited English proficiency.

- Interpretive Services: In 2019 alone, the UCS has provided interpreters for 116 different languages, in more than 80,000 court appearances, and furnished remote access capability when a qualified interpreter was not available in person. In addition, the court system has expanded access to telephonic interpreters for communications outside of the courtroom (at Help Centers, information windows, and other court locations). Special staff interpreter training initiatives have been developed for domestic violence and sexual assault cases, and the court system has continued to broaden its efforts at qualified interpreter recruitment.
- Remote Video Interpreters: To help meet the needs of deaf or hard of hearing court users, the UCS Office of Language Access has commenced a pilot program using video remote interpreting to increase the availability of services in American Sign Language.
- Bilingual Orders: The use of bilingual orders of protection continues to expand, with more than 70,000 being issued by the Family, Criminal and Supreme (matrimonial) courts. Bilingual orders are currently available in Spanish, Chinese, Russian and Arabic.
- Translation Services: The Office of Language Access continues to assist with the translation of documents and information, including DIY forms, items on the nycourts.gov website, signage and information cards in all courts and offices. A UCS Committee on Translation has been created to review and prioritize areas where translated documents or signage are needed.

Access for People with Disabilities

The Judiciary continues to take steps to ensure that no one is denied meaningful access to the courts because of a disability. Earlier this year, at the recommendation of the Chief Judge's Advisory Committee on Access for People with Disabilities, the UCS appointed a full-time Statewide Americans with Disabilities Act Coordinator to assist the courts in providing reasonable accommodations and ensure that court programs, services and activities are developed in a manner that ensures equal access for all. Also at the Committee's recommendation, the court system is developing an on-line form for requesting disability accommodations for statewide use, streamlining internal procedures for responding to accommodation requests, and developing additional educational and training resources for judicial and non-judicial personnel.

Criminal Justice Reform

The UCS has long supported criminal justice reform in the State. The far-reaching reforms of New York State's criminal justice system taking effect on January 1, 2020 – including limiting use of cash bail and pretrial detention, overhaul of rules governing criminal discovery, strengthening of speedy-trial measures, attention to issues of domestic violence, and other provisions – will have a significant impact upon court workloads, operations and costs.

Bail reform – the elimination of monetary bail for all but those charged with the most serious offenses – is a case in point. In lieu of setting bail, judges will be required henceforth to issue securing orders requiring either release on recognizance or, where a defendant poses a risk of flight to avoid prosecution, release on non-monetary conditions. Arraignment procedures will be more intensive, as judges seek out the least restrictive non-monetary conditions, provide a decision in writing or on the record supporting their determinations, and reassess those conditions at every subsequent appearance. And before a court may revoke a securing order, it must conduct a hearing at which it receives relevant evidence and where the defendant may cross-examine witnesses and present evidence.

Criminal discovery reform poses similar challenges. Going forward, courts will be asked to issue numerous discovery orders in criminal matters – for preservation of evidence, access to premises, discretionary discovery, discovery compliance, and a host of similar issues – that will require hearing and argument at every stage. Trial courts will be asked to issue protective orders that will be subject to appellate review. These and other new discovery procedures will impact the length and timing of dispositions, and may have substantial consequences for judicial caseloads.

Likewise, speedy trial reform will require courts to make on-the-record inquiries as to the prosecutor's actual state of readiness, including inquiry about the prosecutor's certificate of discovery compliance; the new statute also promotes rulings at each adjournment on whether the adjournment is chargeable to the defense or prosecutor; these changes will necessarily require more colloquy at every appearance and significantly increase the work of court personnel. And because oral motions to release on speedy trial grounds are now permitted without prior notice to the court or prosecutor, and with the added requirement of expedited hearings on these motions, one may anticipate increased delays in ongoing proceedings while the release motions are determined and an adequate record for appeal can be made.

These are but a handful of the many operational consequences the far-reaching criminal justice reforms of April 2019 will have upon the court system. The ultimate fiscal consequences of those reforms are uncertain.

- Defendant Notification: New York is now the first state in the nation to require courts to issue notifications to defendants by text, phone, email, or first-class mail (according to the defendant's preference) about every upcoming appearance date. With over four million defendant appearances in our courts each year, this task is formidable. Although the Legislature has provided that the court may delegate this task to a local pretrial services agency, the UCS has agreed to refrain from placing this burden on local agencies and will instead process each of these notifications for all courts outside New

York City. This budget would provide \$2 million for contractual services for such notice outside New York City; additional funds will be required for operations within the City.

- Extreme Risk Protection Orders: New York recently adopted a “red flag law” that authorizes qualified petitioners to apply, at any time, for a protection order that will prevent a person determined to be a danger to self or others from purchasing a firearm or possess weapons. Whether a judge grants or denies the application for an extreme risk protection order, the court must adjourn the matter for a hearing to determine whether a final risk protection order should be issued. Handling these critical matters – often outside of normal court hours – presents another instance of novel use of significant court resources.
- Off-Hours Arraignment Parts: In 2019, the court system continued its development and expansion of centralized off-hours arraignment parts throughout the State (see, L. 2016, c. 492). A centralized part allows law enforcement to bring defendants to be arraigned regardless of where in a county they are arrested, maximizing the effective use of the limited number of lawyers available in many communities to provide legal representation for all criminal defendants at their arraignments. Each part requires prior extended consultation with all stakeholders, including local government officials, the State Magistrates Association and the criminal defense bar. Currently, twelve such parts are operational around the State. Our proposed budget anticipates the continued expansion of these programs to an additional six counties, and requests \$1.5 million to support this expansion, an increase of \$500,000 over the 2019-20 enacted budget.

Technology

The development of cutting-edge technology for court purposes continues to be among the most exciting and cost-effective uses of UCS resources. For more than two decades, the court system’s innovative Division of Technology has played a crucial role in ensuring courts and court users have the tools to handle the challenges of the ever-changing legal landscape in New York State. The following automation projects are but a sample of the dozens currently underway to fulfill this mission:

- Courtroom Modernization: Designed to facilitate the presentation of evidence at trials on various technology platforms in a cost-effective manner, as well as to upgrade courtrooms in a manner that ensures optimal use of technology for litigants and the public, the UCS Courtroom Modernization Initiative (CMI) combines sound enhancement, assistive listening, audioconferencing, video conferencing and Wi-Fi in an integrated and standardized touchscreen format that can be employed seamlessly and economically throughout the State. This program expanded significantly in 2019, and we anticipate that by year’s end: wireless internet access will be upgraded at 700 courtrooms; a basic CMI system will be operational in each courtroom in the Appellate Division, the Court of Claims and dozens of additional courtrooms in every judicial district; and scores of additional technology enhancements, such as charging stations, audio systems, and assisted listening programs, will be in place. The program is well on track to achieve its goal of complete statewide system upgrade within six years.

- Case Management System Development: The UCS continues to make significant strides in automating the case management systems in use in courts around the State, including Family Court, Supreme Civil and Criminal Term, local criminal courts (NYC Criminal Court, City and District Courts) and Surrogate's Court. The new local criminal court system has been fully deployed statewide; a new Supreme Civil case management application has been deployed to nearly half of the State's counties, with completion expected in the next fiscal year; and a new and improved Web Surrogates system is currently under development.
- Appearance Notification: In response to the sweeping criminal justice legislative reforms of 2019, the UCS has developed an automated process to issue required court reminder notifications for Desk Appearance Ticket cases outside the city of New York, eliminating the burden from the local courts. This effort will allow the issuance of notifications by text message, email, voice mail or first-class mail.
- Fiduciary Information: A new and greatly improved Fiduciary Case Management System was implemented in 2019, streamlining the entry of appointment and compensation information. The new system provides a fully paperless filing of the fiduciary appointment management process, saving time and reducing duplication of effort, as well as making compensation data available statewide in real-time.
- Records Digitization Initiative: Two years ago, the court system's records management office commenced an extensive project to digitize a portion of the vast quantity of paper records accumulated by the courts through hard copy filings over the years. The goals of the digitization project include enhancing the ability to search for and use these records, reducing the amount of valuable court space needed for records storage, and preserving important fragile historic court records. In its first two years, this project has digitized more than 17.5 million pages, freeing up extensive amounts of storage space for other essential court activities, and producing digital copies of the records that are easier to locate and much easier to protect from damage or loss. Even as the volume of filed paper documents will decrease with the continued expansion of electronic filing, this project will serve as a crucial link between the court system's future and the record of its past.

Expanding Electronic Filing

Closely related to our technology initiatives is the court system's continued expansion of electronic filing throughout the State. There are now e-filing programs in 52 Supreme Courts and 39 Surrogate Courts, as well as in the Court of Claims in seventeen counties and in all four Departments of the Appellate Division. More than 90,000 attorneys are registered users of the e-filing system. Since the inception of the e-filing program in 1999, more than two million cases and approximately 25 million documents have been filed electronically. Additionally, there are electronic filing programs in the New York City Civil Court for e-filed cases removed from the New York County and Queens County Supreme Courts pursuant to CPLR 325(d). In coming months, UCS will be introducing an electronic filing program in the New York City Housing Court for non-payment and holdover proceedings, and a program in the Superior Criminal Courts for e-

filing of the accusatory instrument and the filing and service of subsequent documents. Furthermore, the court system is in the early stage of planning a NYSCEF module for use by town and village courts. In short, this program continues to expand apace, and the convenience and cost savings that it brings are incalculable.

Facilities

Among its operational responsibilities, the court system is tasked with assuring that the facilities provided by counties and local governments pursuant to longstanding law meet the needs of the UCS and the public. This duty entails the ongoing monitoring of court facility construction and maintenance throughout the State, and the review and approval of a broad array of county and municipal capital plans. In 2019, court facilities projects were commenced in Cattaraugus, Erie, Monroe, Montgomery, Onondaga, Saratoga, Steuben and Ulster Counties; advanced in Jefferson, Nassau, Oneida, Saratoga, and Westchester Counties, as well as New York City; and completed in Madison and Warren Counties.

Additional Issues

Several additional issues arising from UCS operations and fiscal needs in 2020-21 bear mention:

Judicial Salaries: Pursuant to Part E of Chapter 60 of the Laws of 2015, a Commission on Legislative, Judicial and Executive Compensation has been formed this year to make findings and recommendations about the compensation of, among others, New York's State-paid judges. In the event that the Commission recommends an increase in judicial compensation³ and such increase thereafter becomes law, the Judiciary would absorb the cost of that increase in its current proposed budget.

Assigned Counsel Rate Increases: The hourly statutory rate for county panel court-assigned attorneys pursuant to County Law Art. 18-b, unchanged since 2004, has been a subject of legislative discussion in recent years. Should a rate increase be negotiated in the coming legislative session, that increase will have significant consequences for the Judiciary budget, which currently provides more than \$40 million annually for payment of Attorney for the Child panel attorneys (Family Court Act §245) and certain assigned counsel attorneys (Judiciary Law §35).

Suballocation of Criminal Defense Assistance: One novel aspect of this year's budget is its inclusion of a sub-allocation of \$47 million to the New York State Office of Indigent Legal Services (ILS). For close to a decade, the court system has provided funding to New York City institutional criminal defense providers to reduce attorney caseloads based on standards established by the Chief Administrative Judge. Since 2011, ILS has served as the State office for distributing government funding to criminal defense providers, as well as establishing operating and performance standards for such providers. The suballocation of UCS NYC indigent defense

³ The Judiciary has requested a modest salary increase for its judges, sufficient to maintain the salary parity between State Supreme Court Justices and Federal District Court Judges recommended by past Commissions.

funding to ILS allows those funds to be expended in a coordinated and efficient manner, eliminates duplicative administrative and audit responsibilities, and continues to provide the Judiciary a role in assuring that caseload standards are met.

Calendar Pay Period Anomaly: In the 2020-21 fiscal year, a calendar anomaly occurring every eleven years will result in the scheduling of 27 bi-weekly pay periods for most State-paid personnel, including UCS non-judicial personnel. The Executive Branch increased the Judiciary's cash disbursements in the State's Financial Plan in anticipation of this additional payment, and has carried this projected increased disbursement in the five year Plan since 2017-18. This exceptional expenditure has been excluded in the court system's analysis of the 2% limitation on state operating cash disbursements for FY 20-21.

Raise the Age Implementation: The second and final phase of the State's Raise the Age (RTA) legislation (L. 2017, c. 59) became effective on October 1, 2019. As described in our 2019-20 budget document, this law – which raised the age of criminal responsibility to 18 years of age, ensuring that young people in New York who commit non-violent crimes receive the intervention and evidence-based treatment they need – required far-reaching adjustments in a broad range of court system operations. These efforts have met with substantial success, and the RTA transition has been relatively seamless. It is anticipated that this implementation will continue to require a substantial commitment of resources that have, at the direction of the Governor's Division of the Budget, been drawn from an Executive Branch suballocation in the prior two fiscal years.⁴

Attorney Licensing Fund (ALF) -- Bar Exam Fee: The Attorney Licensing Fund, which supports the Candidate Fitness, Attorney Discipline and State Board of Law Examiner programs, is supported solely by a portion of the biennial attorney registration fee and has experienced increasing funding shortfalls in recent years. To address this issue, the Judiciary budget proposes to dedicate annual revenue from the attorney bar examination (approximately \$6 million) to ALF operations.

Capital Appropriation

The Judiciary budget request also seeks a \$25 million capital appropriation. This funding will allow the Judiciary to continue the infrastructure modernization essential to support efficient operations. The specific programs to be supported by the requested funding are:

- Automation: The majority of the requested funding (\$20 million) would support the fourth year of a multi-year plan to modernize the Judiciary's computer network, provide computing equipment for judges, court staff and the court system's data centers, and provide advanced communications, videoconferencing and remote access capacities for all judges and court staff. In addition, these funds will allow the continued expansion of the

⁴ Consistent with the practice of providing RTA funding for fiscal 2018-19 and 2019-20 through an Executive Branch suballocation, the Judiciary has provided our estimate of RTA funding needs for 2020-21 to the Governor's Division of the Budget (DOB). We remain ready to discuss this request with DOB as it develops its budget proposal.

integrated courtroom technology initiative described above, continuing the multi-year project to modernize the technology and sound systems in courtrooms throughout the State.

- Security: In addition, \$3 million of the capital appropriation would support the third year of modernization of critical security equipment, including x-ray scanning machines, magnetometers, security cameras, and access control systems.
- Records Management: As noted above, the UCS records digitization project is a multi-year effort to convert paper records into a data format that is more efficiently stored, searched, and copied. This effort, crucial to the preservation of important and fragile historic court documents, is an essential complement to the court system's conversion to electronic filing and technology. Approximately \$1 million of the requested capital appropriation would be used for this important work.
- Facility Renovation: Approximately \$1 million of the requested capital appropriation would be dedicated to the acquisition of equipment used in general facility renovation and upgrades.

Conclusion

In sum, this budget will enable the courts to pursue their mission to provide justice efficiently, fairly and with excellence. The requested two percent (\$45.9 million) increase in the UCS operating budget will allow us to continue our efforts to ensure adequate court staffing, especially in court officer, court clerk, interpreter, court reporter, and other courtroom and back office positions that are critical to providing a high level of service to the public. That increase represents a careful balance between our duty to provide New Yorkers with the system of justice that they expect and deserve, and our responsibility of faithful stewardship of public monies. This budget request reflects the Judiciary's commitment to continue as a full partner with the Executive and the Legislature in meeting the highest standards of public service in a fiscally prudent manner.

This page intentionally blank.

Unified Court System
2020-21 Budget Request
All Funds Disbursement Requirements
(Millions \$)

	2019-20 Adjusted	2020-21 Required	Change
<u>State Operations by Fund</u>			
General Fund	2,013.4	2,062.6	49.2
NYC County Clerks' Operations Offset Fund	25.0	24.6	(0.4)
Judiciary Data Processing Offset Fund	31.8	26.0	(5.8)
Miscellaneous Special Revenue Fund	24.3	24.4	0.1
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.9	2.2	0.3
Lawyers Fund for Client Protection	12.7	12.6	(0.1)
State Operations - All Funds Total	2,134.1	2,177.4	43.3
<u>Aid to Localities</u>			
General Fund	51.0	4.5	(46.5)
Court Facilities Incentive Aid Fund	110.9	113.0	2.1
Aid to Localities Total	161.9	117.5	(44.4)
<u>Aid to Localities Suballocations</u>			
Indigent Criminal Defense	0.0	47.0	47.0
Interest on Lawyer Account Fund	15.0	15.0	0.0
Aid to Localities Suballocation Total	15.0	62.0	47.0
State Operating Funds Total with Suballocations	2,311.0	2,356.9	45.9
Special Revenue - Federal Funds	9.6	13.2	3.6
Capital Project Funds	25.0	25.0	0.0
All Funds Administrative Payroll Adjustment *	0.0	57.0	57.0

* The Executive Branch increased the Judiciary's cash disbursements in the State's Financial Plan for the 27th pay period that will be realized in FY21. The Executive Branch has carried and anticipated this projected increased disbursement in the five-year Financial Plan since 2017-18. This required cash disbursement is excluded from the Judiciary's analysis on the 2% growth cap of State Operating Funds cash disbursements.

**Unified Court System
2020-21 Budget Request
All Funds Appropriation Requirements**

State Operations	2019-20	2020-21	
	Available	Requested	Change
General Fund			
Courts of Original Jurisdiction	\$1,763,759,071	\$1,806,103,741	\$42,344,670
Court of Appeals	\$17,536,152	\$17,394,795	(\$141,357)
Appellate Court Operations	\$89,790,524	\$91,556,540	\$1,766,016
Appellate Auxiliary Operations	\$133,449,177	\$138,861,558	\$5,412,381
Administration and General Support	\$21,272,034	\$23,626,415	\$2,354,381
State Operations - General Fund Total	\$2,025,806,958	\$2,077,543,049	\$51,736,091
Special Revenue Funds			
NYC County Clerks' Operations Offset Fund	\$25,250,343	\$24,837,778	(\$412,565)
Judiciary Data Processing Offset Fund	\$26,630,984	\$26,371,067	(\$259,917)
Miscellaneous Special Revenue Fund	\$2,500,000	\$2,500,000	\$0
Attorney Licensing Fund	\$22,023,540	\$22,452,922	\$429,382
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,842,288	\$2,143,624	\$301,336
Lawyers' Fund for Client Protection	\$12,746,605	\$12,747,962	\$1,357
State Operations -Special Revenue Funds Total	\$115,993,760	\$116,053,353	\$59,593
State Operations - All Funds Total	\$2,141,800,718	\$2,193,596,402	\$51,795,684
Aid to Localities			
General Fund	\$51,000,000	\$4,500,000	(\$46,500,000)
Court Facilities Incentive Aid	\$110,871,169	\$113,022,070	\$2,150,901
Aid to Localities Total	\$161,871,169	\$117,522,070	(\$44,349,099)
Aid to Localities Suballocation			
General Fund	\$15,000,000	\$62,000,000	\$47,000,000
Aid to Localities Suballocation Total	\$15,000,000	\$62,000,000	\$47,000,000
State Operating Funds Total with Suballocations	\$2,318,671,887	\$2,373,118,472	\$54,446,585
Capital Projects	\$25,675,000	\$25,000,000	(\$675,000)
Federal Funds	\$19,000,000	\$19,000,000	\$0

**Unified Court System
2020-21 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2019-20 Available</u>	<u>2020-21 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,763,759,071	\$1,806,103,741	\$42,344,670
Special Revenue Funds	\$55,019,236	\$54,386,031	(\$633,205)
Total	\$1,818,778,307	\$1,860,489,772	\$41,711,465
Court of Appeals			
General Fund	\$17,536,152	\$17,394,795	(\$141,357)
Total	\$17,536,152	\$17,394,795	(\$141,357)
Appellate Court Operations			
General Fund	\$89,790,524	\$91,556,540	\$1,766,016
Total	\$89,790,524	\$91,556,540	\$1,766,016
Appellate Auxiliary Operations			
General Fund	\$133,449,177	\$138,861,558	\$5,412,381
Special Revenue Funds	\$47,023,540	\$47,452,922	\$429,382
Total	\$180,472,717	\$186,314,480	\$5,841,763
Administration & General Support			
General Fund	\$21,272,034	\$23,626,415	\$2,354,381
Special Revenue Funds	\$1,204,379	\$1,466,438	\$262,059
Total	\$22,476,413	\$25,092,853	\$2,616,440
Lawyers' Fund for Client Protection			
Special Revenue Funds	\$12,746,605	\$12,747,962	\$1,357
Total	\$12,746,605	\$12,747,962	\$1,357
Aid to Localities			
General Fund	\$51,000,000	\$4,500,000	(\$46,500,000)
Special Revenue Funds	\$110,871,169	\$113,022,070	\$2,150,901
Total	\$161,871,169	\$117,522,070	(\$44,349,099)
Aid to Localities Suballocation			
General Fund	\$15,000,000	\$62,000,000	\$47,000,000
Total	\$15,000,000	\$62,000,000	\$47,000,000
State Operating Funds Total	\$2,318,671,887	\$2,373,118,472	\$54,446,585
Federal Funds	\$19,000,000	\$19,000,000	\$0
Capital Projects Funds	\$25,675,000	\$25,000,000	(\$675,000)
All Funds Total	\$2,363,346,887	\$2,417,118,472	\$53,771,585

This page intentionally blank.

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2020.

Notwithstanding any other provision of law, all moneys paid into the state treasury pursuant to subdivision 1 of section 465 of the judiciary law shall thereupon be transferred to the attorney licensing fund.

Notwithstanding any other provision of law, the unified court system may enter into one or more contracts with the center for court innovation for services in relation to various judiciary programs and, with the approval of the chief administrator of the courts filed with the comptroller, may expend up to \$9.7 million of the funds provided by this section for such services pursuant to such contract or contracts.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2020 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2020-21

	Appropriations	Reappropriations
General Fund - State and Local	2,144,043,049	200,000
Special Revenue Funds - Federal	19,000,000	28,100,000
Special Revenue Funds - Other	229,075,423	24,102,250
Capital Projects Funds - Other	<u>25,000,000</u>	<u>21,000,000</u>
 All Funds	 <u>2,417,118,472</u>	 <u>73,402,250</u>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	2,077,543,049	66,500,000	0	2,144,043,049
SR - Federal	19,000,000	0	0	19,000,000
SR - Other	116,053,353	113,022,070	0	229,075,423
CP - Other	0	0	25,000,000	25,000,000
 All Funds	 <u>2,212,596,402</u>	 <u>179,522,070</u>	 <u>25,000,000</u>	 <u>2,417,118,472</u>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION 1,879,489,772

General Fund / State Operations State Purposes Account

PERSONAL SERVICE

Personal service - regular 1,511,519,809

Personal service - temporary	1,104,099
Personal service - holiday / overtime compensation	<u>30,139,088</u>
Amount available for personal service	<u>1,542,762,996</u>

NONPERSONAL SERVICE

Supplies and Materials	22,071,652
Travel	2,848,285
Contractual Services	236,666,929
Equipment	<u>1,753,879</u>
Amount available for nonpersonal service	<u>263,340,745</u>
Program account subtotal	<u>1,806,103,741</u>

Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	21,311,490
Personal service - holiday / overtime compensation	<u>3,489</u>
Amount available for personal service	<u>21,314,979</u>

NONPERSONAL SERVICE

Supplies and Materials	239,540
Travel	4,995
Contractual Services	<u>3,278,264</u>
Amount available for nonpersonal service	<u>3,522,799</u>

Program fund subtotal	<u>24,837,778</u>
-----------------------------	--------------------------

Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	<u>26,371,067</u>
Program fund subtotal	<u>26,371,067</u>

Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund

PERSONAL SERVICE

Personal service - regular	677,186
Program fund subtotal	<u>677,186</u>

Special Revenue Funds - Federal / State Operations

Federal Operating Grants Fund

Federal Miscellaneous Grants (Operating) Account

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019	9,500,000
Program account subtotal	<u>9,500,000</u>

Special Revenue Funds - Federal / State Operations

Federal Grants - Health and Human Services

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019	9,500,000
Program account subtotal	<u>9,500,000</u>

Special Revenue Funds - Other / State Operations

Miscellaneous Special Revenue Fund

NONPERSONAL SERVICE

Supplies and Materials	50,000
Travel	50,000
Contractual Services	2,000,000
Equipment	150,000
Amount available for nonpersonal service	<u>2,250,000</u>
Program fund subtotal	<u>2,250,000</u>

Special Revenue Funds - Other / Asset Forfeiture

Miscellaneous Special Revenue Fund

NONPERSONAL SERVICE

Supplies and Materials	10,000
Contractual Services	120,000
Equipment	<u>120,000</u>

Amount available for nonpersonal service	<u>250,000</u>
Program fund subtotal	<u><u>250,000</u></u>

COURT OF APPEALS 17,394,795

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	15,606,643
Personal service - holiday / overtime compensation	<u>105,000</u>
Amount available for personal service	<u>15,711,643</u>

NONPERSONAL SERVICE

Supplies and Materials	935,524
Travel	332,610
Contractual Services	<u>415,018</u>
Amount available for nonpersonal service	<u>1,683,152</u>
Program account subtotal	<u><u>17,394,795</u></u>

APPELLATE COURT OPERATIONS 91,556,540

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	86,455,114
Personal service - temporary	170,000
Personal service - holiday / overtime compensation	<u>177,600</u>
Amount available for personal service	<u>86,802,714</u>

NONPERSONAL SERVICE

Supplies and Materials	2,491,043
Travel	408,555
Contractual Services	<u>1,692,768</u>
Equipment	<u>161,460</u>
Amount available for nonpersonal service	<u>4,753,826</u>
Program account subtotal	<u><u>91,556,540</u></u>

APPELLATE AUXILLIARY OPERATIONS **186,314,480**

General Fund / State Operations
State Purposes Account

PERSONAL SERVICE

Personal service - regular	32,208,043
Personal service - holiday / overtime compensation	<u>600</u>
Amount available for personal service	<u>32,208,643</u>

NONPERSONAL SERVICE

Supplies and Materials	397,462
Travel	390,600
Contractual Services	<u>105,864,853</u>
Amount available for nonpersonal service	<u>106,652,915</u>
Program account subtotal	<u>138,861,558</u>

Special Revenue Funds - Other / State Operations
Attorney Licensing Fund

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	16,970,143
Personal service - holiday / overtime compensation	<u>16,000</u>
Amount available for personal service	<u>16,986,143</u>

NONPERSONAL SERVICE

Supplies and Materials	305,790
Travel	99,410
Contractual Services	<u>5,061,579</u>
Amount available for nonpersonal service	<u>5,466,779</u>
Program fund subtotal	<u>22,452,922</u>

Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund

For services and expenses as provided by section 98-b of the state finance law.

NONPERSONAL SERVICE

Contractual Services	<u>25,000,000</u>
----------------------------	-------------------

Amount available for nonpersonal service 25,000,000

Program fund subtotal 25,000,000

ADMINISTRATION AND GENERAL SUPPORT 25,092,853

General Fund / State Operations

State Purposes Account

PERSONAL SERVICE

Personal service - regular 19,820,248
Personal service - holiday / overtime compensation 18,702

Amount available for personal service 19,838,950

NONPERSONAL SERVICE

Supplies and Materials 223,330
Travel 708,500
Contractual Services 2,855,635

Amount available for nonpersonal service 3,787,465

Program account subtotal 23,626,415

Special Revenue Funds - Other / State Operations

Court Facilities Incentive Aid Fund

PERSONAL SERVICE

Personal service - regular 1,454,653

Amount available for personal service 1,454,653

NONPERSONAL SERVICE

Supplies and Materials 1,035
Travel 5,000
Contractual Services 5,750

Amount available for nonpersonal service 11,785

Program fund subtotal 1,466,438

LAWYERS' CLIENT PROTECTION 12,747,962

Special Revenue Funds - Other / State Operations

Lawyers' Fund for Client Protection of the State of New York

For expenses associated with the operation of the lawyers' fund for client protection of the state of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the special revenue funds - other / state operations attorney licensing fund to the lawyers' fund for client protection of the state of New York on or before March 31, 2021.

PERSONAL SERVICE

Personal service - regular	<u>592,856</u>
Amount available for personal service	<u>592,856</u>

NONPERSONAL SERVICE

Supplies and Materials	24,000
Travel	20,000
Contractual Services	<u>12,111,106</u>
Amount available for nonpersonal service	<u>12,155,106</u>
Program fund subtotal	<u>12,747,962</u>

AID TO LOCALITIES 117,522,070

General Fund / Aid to Localities
Local Assistance Account

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court assistance program	<u>4,500,000</u>
Program account subtotal	<u>4,500,000</u>

Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the general fund to the court facilities incentive aid fund on or before March 31, 2021.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities incentive aid program	<u>113,022,070</u>
--	--------------------

Program fund subtotal	<u>113,022,070</u>
AID TO LOCALITIES SUBALLOCATION	<u>62,000,000</u>
IOLA SUPPORT	<u>15,000,000</u>

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the board of trustees of the new york interest on lawyer account fund at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

For services and expenses in relation to IOLA	<u>15,000,000</u>
---	-------------------

INDIGENT CRIMINAL DEFENSE	<u>47,000,000</u>
--	--------------------------

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the office of indigent legal services, at the direction of the chief administrator of the courts, for payment pursuant to law to New York city, pursuant to an agreement with such city, for services and expenses related to implementation of caseload standards for institutional providers representing indigent clients in criminal cases in such city. Notwithstanding any other provision of law, monies received by the office of indigent legal services pursuant to this suballocation may be distributed to New York city only to the extent necessary to enable compliance with section 127.7 of the rules of the chief administrator of the court (22 NYCRR §127.7)

Criminal Caseload Reduction program.....	<u>47,000,000</u>
--	-------------------

CAPITAL PROJECTS 2020-21

For the comprehensive construction programs, purposes and projects as herein specified in accordance with the following schedule:

	Appropriations
Capital Projects Funds - Other.....	<u>25,000,000</u>
All Funds	<u><u>25,000,000</u></u>
IT, FACILITY RENOVATION, COURT RECORDS AND SECURITY INITIATIVE PROGRAM (CCP)	25,000,000
-----	-----

Capital Projects Funds - Other
Capital Projects Fund
Program Improvement/Change Purpose

For services and expenses related to the acquisition and development of technology, including but not limited to equipment, software and services (52012001)	20,000,000
For services and expenses related to alterations and improvements for health and safety in courthouses (52022001)	3,000,000
For services and expenses related to the creation, management, protection and preservation of records of the unified court system, including but not limited to digital scanners, shelving and filing systems (52042001)	1,000,000
For expenses related to the acquisition of equipment required upon general facility renovation or upgrade (52032001)	1,000,000

THE JUDICIARY

GENERAL STATE CHARGES 2020-21

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2020 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	813,896,027
Special Revenue Funds - Other	<u>34,162,576</u>
All Funds	<u><u>848,058,603</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	813,896,027	-	-	813,896,027
SR - Other	34,162,576	-	-	34,162,576
All Funds	<u>848,058,603</u>	-	-	<u>848,058,603</u>

SCHEDULE

GENERAL STATE CHARGES 848,058,603

**General Fund / State Operations
State Purposes Account**

FRINGE BENEFITS

For Fringe Benefits 813,896,027

Program account subtotal 813,896,027

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

FRINGE BENEFITS

For Fringe Benefits 7,770,630

Program fund subtotal 7,770,630

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits 996,189

Program fund subtotal **996,189**

Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection

FRINGE BENEFITS

For Fringe Benefits **267,236**

Program fund subtotal **267,236**

Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund

FRINGE BENEFITS

For Fringe Benefits **12,020,343**

Program fund subtotal **12,020,343**

Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund

FRINGE BENEFITS

For Fringe Benefits **13,108,178**

Program fund subtotal **13,108,178**

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2020.

THE JUDICIARY

STATE OPERATIONS REAPPROPRIATIONS 2020-2021

COURTS OF ORIGINAL JURISDICTION

Special Revenue Funds - Federal / State Operations

Federal Operating Grants Fund

Federal Miscellaneous Grants (Operating) Account

By chapter 51, section 2, of the laws of 2019:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2019 9,500,000(re. 9,500,000)

By chapter 51, section 2, of the laws of 2018, as reappropriated

by chapter 51, section 3, of the laws of 2019:
For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2018 4,500,000(re. 2,000,000)

By chapter 51, section 2, of the laws of 2017, as reappropriated

by chapter 51, section 3, of the laws of 2019:
For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2017 3,500,000(re. 1,400,000)

By chapter 51, section 2, of the laws of 2016, as reappropriated

by chapter 51, section 3, of the laws of 2019:
For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2016 2,500,000(re. 400,000)

Special Revenue Funds - Federal / State Operations

Federal Grants - Health and Human Services

By chapter 51, section 2, of the laws of 2019:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2019 9,500,000(re. 9,500,000)

By chapter 51, section 2, of the laws of 2018, as reappropriated

by chapter 51, section 3, of the laws of 2019:
For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2018 5,500,000(re. 4,700,000)

By chapter 51, section 2, of the laws of 2017, as reappropriated
 by chapter 51, section 3, of the laws of 2019:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2017 5,000,000(re. 500,000)

By chapter 51, section 2, of the laws of 2016, as reappropriated
 by chapter 51, section 3, of the laws of 2019:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2016 6,000,000(re. 100,000)

Special Revenue Funds - Other / State Operations

Miscellaneous Special Revenue Fund

By chapter 51, section 2, of the laws of 2019:

Supplies and Materials	750	(re. 750)
Travel	1,500	(re. 1,500)
Contractual Services	2,540,000	(re. 1,900,000)

By chapter 51, section 2, of the laws of 2018, as reappropriated
 by chapter 51, section 3, of the laws of 2019:

Contractual Services	1,538,921	(re. 1,000,000)
----------------------------	-----------------	-----------------

By chapter 51, section 2, of the laws of 2017, as reappropriated
 by chapter 51, section 3, of the laws of 2019:

Contractual Services	3,037,878	(re. 1,200,000)
----------------------------	-----------------	-----------------

AID TO LOCALITIES REAPPROPRIATIONS 2020-21

General Fund / Aid to Localities

Local Assistance Account

By chapter 51, section 2, of the laws of 2019:

For services and expenses associated with the justice court assistance program	4,000,000	(re. 200,000)
---	-----------------	---------------

Special Revenue Funds - Other / Aid to Localities

Court Facilities Incentive Aid Fund

By chapter 51, section 2, of the laws of 2019:

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the

state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the general fund to the court facilities incentive aid fund on or before March 31, 2020.

For services and expenses associated with the court facilities

incentive aid program	110,871,169	(re. 20,000,000)
-----------------------------	-------------------	------------------

CAPITAL PROJECTS REAPPROPRIATIONS 2020-2021

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund

Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2019:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training
of court security personnel (52JT0707)

33,700,000(re. 1,000,000)

By chapter 51, section 2, of the laws of 2007, as amended by
chapter 51, section 3, of the laws of 2012, as reappropriated by
chapter 51, section 3, of the laws of 2019:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training of court
security personnel (52JT0707)

24,200,000(re. 1,000,000)

IT, FACILITY RENOVATION, COURT RECORDS AND SECURITY INITIATIVE PROGRAM (CCP)

Capital Projects Fund

Program Improvement/Change Purpose

By chapter 51, section 2, of the laws of 2019:

For services and expenses related to the acquisition and development
of technology, including but not limited to equipment, software
and services (52011901)

18,000,000(re. 14,000,000)

For services and expenses related to alterations and improvements for
health and safety in courthouses (52021901)

3,000,000(re. 2,900,000)

For services and expenses related to the creation, management,
protection and preservation of records of the unified court
system, including but not limited to digital scanners, shelving
and filing systems (52041901).....

2,000,000(re. 400,000)

For expenses related to the acquisition of equipment required
upon general facility renovation
or upgrade (52031901)

1,000,000(re. 700,000)

Capital Projects Funds- Other

Miscellaneous Capital Projects Fund

Judiciary Equitable Sharing Agreement- Treasury Account

Program Improvement or Program Change Purpose

By chapter 51, section 2, of the laws of 2019:

For moneys to the judiciary for the treasury department to be used
for law enforcement purposes.....

1,675,000(re. 1,000,000)

STATE OF NEW YORK
JUDICIARY BUDGET

Fiscal Year
2020-21

Part I
Judiciary
Budget Request

SECTION 2

Judiciary
2020-21 Budget Request

Overview

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the State, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions), and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge) who is responsible for supervising the day-to-day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrative Judge designates Deputy Chief Administrative Judges for the courts within and outside New York City. On behalf of the Chief Administrative Judge, and together with a corps of Administrative Judges, these designees supervise court operations in the State's Judicial Districts.

By statute and by direction of the Chief Judge, the Chief Administrative Judge also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal, and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrative Judge by providing centralized management support to court operations including technology, personnel, legal information, records management, security, and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts, and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions, and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the towns and villages in which they sit, although they do receive limited State financial assistance as well.

This page intentionally blank.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

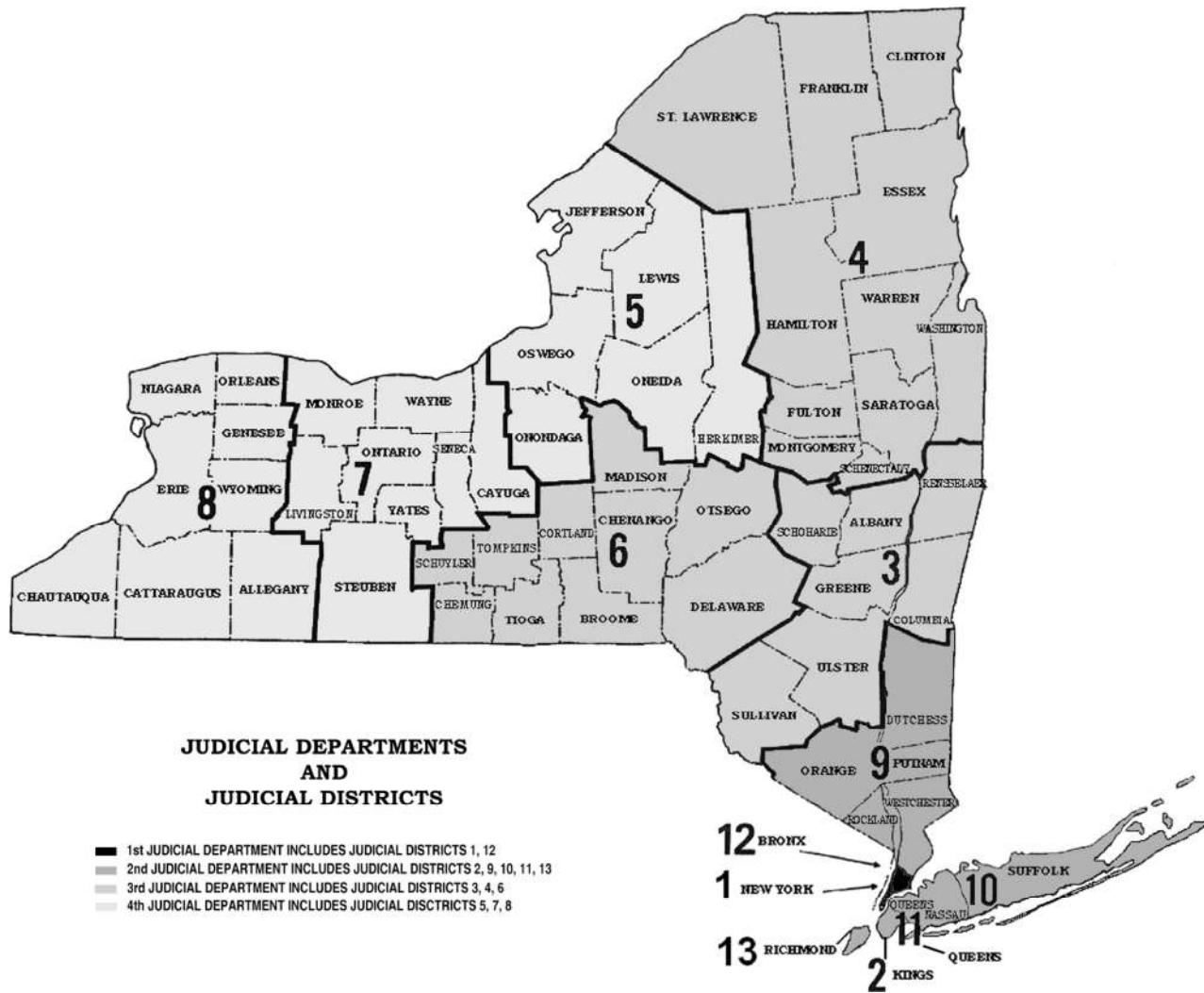
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request: \$1,879,489,772
Courts of Original Jurisdiction	General Fund: 1,806,103,741
	State Special Revenue Funds: 54,386,031
	Federal Special Revenue Funds: 19,000,000

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate's Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed, and Administration.

Summary of 2020-21 Funding

The COJ Major Purpose State Operations All Funds budget request is \$1.88 billion, or an increase of \$41.7 million (2.3%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.59 billion represents an increase of \$29.3 million (1.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes a net increase in the number of certificated Supreme Court justices, annualized funding for three new Supreme Court judgeships, and one new County Court judgeship as authorized by Chapter 39 of the Laws of 2019. Funding for nonjudicial positions includes collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, location pay, and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The personal service request will also support planned court officer academy classes.

The personal service request includes \$1.1 million for temporary service in support of ongoing operations. This includes funding for Acting City, Town and Village Justices as well as legal and clerical support in various trial courts. Also reflected in the personal service request is \$30.1 million for overtime to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support, and administration. These expenses include: supplies and materials; legal reference materials and online research services; telecommunications; equipment rental and repairs; real estate rentals for office and training space; payments for jury *per diems* lodging and meals; *per diem* interpreter and court reporter costs; ADR and other professional services con-

tracts; transcript payments; and contractual security services provided in some upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which Federal and other State Special Revenue grants are realized.

The nonpersonal service request of \$288.4 million reflects an increase of \$12.5 million (4.5%) over current year funding. The most significant increase, in other general services, is due to costs associated with administration of the court officer's exam. These costs cover design and development of competitive test screening, electronic testing fees for the exam, credit card processing fees for exam applications, and the implementation of new bank software. The request also includes increases in printing and other general services for new contractual obligations related to the criminal justice reforms enacted in 2019. An additional component of the increase in other general services, and an increase in ADR services, provide ongoing support and enhancement of existing programs such as Community Dispute Resolution Centers, Court-Appointed Special Advocates, Children's Centers and court-related activities administered by the Center for Court Innovation. These programs and activities provide critical support to court operations, ensure access to justice, and help promote the Excellence Initiative. Contractual security service increases are due to collective bargaining agreements. An increase in accounting and auditing services is related to the expansion of electronic filing. Contractual increases for online legal research and legal reference print materials support court operations statewide. Expenditure based increases in *per diem* interpreters, real estate rentals, postage, and security supplies are noted as well.

The overall increase is partially offset by an expenditure-based reduction in judicial hearing officers in New York City. Significant expenditure-based reductions in shipping for jury system operations, and office supplies statewide contribute to the offset as well. Expenditure-based reductions in equipment rentals and miscellaneous supplies are also noted.

Also included in the budget request is \$250,000 for the State Special Revenue Asset Forfeiture fund to allow the court system to receive asset forfeiture funds from state and local law enforcement agencies. The request is offset by a reduction in the State Miscellaneous Special Revenue budget for grants in the same amount.

Courts of Original Jurisdiction
Budget Summary - All Funds

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$14,831,764	\$3,827,696	\$18,659,460
New York City	794,833,384	35,316,466	830,149,850
3rd Judicial District	56,413,306	7,418,992	63,832,298
4th Judicial District	57,438,228	3,066,336	60,504,564
5th Judicial District	63,199,170	6,440,483	69,639,653
6th Judicial District	48,415,963	2,185,962	50,601,925
7th Judicial District	57,533,897	18,962,827	76,496,724
8th Judicial District	96,302,592	8,054,924	104,357,516
9th Judicial District	119,046,827	4,254,436	123,301,263
Nassau County	108,921,116	4,525,082	113,446,198
Suffolk County	109,661,492	3,934,911	113,596,403
Alternative Dispute Resolution	1,460,654	11,905,981	13,366,635
Court Support Services	63,342,956	64,127,578	127,470,534
Undistributed	(275,121)	114,341,870	114,066,749
Total:	<u>\$1,591,126,228</u>	<u>\$288,363,544</u>	<u>\$1,879,489,772</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ALL FUNDS
COURTS OF ORIGINAL JURISDICTION**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	1,530,395,649	1,559,879,552	29,483,903
PS Temporary	1,196,073	1,104,099	(91,974)
PS Overtime	30,280,117	30,142,577	(137,540)
Total Personal Service	1,561,871,839	1,591,126,228	29,254,389
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	21,157,173	21,742,755	585,582
Supplies and Materials	7,119,543	7,072,555	(46,988)
Travel	2,800,365	2,853,280	52,915
Equipment Rental and Repairs	7,837,478	7,763,944	(73,534)
Real Estate Rentals	18,433,584	18,571,523	137,939
Conferences and Training	558,972	566,925	7,953
Postage and Printing	8,956,133	11,298,514	2,342,381
Telecommunications	3,522,738	3,573,849	51,111
Information Technology Services	5,764,318	5,745,981	(18,337)
Accounting and Auditing Services	2,769,921	3,106,950	337,029
Records Management Services	4,210,561	4,178,441	(32,120)
Other Professional Services	118,975,769	125,915,206	6,939,437
In-Part Services	6,698,328	7,196,905	498,577
ADR/SCAR/Arbitration	8,530,314	9,709,314	1,179,000
Judicial Hearing Officers	3,309,733	3,034,932	(274,801)
Jury Fees	18,556,372	18,556,372	0
Security Services	29,094,643	29,871,075	776,432
Transcripts	5,856,644	5,851,144	(5,500)
Equipment	1,753,879	1,753,879	0
Total Nonpersonal Service	275,906,468	288,363,544	12,457,076
Grand Total	1,837,778,307	1,879,489,772	41,711,465

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	1,481,263,023	1,511,519,809	30,256,786
PS Temporary	1,196,073	1,104,099	(91,974)
PS Overtime	30,275,092	30,139,088	(136,004)
Total Personal Service	1,512,734,188	1,542,762,996	30,028,808
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	21,157,173	21,742,755	585,582
Supplies and Materials	6,949,743	6,911,575	(38,168)
Travel	2,793,635	2,848,285	54,650
Equipment Rental and Repairs	7,730,372	7,658,304	(72,068)
Real Estate Rentals	18,433,584	18,571,523	137,939
Conferences and Training	558,972	566,925	7,953
Postage and Printing	8,864,138	11,191,184	2,327,046
Telecommunications	3,478,438	3,531,349	52,911
Information Technology Services	5,763,318	5,743,981	(19,337)
Accounting and Auditing Services	1,682,921	1,880,950	198,029
Records Management Services	2,340,357	2,308,237	(32,120)
Other Professional Services	97,472,319	104,412,056	6,939,737
In-Part Services	6,698,328	7,196,905	498,577
ADR/SCAR/Arbitration	8,530,314	9,709,314	1,179,000
Judicial Hearing Officers	3,309,733	3,034,932	(274,801)
Jury Fees	18,556,372	18,556,372	0
Security Services	29,094,643	29,871,075	776,432
Transcripts	5,856,644	5,851,144	(5,500)
Equipment	1,753,879	1,753,879	0
Total Nonpersonal Service	251,024,883	263,340,745	12,315,862
Grand Total	1,763,759,071	1,806,103,741	42,344,670

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	2,250,000	(250,000)
Total Nonpersonal Service	2,500,000	2,250,000	(250,000)
Grand Total	2,500,000	2,250,000	(250,000)

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND ASSET FORFEITURE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	0	250,000	250,000
Total Nonpersonal Service	0	250,000	250,000
Grand Total	0	250,000	250,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	637,909	677,186	39,277
Total Personal Service	637,909	677,186	39,277
Grand Total	637,909	677,186	39,277

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	21,863,733	21,311,490	(552,243)
PS Overtime	5,025	3,489	(1,536)
Total Personal Service	<u>21,868,758</u>	<u>21,314,979</u>	<u>(553,779)</u>
Nonpersonal Service			
Supplies and Materials	169,800	160,980	(8,820)
Travel	6,730	4,995	(1,735)
Equipment Rental and Repairs	107,106	105,640	(1,466)
Postage and Printing	91,995	107,330	15,335
Telecommunications	44,300	42,500	(1,800)
Information Technology Services	1,000	2,000	1,000
Accounting and Auditing Services	1,087,000	1,226,000	139,000
Records Management Services	1,870,204	1,870,204	0
Other Professional Services	<u>3,450</u>	<u>3,150</u>	<u>(300)</u>
Total Nonpersonal Service	<u>3,381,585</u>	<u>3,522,799</u>	<u>141,214</u>
Grand Total	25,250,343	24,837,778	(412,565)

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	26,630,984	26,371,067	(259,917)
Total Personal Service	26,630,984	26,371,067	(259,917)
Grand Total	26,630,984	26,371,067	(259,917)

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	9,500,000	9,500,000	0
Total Nonpersonal Service	9,500,000	9,500,000	0
Grand Total	9,500,000	9,500,000	0

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	9,500,000	9,500,000	0
Total Nonpersonal Service	9,500,000	9,500,000	0
Grand Total	9,500,000	9,500,000	0

Courts of Original Jurisdiction
2018 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme & County Courts ^a	43,040	46,669
NYC Criminal Court		
Arrest Cases	205,649	210,980
Summons Cases ^b	108,280	127,639
City and District Courts Outside NYC		
Criminal Cases	223,135	235,595
Uniform Traffic Tickets ^b	369,096	358,747
Parking Tickets ^b	93,286	75,880
Criminal Subtotal:	1,042,486	1,055,510
Civil:		
Supreme Courts		
New Cases (RJI's)	176,151	199,793
Ex Parte Applications	242,239	242,239
Uncontested Matrimonials	43,847	47,788
NYC Civil Court		
New Civil Actions	283,987	210,943
Housing Cases	244,015	218,570
Small Claims	18,992	23,983
Commercial Claims	5,128	5,927
City and District Courts Outside NYC		
New Civil Actions	86,492	114,265 ^c
Housing Cases	80,529	82,345
Small Claims	17,909	19,457
Commercial Claims	6,745	7,134
County Courts ^a	93,025 ^d	93,556 ^d
Court of Claims	1,765	2,092
Arbitration Program (CPLR 3405) ^e	9,544	15,216
Small Claims Assessment Review	40,466	40,901
Civil Subtotal:	1,341,290	1,308,993
Family ^a	580,548 ^f	589,470 ^f
Surrogate's ^a	144,325	117,773 ^g
2018 Total:	3,108,649	3,071,746

^a Also reflects Multi-Bench matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex parte applications.

^e Shown for reference only; not included in totals.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$492,491,783
Supreme and County Courts Program	Personal Service: 478,529,134
	Nonpersonal Service: 13,962,649
	Maintenance Undistributed: 0

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a judicial district to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal, and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary, and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner

to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2020-21 Funding Request

The Supreme and County Courts General Fund budget request is \$492.5 million, or a decrease of \$0.7 million (-0.1%) from the current year adjusted appropriation.

The personal service request of \$478.5 million represents a decrease of \$1.1 million (-0.2%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions supports a net increase in the number of Certificated Supreme Court justices and annualized funding for three new Supreme Court judgeships authorized by Chapter 39 of the Laws of 2019. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request includes \$0.6 million for temporary service, supporting commercial division law clerks in New York Supreme Civil Court, legal support in Westchester County Supreme and County Court, and the Fourth Judicial District Supreme and County Court Program. Also reflected in the personal service request is \$0.6 million for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support, and administration. These expenses include supplies and materials, equipment rental and repairs, postage and printing, telecommunications, accounting and auditing services, in-part services, judicial hearing officers, and transcripts. Accounting and auditing services consist of the fees associated with electronic filing and the processing of credit card payments.

The nonpersonal service request is \$14 million, or an increase of \$0.4 million (3.3%) over current year funding. The increase in *per diem* interpreters is expenditure-based. An increase in accounting and auditing services is attributable to expanded use of electronic filing. Additional judicial hearing officers are needed to use alternative dispute resolution as the first step in civil case proceedings in furtherance of the Chief Judge's Excellence Initiative. The increase in other court-appointed services supports increased matrimonial mediation in Suffolk County. These increases are partially offset by expenditure-based decreases in office supplies, equipment rental and repairs, records management, and transcripts.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$46,114,914	\$783,517	\$46,898,431
NY Supreme Criminal	26,146,401	1,407,218	27,553,619
Supreme Bronx	42,595,986	1,025,095	43,621,081
Supreme Kings	63,454,242	2,255,853	65,710,095
Supreme Queens	57,449,349	1,238,824	58,688,173
Supreme Richmond	11,665,637	325,174	11,990,811
NYC Subtotal:	<u>\$247,426,529</u>	<u>\$7,035,681</u>	<u>\$254,462,210</u>
3rd Judicial District	\$17,129,098	\$526,074	\$17,655,172
4th Judicial District	11,026,408	483,349	11,509,757
5th Judicial District	18,665,037	575,526	19,240,563
6th Judicial District	7,323,572	203,883	7,527,455
7th Judicial District	17,920,899	556,958	18,477,857
8th Judicial District	28,315,527	652,001	28,967,528
9th Judicial District	41,993,010	1,371,316	43,364,326
Nassau County	43,184,751	1,409,732	44,594,483
Suffolk County	40,244,806	1,148,129	41,392,935
Outside NYC Subtotal:	<u>\$225,803,108</u>	<u>\$6,926,968</u>	<u>\$232,730,076</u>
Undistributed	<u>\$5,299,497</u>	<u>\$0</u>	<u>\$5,299,497</u>
Total:	<u>\$478,529,134</u>	<u>\$13,962,649</u>	<u>\$492,491,783</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	478,523,094	477,276,599	(1,246,495)
PS Temporary	674,365	643,412	(30,953)
PS Overtime	425,049	609,123	184,074
Total Personal Service	479,622,508	478,529,134	(1,093,374)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	4,473	5,349	876
Supplies and Materials	1,286,368	1,230,697	(55,671)
Travel	432,413	436,544	4,131
Equipment Rental and Repairs	889,244	837,522	(51,722)
Conferences and Training	7,575	7,575	0
Postage and Printing	755,098	768,883	13,785
Telecommunications	225,328	241,066	15,738
Information Technology Services	3,000	1,500	(1,500)
Accounting and Auditing Services	919,741	1,030,226	110,485
Records Management Services	167,355	163,105	(4,250)
Other Professional Services	474,863	518,374	43,511
In-Part Services	1,424,213	1,677,885	253,672
ADR/SCAR/Arbitration	543,175	543,175	0
Judicial Hearing Officers	1,491,000	1,616,582	125,582
Transcripts	4,557,242	4,545,421	(11,821)
Equipment	338,745	338,745	0
Total Nonpersonal Service	13,519,833	13,962,649	442,816
Grand Total	493,142,341	492,491,783	(650,558)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$188,833,136
Family Courts Program	Personal Service: 182,789,816
	Nonpersonal Service: 6,043,320
	Maintenance Undistributed: 0

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that in many smaller counties there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody, and visitation matters. Chapter 59 of the Laws of 2017 effectively raised the age of criminal responsibility to 18. Under the Raise the Age (RTA) legislation, Family Court now has jurisdiction over 16 and 17-year-old juvenile delinquents accused of misdemeanor offenses as well as transferred cases with more serious offenses that are initiated in Youth Parts in Criminal Court. The RTA legislation has been fully implemented as of October 2019. The 2020-21 funding needs for the Judiciary associated with RTA will be requested through the Executive Branch RTA central appropriation.

Summary of 2020-21 Funding Request

The Family Courts General Fund budget request of \$188.8 million reflects a decrease of \$1.2 million (-0.6%) from the current year adjusted appropriation.

The personal service request of \$182.8 million represents a decrease of \$1.3 million (-0.7%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees. The personal service request also includes \$0.1 million for overtime in support of ongoing operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support, and administration. These expenses include: in-part services, supplies and materials, postage and printing, transcripts, records management, equipment rental and repairs, telecommunications, and judicial hearing officers.

The nonpersonal service request of \$6 million reflects an increase of \$88,509 (1.5%) over current year funding. The growth is due in part to expenditure-based increases in *per diem* interpreters, postage, travel, telephones, and repairs of equipment. The overall increase is partially offset by expenditure-based reductions in records management services, printing, office supplies, and equipment rentals.

Budget Summary - General Fund

Family Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$73,705,981	\$3,202,645	\$76,908,626
NYC Subtotal:	<u>\$73,705,981</u>	<u>\$3,202,645</u>	<u>\$76,908,626</u>
3rd Judicial District	\$9,447,155	\$293,918	\$9,741,073
4th Judicial District	11,122,558	307,453	11,430,011
5th Judicial District	13,912,947	320,203	14,233,150
6th Judicial District	6,490,318	215,705	6,706,023
7th Judicial District	9,660,650	214,285	9,874,935
8th Judicial District	15,903,755	365,664	16,269,419
9th Judicial District	17,774,156	423,481	18,197,637
Nassau County	10,512,005	319,743	10,831,748
Suffolk County	14,260,291	380,223	14,640,514
Outside NYC Subtotal:	<u>\$109,083,835</u>	<u>\$2,840,675</u>	<u>\$111,924,510</u>
Total:	<u>\$182,789,816</u>	<u>\$6,043,320</u>	<u>\$188,833,136</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	183,862,038	182,612,392	(1,249,646)
PS Temporary	0	35,400	35,400
PS Overtime	186,403	142,024	(44,379)
Total Personal Service	184,048,441	182,789,816	(1,258,625)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	250	250	0
Supplies and Materials	803,670	758,491	(45,179)
Travel	196,169	200,588	4,419
Equipment Rental and Repairs	670,771	653,192	(17,579)
Conferences and Training	500	500	0
Postage and Printing	986,677	1,019,970	33,293
Telecommunications	80,091	87,669	7,578
Information Technology Services	1,000	500	(500)
Records Management Services	299,842	286,636	(13,206)
Other Professional Services	17,905	21,100	3,195
In-Part Services	1,814,530	1,920,465	105,935
Judicial Hearing Officers	412,133	417,990	5,857
Transcripts	547,028	551,724	4,696
Equipment	124,245	124,245	0
Total Nonpersonal Service	5,954,811	6,043,320	88,509
Grand Total	190,003,252	188,833,136	(1,170,116)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$54,648,635
Surrogate's Courts Program	Personal Service: 53,336,332
	Nonpersonal Service: 1,312,303
	Maintenance Undistributed: 0

Program Description

This Program provides funding for operations in Surrogate's Courts.

Surrogate's Court: There is a Surrogate's Court established in each of the State's 62 counties, except that, in many smaller counties there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate's Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate's Courts exercise jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees, and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary of 2020-21 Funding Request

The Surrogate's Courts General Fund budget request is \$54.6 million, or an increase of \$0.9 million (1.6%) over the current year adjusted appropriation.

The personal service request of \$53.3 million represents an increase of \$0.8 million (1.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request includes \$14,045 in temporary service in New York County Surrogate's Court for a part-time legal staff member who shares a position with an individual in a different title within the legal series. The request also includes \$32,633 in overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support, and administration of the Surrogate's Court, including records management services, postage and printing, supplies and materials, accounting and auditing services, and equipment rental and repairs.

The nonpersonal service request of \$1.3 million represents an increase of \$17,966 (1.4%) over the current year adjusted appropriation. This increase is primarily attributable to higher expenditures in records management as well as accounting and auditing services due to increased usage of e-filing. These increases are partially offset by expenditure-based reductions in office supplies, repairs of equipment, and rentals of equipment. The decrease in equipment rental reflects the transfer of funding for a vehicle lease in Richmond Surrogate's Court to the Administration and General Support Major Purpose.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate's Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$6,948,495	\$147,680	\$7,096,175
Bronx Surrogate	4,353,234	54,156	4,407,390
Kings Surrogate	5,350,576	86,604	5,437,180
Queens Surrogate	4,421,197	80,778	4,501,975
Richmond Surrogate	2,993,188	60,225	3,053,413
NYC Subtotal:	<u>\$24,066,690</u>	<u>\$429,443</u>	<u>\$24,496,133</u>
3rd Judicial District	\$2,139,598	\$126,687	\$2,266,285
4th Judicial District	3,244,080	50,471	3,294,551
5th Judicial District	2,875,803	69,308	2,945,111
6th Judicial District	764,687	10,987	775,674
7th Judicial District	3,628,424	96,059	3,724,483
8th Judicial District	4,337,132	190,753	4,527,885
9th Judicial District	5,570,420	129,052	5,699,472
Nassau County	3,653,211	102,133	3,755,344
Suffolk County	3,056,287	107,410	3,163,697
Outside NYC Subtotal:	<u>\$29,269,642</u>	<u>\$882,860</u>	<u>\$30,152,502</u>
Total:	<u>\$53,336,332</u>	<u>\$1,312,303</u>	<u>\$54,648,635</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	52,431,535	53,289,654	858,119
PS Temporary	57,648	14,045	(43,603)
PS Overtime	2,645	32,633	29,988
Total Personal Service	52,491,828	53,336,332	844,504
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	1,025	1,225	200
Supplies and Materials	199,849	194,096	(5,753)
Travel	16,288	15,665	(623)
Equipment Rental and Repairs	201,025	188,402	(12,623)
Real Estate Rentals	25	75	50
Postage and Printing	191,606	194,881	3,275
Telecommunications	26,013	26,982	969
Accounting and Auditing Services	168,075	191,206	23,131
Records Management Services	415,257	422,067	6,810
Other Professional Services	46,261	46,561	300
In-Part Services	21,740	22,820	1,080
Transcripts	7,173	8,323	1,150
Total Nonpersonal Service	1,294,337	1,312,303	17,966
Grand Total	53,786,165	54,648,635	862,470

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$51,472,369
Multi-Bench Courts Program	Personal Service: 49,570,296
	Nonpersonal Service: 1,902,073
	Maintenance Undistributed: 0

Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many counties outside New York City there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate's Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Essex, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schoharie, Schuyler, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming, and Yates. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario, and Steuben counties. Those having combined County and Surrogate's Courts only include: Chemung, Clinton, Delaware, Franklin, Fulton, Genesee, Herkimer, Sullivan, and Warren counties.

Summary of 2020-21 Funding Request

The Multi-Bench Courts General Fund budget request is \$51.5 million, or an increase of \$1 million (2%) over the current year adjusted appropriation.

The personal service request of \$49.6 million represents an increase of \$1.1 million (2.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes one new County Court judgeship in Tompkins County, as authorized by Chapter 39 of the Laws of 2019. Funding is also included for collectively negotiated salary increases as well as payment of increments, longevity bonuses, uniform allowance, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$4,500 for temporary service and \$7,837 for overtime. The temporary service funding supports two part-time positions in the trial courts in support of ongoing operations in the Third Judicial District; the overtime request supports current levels of operation statewide.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support, and administration of the Multi-Bench Courts. These expenses include transcript costs, postage and printing, equipment rental and repairs, supplies and materials, accounting and auditing services, and records management services.

The nonpersonal service request of \$1.9 million represents a decrease of \$58,384 (-3%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in records management services, office supplies, rentals and repairs of equipment in addition to telephones, which reflects continuing savings from the implementation of IP telephony. Additional expenditure-based reductions are noted in other general services and judicial hearing officers. Increases to travel and also to accounting and auditing services, which reflects the expanded use of e-filing, partially offset the reductions noted above.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$6,401,669	\$268,696	\$6,670,365
4th Judicial District	8,260,062	336,972	8,597,034
5th Judicial District	1,536,762	67,276	1,604,038
6th Judicial District	12,600,326	512,785	13,113,111
7th Judicial District	11,561,664	409,906	11,971,570
8th Judicial District	7,010,568	197,850	7,208,418
9th Judicial District	2,199,245	108,588	2,307,833
	<u>\$49,570,296</u>	<u>\$1,902,073</u>	<u>\$51,472,369</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	48,495,093	49,557,959	1,062,866
PS Temporary	2,000	4,500	2,500
PS Overtime	5,731	7,837	2,106
Total Personal Service	48,502,824	49,570,296	1,067,472
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	6,225	7,041	816
Supplies and Materials	263,993	253,318	(10,675)
Travel	109,623	124,405	14,782
Equipment Rental and Repairs	289,674	258,802	(30,872)
Postage and Printing	325,062	330,562	5,500
Telecommunications	77,455	67,828	(9,627)
Accounting and Auditing Services	111,352	145,824	34,472
Records Management Services	130,788	102,498	(28,290)
Other Professional Services	78,006	61,506	(16,500)
In-Part Services	69,920	69,370	(550)
Judicial Hearing Officers	91,200	75,160	(16,040)
Transcripts	407,159	405,759	(1,400)
Total Nonpersonal Service	1,960,457	1,902,073	(58,384)
Grand Total	50,463,281	51,472,369	1,009,088

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$283,103,580
City and District Courts Program	Personal Service:	274,781,739
	Nonpersonal Service:	8,321,841
	Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in City Courts outside New York City, Nassau and Suffolk County District Courts, New York City Civil Court, New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 23 are comprised solely of full-time judges, 24 are comprised of a mix of full and part-time judges, and 14 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters, and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters, and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters, and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this program, but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration.

Summary of 2020-21 Funding Request

The City and District Courts General Fund budget request is \$283.1 million, or an increase of \$3.5 million (1.3%) over the current year adjusted appropriation.

The personal service request of \$274.8 million represents an increase of \$3.6 million (1.3%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$0.2 million in temporary service for acting city court judges, part-time legal staff who share a position with an individual in a different title within the legal series, and clerical positions to support ongoing court operations. The request also includes \$6.8 million in overtime which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support, and administration of the City & District Courts. These expenses include in-part services such as per diem interpreters and court reporters, postage and printing, supplies and materials, records management services, equipment rental and repairs, and judicial hearing officers.

The nonpersonal service request of \$8.3 million represents a decrease of \$0.1 million (-1.3%) from the current year adjusted appropriation. The decrease is primarily attributable to an expenditure-based decrease for judicial hearing officers in New York City Criminal Court. Additional expenditure-based reductions are noted in travel, rentals of equipment, and *per diem* court reporters. These decreases are partially offset by increases in *per diem* interpreters, postage, printing, and office supplies.

Courts of Original Jurisdiction
Budget Summary - General Fund

City and District Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$63,636,692	\$1,995,132	\$65,631,824
NYC Criminal Court	92,389,101	2,334,779	94,723,880
NYC Subtotal:	<u>\$156,025,793</u>	<u>\$4,329,911</u>	<u>\$160,355,704</u>
3rd Judicial District	\$6,928,467	\$222,207	\$7,150,674
4th Judicial District	6,698,979	169,764	6,868,743
5th Judicial District	10,776,022	222,838	10,998,860
6th Judicial District	6,200,869	163,194	6,364,063
7th Judicial District	9,256,261	212,451	9,468,712
8th Judicial District	16,595,761	415,259	17,011,020
9th Judicial District	18,817,732	651,518	19,469,250
Nassau County	21,819,448	1,038,157	22,857,605
Suffolk County	21,662,407	896,542	22,558,949
Outside NYC Subtotal:	<u>\$118,755,946</u>	<u>\$3,991,930</u>	<u>\$122,747,876</u>
Total:	<u>\$274,781,739</u>	<u>\$8,321,841</u>	<u>\$283,103,580</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	263,589,773	267,810,512	4,220,739
PS Temporary	231,113	182,240	(48,873)
PS Overtime	7,331,405	6,788,987	(542,418)
Total Personal Service	271,152,291	274,781,739	3,629,448
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	2,697	2,548	(149)
Supplies and Materials	808,841	825,123	16,282
Travel	210,094	181,329	(28,765)
Equipment Rental and Repairs	722,332	705,455	(16,877)
Real Estate Rentals	100	240	140
Conferences and Training	825	825	0
Postage and Printing	1,136,858	1,225,245	88,387
Telecommunications	175,870	178,997	3,127
Information Technology Services	10,200	10,000	(200)
Accounting and Auditing Services	455,753	460,944	5,191
Records Management Services	801,078	820,904	19,826
Other Professional Services	37,769	37,469	(300)
In-Part Services	2,218,225	2,347,695	129,470
ADR/SCAR/Arbitration	448,150	449,500	1,350
Judicial Hearing Officers	863,400	530,200	(333,200)
Transcripts	316,342	318,492	2,150
Equipment	226,875	226,875	0
Total Nonpersonal Service	8,435,409	8,321,841	(113,568)
Grand Total	279,587,700	283,103,580	3,515,880

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$34,488,391
New York City Housing Court Program	Personal Service:	33,619,036
	Nonpersonal Service:	869,355
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act §110) also established a corps of quasi-judicial hearing officers designated by the Chief Administrative Judge, and now known as Housing Judges, to preside in the Housing Part.

Summary of 2020-21 Funding Request

The New York City Housing Court General Fund budget request is \$34.5 million, or an increase of \$21,527 (0.1%) over the current year adjusted appropriation.

The personal service request of \$33.6 million represents a decrease of \$28,468 (-0.1%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$9,183 for overtime, which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support, and administration. These include: supplies and materials, postage and printing, and equipment rental and repairs. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and landlords in each borough's Housing Court.

The nonpersonal service request of \$0.9 million is an increase of \$49,995 (6.1%) over current year funding. The increase is primarily due to expenditure-based increases in office supplies and equipment rental as well as rate increases in postage.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$33,619,036	\$869,355	\$34,488,391
Total:	<u>\$33,619,036</u>	<u>\$869,355</u>	<u>\$34,488,391</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	33,632,037	33,609,853	(22,184)
PS Overtime	15,467	9,183	(6,284)
Total Personal Service	33,647,504	33,619,036	(28,468)
Nonpersonal Service			
Supplies and Materials	104,500	105,280	780
Travel	3,400	3,400	0
Equipment Rental and Repairs	84,725	124,940	40,215
Postage and Printing	181,100	190,100	9,000
Other Professional Services	380,000	380,000	0
Transcripts	13,000	13,000	0
Equipment	52,635	52,635	0
Total Nonpersonal Service	819,360	869,355	49,995
Grand Total	34,466,864	34,488,391	21,527

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$5,889,191
Community Courts Program	Personal Service:	2,672,519
	Nonpersonal Service:	3,216,672
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism, and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility, and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan's Midtown Community Court and Harlem Community Justice Center; Brooklyn's Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx's Community Solutions.

Summary of 2020-21 Funding Request

The Community Courts General Fund budget request is \$5.9 million, or an increase of \$0.5 million (8.4%) over the current year adjusted appropriation.

The personal service request of \$2.7 million represents an increase of \$0.4 million (17.4%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees. The personal service request also includes \$0.2 million for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, equipment rental and repairs, postage and printing, and in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3.2 million, or an increase of \$58,670 (1.9%) over current year funding. Cost-of-living increases in contracts with the Fund for the City of New York account for additional funding in other general services. These increases are partially offset by expenditure-based decreases in supplies and materials, repairs of equipment, and *per diem* court interpreters.

Courts of Original Jurisdiction
Budget Summary - General Fund

Community Courts Program

New York City	Personal Service	Nonpersonal Service	Total
Bronx Community Solutions	\$0	\$818,194	\$818,194
Brownsville Community Court	0	287,914	287,914
Harlem Community Justice Center	1,443,777	549,150	1,992,927
Midtown Community Court	484,758	650,167	1,134,925
Red Hook Community Court	743,984	911,247	1,655,231
Total:	<u>\$2,672,519</u>	<u>\$3,216,672</u>	<u>\$5,889,191</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	2,106,377	2,504,015	397,638
PS Overtime	169,731	168,504	(1,227)
Total Personal Service	<u>2,276,108</u>	<u>2,672,519</u>	<u>396,411</u>
Nonpersonal Service			
Supplies and Materials	9,190	8,545	(645)
Equipment Rental and Repairs	13,338	12,496	(842)
Postage and Printing	2,000	2,265	265
Other Professional Services	3,065,974	3,126,866	60,892
In-Part Services	<u>67,500</u>	<u>66,500</u>	<u>(1,000)</u>
Total Nonpersonal Service	<u>3,158,002</u>	<u>3,216,672</u>	<u>58,670</u>
Grand Total	5,434,110	5,889,191	455,081

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$16,276,541
Drug Treatment Courts Program	Personal Service:	15,325,409
	Nonpersonal Service:	951,132
	Maintenance Undistributed:	0

Program Description

This Program provides the nonfederal supported funding for the operation of Drug Treatment Courts throughout the State. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were primarily funded by federal grants. Since then, however, the State has assumed greater responsibility for funding these courts.

Summary of 2020-21 Funding Request

The Drug Treatment Courts General Fund budget request is \$16.3 million, or an increase of \$0.4 million (2.8%) over the current year adjusted appropriation.

The personal service request of \$15.3 million represents an increase of \$0.5 million (3.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, and location pay for all eligible nonjudicial employees.

Included in the personal service request is \$0.2 million for essential overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, other professional services, and travel for nonjudicial employees.

The nonpersonal service request of \$1 million reflects a decrease of \$29,447 (-3%) from the current year adjusted appropriation. The decrease is primarily attributable to expenditure-based reductions in office supplies, miscellaneous supplies and materials, and a decline in drug testing services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,324,441	\$17,946	\$1,342,387
Bronx	1,401,661	16,353	1,418,014
Kings	2,600,862	139,745	2,740,607
Queens	1,298,059	24,002	1,322,061
Richmond	90,600	8,036	98,636
NYC Subtotal:	<u>\$6,715,623</u>	<u>\$206,082</u>	<u>\$6,921,705</u>
3rd Judicial District	\$1,098,711	\$107,910	\$1,206,621
4th Judicial District	982,759	52,825	1,035,584
5th Judicial District	911,021	33,893	944,914
6th Judicial District	655,127	139,344	794,471
7th Judicial District	1,397,540	128,805	1,526,345
8th Judicial District	1,874,370	71,855	1,946,225
9th Judicial District	882,881	44,854	927,735
Nassau County	200,017	103,996	304,013
Suffolk County	607,360	61,568	668,928
Outside NYC Subtotal:	<u>\$8,609,786</u>	<u>\$745,050</u>	<u>\$9,354,836</u>
Total:	<u>\$15,325,409</u>	<u>\$951,132</u>	<u>\$16,276,541</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	14,662,964	15,122,622	459,658
PS Overtime	186,124	202,787	16,663
Total Personal Service	14,849,088	15,325,409	476,321
Nonpersonal Service			
Supplies and Materials	791,423	765,353	(26,070)
Travel	57,728	57,475	(253)
Equipment Rental and Repairs	12,217	11,499	(718)
Conferences and Training	100	2,100	2,000
Postage and Printing	7,425	6,824	(601)
Telecommunications	486	881	395
Information Technology Services	3,500	3,500	0
Other Professional Services	107,700	103,500	(4,200)
Total Nonpersonal Service	980,579	951,132	(29,447)
Grand Total	15,829,667	16,276,541	446,874

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$18,659,460
Court of Claims Program	Personal Service:	14,831,764
	Nonpersonal Service:	3,827,696
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities such as the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases, and disputes over compensation awards following exercises of the State's power of eminent domain. It exercises its jurisdiction statewide with a presence in venues throughout New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica, and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2020-21 Funding Request

The Court of Claims General Fund budget request is \$18.7 million, or a decrease of \$63,799 (-0.3%) from the current year adjusted appropriation.

The personal service request of \$14.8 million represents a decrease of \$0.1 million (-0.8%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support, and administration including: supplies and materials, equipment rental and repairs, postage and printing, and in-part services. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$3.8 million, or an increase of \$61,986 (1.6%) over current year funding. The increase is primarily attributable to increasing real estate rental costs. Expenditure-based increases in travel, conferences, and training are also noted. These increases are partially offset by expenditure-based decreases in office supplies, postage, equipment rental and repairs, telephones, building and property services, *per diem* interpreters, and transcripts.

Courts of Original Jurisdiction
Budget Summary - General Fund

Court of Claims

Court of Claims	Personal Service	Nonpersonal Service	Total
Court of Claims	\$14,831,764	\$3,827,696	\$18,659,460
Total:	<u>\$14,831,764</u>	<u>\$3,827,696</u>	<u>\$18,659,460</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	14,956,485	14,831,564	(124,921)
PS Overtime	1,064	200	(864)
Total Personal Service	14,957,549	14,831,764	(125,785)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	11,025	10,925	(100)
Supplies and Materials	59,070	58,250	(820)
Travel	54,000	60,000	6,000
Equipment Rental and Repairs	74,815	70,414	(4,401)
Real Estate Rentals	3,420,976	3,478,103	57,127
Conferences and Training	50,000	58,000	8,000
Postage and Printing	45,500	44,500	(1,000)
Telecommunications	3,450	2,850	(600)
Information Technology Services	100	100	0
Accounting and Auditing Services	3,000	2,750	(250)
Records Management Services	11,600	11,600	0
Other Professional Services	3,715	3,645	(70)
In-Part Services	4,000	2,500	(1,500)
Transcripts	1,200	800	(400)
Equipment	23,259	23,259	0
Total Nonpersonal Service	3,765,710	3,827,696	61,986
Grand Total	18,723,259	18,659,460	(63,799)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$43,587,515
Jury Program	Personal Service: 19,487,430
	Nonpersonal Service: 24,100,085
	Maintenance Undistributed: 0

Program Description

This Program provides funding for the cost of operating the jury system for New York's courts.

The Jury System: The State Constitution guarantees litigants before New York's courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner's functions are discharged by the jury divisions of the County Clerk's office in each of the City's five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2020-21 Funding Request

The Jury Systems Operations General Fund budget request is \$43.6 million, or an increase of \$0.9 million (2.2%) over the current year adjusted appropriation.

The personal service request of \$19.5 million represents an increase of \$0.7 million (3.9%) over the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, and location pay, as required by law, for all eligible nonjudicial employees.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in Town and Village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, information technology services, supplies and materials, and equipment rental and repairs.

The nonpersonal service request of \$24.1 million represents an increase of \$0.2 million (0.8%) over the current year adjusted appropriation. This increase is primarily attributable to printing, postage, office supplies, and information technology services, which all reflect an increased volume of printing and mailing juror questionnaires and summonses, in addition to juror outreach materials. These increases are partially offset by expenditure-based reductions in shipping, repairs of equipment, telephones, and records management.

Courts of Original Jurisdiction
Budget Summary - General Fund

Jury Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$3,319,783	\$3,612,907	\$6,932,690
Bronx	1,686,674	2,439,538	4,126,212
Kings	2,265,247	2,953,062	5,218,309
Queens	1,858,180	2,082,468	3,940,648
Richmond	512,939	382,572	895,511
NYC Subtotal:	<u>\$9,642,823</u>	<u>\$11,470,547</u>	<u>\$21,113,370</u>
3rd Judicial District	\$1,256,760	\$622,446	\$1,879,206
4th Judicial District	1,050,175	707,088	1,757,263
5th Judicial District	1,159,011	614,281	1,773,292
6th Judicial District	401,176	439,057	840,233
7th Judicial District	1,032,538	818,101	1,850,639
8th Judicial District	1,268,026	751,425	2,019,451
9th Judicial District	1,722,832	1,206,954	2,929,786
Nassau County	1,165,477	1,275,546	2,441,023
Suffolk County	788,612	983,870	1,772,482
Outside NYC Subtotal:	<u>\$9,844,607</u>	<u>\$7,418,768</u>	<u>\$17,263,375</u>
Undistributed	\$0	\$5,210,770	\$5,210,770
Total:	<u>\$19,487,430</u>	<u>\$24,100,085</u>	<u>\$43,587,515</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	18,751,129	19,486,638	735,509
PS Overtime	1,865	792	(1,073)
Total Personal Service	18,752,994	19,487,430	734,436
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	856	896	40
Supplies and Materials	276,422	357,486	81,064
Travel	14,547	16,931	2,384
Equipment Rental and Repairs	199,278	166,422	(32,856)
Postage and Printing	4,299,973	4,458,372	158,399
Telecommunications	116,703	100,643	(16,060)
Information Technology Services	405,000	420,000	15,000
Records Management Services	23,350	14,370	(8,980)
Other Professional Services	4,973	4,623	(350)
In-Part Services	6,560	3,970	(2,590)
Jury Fees	18,556,372	18,556,372	0
Total Nonpersonal Service	23,904,034	24,100,085	196,051
Grand Total	42,657,028	43,587,515	930,487

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$24,485,621
New York City County Clerks Program	Personal Service: 20,962,822
	Nonpersonal Service: 3,522,799
	Maintenance Undistributed: 0

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens, and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City as well as reimbursements from the City of New York for county clerk operations. Jury operations are funded through the Jury System Operations Program.

Summary of 2020-21 Funding Request

The Special Revenue Fund budget request for the New York City County Clerks Program is \$24.5 million, or a decrease of \$0.3 million (-1%) from the current year adjusted appropriation.

The personal service request of \$21 million represents a decrease of \$0.4 million (-1.8%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with NYC County Clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services, and records management services. Accounting and auditing services consist of the fees associated with electronic filing and the payment of various fees by credit cards. Records management services include records storage, shredding of documents, and digitization or microfilming of paper records.

The nonpersonal service request is \$3.5 million, which represents an increase of \$0.1 million (4.2%) over current year funding. The most significant increase in accounting and auditing services reflects the expansion of electronic filing and payment by credit card. An increase in postage reflects expenditure history and increased rates. Expenditure-based decreases in office supplies, travel, and telephones partially offset the increases. The decrease in equipment rental reflects the transfer of funding for a vehicle lease in Richmond County to the Administration and General Support Major Purpose.

Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund

New York City County Clerks Program

New York City	Personal Service	Nonpersonal Service	Total
New York	\$5,581,879	\$1,013,490	\$6,595,369
Bronx	3,644,285	422,245	4,066,530
Kings	4,558,317	880,547	5,438,864
Queens	4,535,668	615,160	5,150,828
Richmond	3,223,821	212,153	3,435,974
Undistributed	(581,148)	379,204	(201,944)
	<u>\$20,962,822</u>	<u>\$3,522,799</u>	<u>\$24,485,621</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	21,355,865	20,962,815	(393,050)
PS Overtime	260	7	(253)
Total Personal Service	<u>21,356,125</u>	<u>20,962,822</u>	<u>(393,303)</u>
Nonpersonal Service			
Supplies and Materials	169,800	160,980	(8,820)
Travel	6,730	4,995	(1,735)
Equipment Rental and Repairs	107,106	105,640	(1,466)
Postage and Printing	91,995	107,330	15,335
Telecommunications	44,300	42,500	(1,800)
Information Technology Services	1,000	2,000	1,000
Accounting and Auditing Services	1,087,000	1,226,000	139,000
Records Management Services	1,870,204	1,870,204	0
Other Professional Services	<u>3,450</u>	<u>3,150</u>	<u>(300)</u>
Total Nonpersonal Service	<u>3,381,585</u>	<u>3,522,799</u>	<u>141,214</u>
Grand Total	24,737,710	24,485,621	(252,089)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$4,832,488
Supreme and County Court	Personal Service:	4,652,742
Law Library Program	Nonpersonal Service:	179,746
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2020-21 Funding Request

The Supreme Court Law Libraries General Fund budget request is \$4.8 million, or an increase of \$68,586 (1.4%) over the current year adjusted appropriation.

The personal service request of \$4.7 million represents an increase of \$74,796 (1.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$81,502 for temporary service. This funding includes three part-time positions that support ongoing law library operations in Kings and Genesee Counties.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. It should be noted, however, that the acquisition of most legal reference materials and computer assisted legal reference access are provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$0.2 million represents a decrease of \$6,210 (-3.3%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in office supplies and repairs of equipment. Additional savings are realized in computer assisted legal research costs that have been centralized within the Office of Legal Information in the Court Support Services Program. These savings are partially offset by increased costs for travel, and legal reference and subscriptions.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$0	\$1,000	\$1,000
New York Criminal	141,413	2,500	143,913
Bronx	111,253	2,000	113,253
Kings	364,433	2,000	366,433
Queens	309,256	14,000	323,256
Richmond	95,385	800	96,185
NYC Subtotal:	<u>\$1,021,740</u>	<u>\$22,300</u>	<u>\$1,044,040</u>
3rd Judicial District	\$371,450	\$22,600	\$394,050
4th Judicial District	334,000	8,140	342,140
5th Judicial District	974,655	36,090	1,010,745
6th Judicial District	242,534	19,516	262,050
7th Judicial District	330,460	11,629	342,089
8th Judicial District	302,212	18,756	320,968
9th Judicial District	526,610	5,064	531,674
Nassau County	203,881	10,566	214,447
Suffolk County	345,200	25,085	370,285
Outside NYC Subtotal:	<u>\$3,631,002</u>	<u>\$157,446</u>	<u>\$3,788,448</u>
Total:	<u>\$4,652,742</u>	<u>\$179,746</u>	<u>\$4,832,488</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	4,494,008	4,571,240	77,232
PS Temporary	83,938	81,502	(2,436)
Total Personal Service	<u>4,577,946</u>	<u>4,652,742</u>	<u>74,796</u>
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	88,109	83,904	(4,205)
Supplies and Materials	33,397	31,596	(1,801)
Travel	14,990	16,202	1,212
Equipment Rental and Repairs	36,476	36,304	(172)
Postage and Printing	7,450	7,307	(143)
Telecommunications	1,250	1,365	115
Other Professional Services	4,084	2,868	(1,216)
In-Part Services	<u>200</u>	<u>200</u>	<u>0</u>
Total Nonpersonal Service	<u>185,956</u>	<u>179,746</u>	<u>(6,210)</u>
Grand Total	4,763,902	4,832,488	68,586

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$13,366,635
Alternative Dispute Resolution and Court Improvement Programs	Personal Service:	1,460,654
	Nonpersonal Service:	11,905,981
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected Alternative Dispute Resolution (ADR), Children's Centers, and Court Appointed Special Advocates (CASA).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations throughout the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate's Court, and housing and small claims disputes in City and District Courts. The Children's Centers provide child-care services for children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2020-21 Funding Request

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$13.4 million, or an increase of \$1.2 million (10.1%) over the current year adjusted appropriation.

The personal service request of \$1.5 million represents an increase of \$37,811 (2.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The nonpersonal service request of \$11.9 million reflects an increase of \$1.2 million (11.1%) over the current year adjusted appropriation and includes contractual funding for dispute resolution programs, Children's Centers, and CASA programs located throughout the State. The increase supports cost-of-living adjustments for all service providers, annualization of prior-year program enhancements, and continued program expansion for fiscal year 2020-21. These increases are partially offset by decreases in office supplies, and conferences and training.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution & Court Improvement Programs

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,460,654	\$11,905,981	\$13,366,635
Total:	<u>\$1,460,654</u>	<u>\$11,905,981</u>	<u>\$13,366,635</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	1,422,843	1,460,654	37,811
Total Personal Service	1,422,843	1,460,654	37,811
Nonpersonal Service			
Supplies and Materials	2,610	2,180	(430)
Travel	25,150	25,150	0
Conferences and Training	46,500	38,000	(8,500)
Postage and Printing	500	500	0
Information Technology Services	49,750	49,750	0
Other Professional Services	3,160,551	3,223,762	63,211
ADR/SCAR/Arbitration	7,428,989	8,566,639	1,137,650
Total Nonpersonal Service	10,714,050	11,905,981	1,191,931
Grand Total	12,136,893	13,366,635	1,229,742

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$119,311,952
Court Support Services Program	Personal Service:	56,592,618
	Nonpersonal Service:	62,719,334
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, court research, electronic filing, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, and general services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2020-21 Funding Request

The Court Support Services All Funds budget request is \$119.3 million, or an increase of \$7.5 million (6.8%) over the current year adjusted appropriation.

The personal service request of \$56.6 million represents a decrease of \$0.5 million (-0.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances and location pay, as required by law, for all eligible nonjudicial employees. The personal service request also includes \$0.2 million for overtime in support of ongoing operations. The net increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rental and repairs, rental of office and training space, administration of the E-filing program, CourtNet support and court research, as well as information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation, the preservation of historic records, and grants for lawyer assistance services throughout New York State is also included in the Court Support Services Program. *Per diem* interpreter funding is centralized in this program in many judicial districts outside New York City.

The nonpersonal service request of \$62.7 million reflects an increase of \$8.1 million (14.7%) over the current year adjusted appropriation. The most significant increase, in other general services, is due to costs associated with administration of the court officer's exam. These costs cover design and development of competitive test screening, electronic testing fees for the exam, credit card processing fees for exam applications, and the implementation of new bank

software. The increase in printing costs reflects a new contractual obligation associated with the 2019 criminal justice reforms. Inflationary increases in legal reference materials, CALR, other general services, and real estate rentals also contribute to the growth. An expenditure-based increase in ADR mediation services in New York City is also included. Other expenditure-based increases are noted in *per diem* interpreters, conferences and training, repairs of equipment, and information technology services. These increases are partially offset by decreases in supplies and materials, postage, records management services, and travel.

Courts of Original Jurisdiction
Budget Summary - All Funds

Court Support Services

Court Support Services	Personal Service	Nonpersonal Service	Total
Continuing Legal Education	\$948,195	\$6,500	\$954,695
Court Interpreter Operations	4,034,733	1,114,645	5,149,378
Data Processing & Network Support	32,476,314	13,187,639	45,663,953
Div. of Professional & Court Services	2,427,072	4,091,882	6,518,954
Electronic Filing	1,810,478	22,500	1,832,978
General Services	1,404,448	15,296,610	16,701,058
Human Resources Administration	3,927,115	6,051,960	9,979,075
Jury, Court Statistics & Operations	1,265,153	45,110	1,310,263
Legal Resources	288,267	21,656,149	21,944,416
Office of Justice Initiatives	1,457,412	89,250	1,546,662
Office of Policy and Planning	1,251,443	280,900	1,532,343
Office of the Inspector General	1,493,315	19,805	1,513,120
Payroll Operations	2,545,490	232,335	2,777,825
Records Management	897,033	407,667	1,304,700
Web Design	536,029	190,794	726,823
Workforce Diversity	580,211	25,588	605,799
Undistributed	(750,090)	0	(750,090)
TOTAL:	<u>\$56,592,618</u>	<u>\$62,719,334</u>	<u>\$119,311,952</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	56,865,843	56,369,633	(496,210)
PS Overtime	230,302	222,985	(7,317)
Total Personal Service	<u>57,096,145</u>	<u>56,592,618</u>	<u>(503,527)</u>
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	21,037,103	21,625,207	588,104
Supplies and Materials	1,347,085	1,236,925	(110,160)
Travel	480,096	464,946	(15,150)
Equipment Rental and Repairs	3,460,060	3,569,246	109,186
Real Estate Rentals	13,833,258	13,878,940	45,682
Conferences and Training	279,350	314,600	35,250
Postage and Printing	533,750	2,540,000	2,006,250
Telecommunications	2,733,651	2,785,000	51,349
Information Technology Services	4,965,543	5,025,734	60,191
Accounting and Auditing Services	25,000	50,000	25,000
Records Management Services	475,000	470,000	(5,000)
Other Professional Services	4,448,502	9,523,111	5,074,609
In-Part Services	939,440	1,085,500	146,060
ADR/SCAR/Arbitration	110,000	150,000	40,000
Transcripts	0	125	125
Total Nonpersonal Service	<u>54,667,838</u>	<u>62,719,334</u>	<u>8,051,496</u>
Grand Total	111,763,983	119,311,952	7,547,969

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	30,234,859	29,998,566	(236,293)
PS Overtime	230,302	222,985	(7,317)
Total Personal Service	30,465,161	30,221,551	(243,610)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	21,037,103	21,625,207	588,104
Supplies and Materials	1,347,085	1,236,925	(110,160)
Travel	480,096	464,946	(15,150)
Equipment Rental and Repairs	3,460,060	3,569,246	109,186
Real Estate Rentals	13,833,258	13,878,940	45,682
Conferences and Training	279,350	314,600	35,250
Postage and Printing	533,750	2,540,000	2,006,250
Telecommunications	2,733,651	2,785,000	51,349
Information Technology Services	4,965,543	5,025,734	60,191
Accounting and Auditing Services	25,000	50,000	25,000
Records Management Services	475,000	470,000	(5,000)
Other Professional Services	4,448,502	9,523,111	5,074,609
In-Part Services	939,440	1,085,500	146,060
ADR/SCAR/Arbitration	110,000	150,000	40,000
Transcripts	0	125	125
Total Nonpersonal Service	54,667,838	62,719,334	8,051,496
Grand Total	85,132,999	92,940,885	7,807,886

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	26,630,984	26,371,067	(259,917)
Total Personal Service	26,630,984	26,371,067	(259,917)
Grand Total	26,630,984	26,371,067	(259,917)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request: \$4,975,111
Town and Village Program - State Operations	Personal Service: 4,430,509
	Nonpersonal Service: 544,602
	Maintenance Undistributed: 0

Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters, and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program and for the ongoing implementation of the Centralized Arraignment Parts (CAP) Program pursuant to Chapter 492 of the Laws of 2016.

Summary of 2020-21 Funding Request

The Town and Village State Operations General Fund budget request is \$5 million, or an increase of \$0.4 million (8%) over the current year adjusted appropriation.

The personal service request of \$4.4 million represents an increase of \$0.4 million (9.5%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$143,000 in temporary service for acting town and village justices in support of ongoing operations.

The nonpersonal service request is \$0.5 million, or a decrease of \$16,776 (-3%) from current year funding. The decrease is attributable to expenditure-based decreases in office supplies and contractual decreases in repairs of equipment and information technology services. An expenditure-based increase in travel offsets the overall decrease.

Courts of Original Jurisdiction
Budget Summary - General Fund

Town & Village State Operations Program

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$55,000	\$13,778	\$68,778
4th Judicial District	105,951	10,870	116,821
5th Judicial District	308,412	2,360	310,772
6th Judicial District	118,245	26,475	144,720
7th Judicial District	228,558	41,668	270,226
8th Judicial District	80,925	3,689	84,614
9th Judicial District	14,000	0	14,000
Nassau County	97,836	452	98,288
Suffolk County	150,951	0	150,951
Court Support Services	3,270,631	445,310	3,715,941
Total:	<u>\$4,430,509</u>	<u>\$544,602</u>	<u>\$4,975,111</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	3,993,956	4,286,425	292,469
PS Temporary	51,500	143,000	91,500
PS Overtime	226	1,084	858
Total Personal Service	4,045,682	4,430,509	384,827
Nonpersonal Service			
Supplies and Materials	45,517	40,645	(4,872)
Travel	158,370	186,330	27,960
Equipment Rental and Repairs	46,589	8,089	(38,500)
Conferences and Training	79,000	81,000	2,000
Postage and Printing	58,002	57,938	(64)
Telecommunications	350	350	0
Information Technology Services	173,550	170,250	(3,300)
Total Nonpersonal Service	561,378	544,602	(16,776)
Grand Total	4,607,060	4,975,111	368,051

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$414,838,062
Public Safety Program	Personal Service:	381,733,397
	Nonpersonal Service:	33,104,665
	Maintenance Undistributed:	0

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2020-21 Funding Request

The Public Safety All Funds budget request is \$414.8 million, or an increase of \$18.5 million (4.7%) over the current year adjusted appropriation.

The personal service request of \$381.7 million represents an increase of \$17.7 million (4.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, location pay, and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The personal service request will also support planned court officer academy classes. The request includes \$22 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for rifle ranges in several locations and payments to local sheriff and police departments who provide contractual security in certain upstate localities. Funding in other professional services includes support for the background investigations of NYS Court Officer-Trainees. The request also provides funding for supplies and materials, equipment rental and repairs, travel, conferences and training, and information technology services.

The nonpersonal service request is \$33.1 million, or an increase of \$0.8 million (2.5%) over current year funding. Funding in contractual security services reflects collective bargaining increases and annualization of an academy class in Monroe County. An increase in utilities reflects the assumption of electricity costs in the newly opened court officer academy in Brooklyn, and additional funding in medical services is due to evaluations of recruits in the

planned court officer academy classes. There are expenditure-based increases in security supplies, travel, and telephones.

These increases are partially offset by expenditure-based decreases in miscellaneous supplies and materials, equipment rental, conferences and training, printing, and information technology services. Reduced funding is required in other general services due to cyclical x-ray machine inspections.

Courts of Original Jurisdiction
Budget Summary - All Funds

Public Safety Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$8,839,318	\$18,300	\$8,857,618
NY Supreme Criminal	15,626,217	8,920	15,635,137
Supreme Bronx	20,975,316	78,850	21,054,166
Supreme Kings	22,945,272	177,653	23,122,925
Supreme Queens	18,050,844	9,610	18,060,454
Supreme Richmond	6,685,639	109,241	6,794,880
Civil Court	25,594,959	23,130	25,618,089
Criminal Court	59,461,883	54,787	59,516,670
Family Court	37,685,877	28,950	37,714,827
Surrogate's Court	654,987	0	654,987
County Clerks	352,157	0	352,157
Jury	541,549	0	541,549
NYC Subtotal:	<u>\$217,414,018</u>	<u>\$509,441</u>	<u>\$217,923,459</u>
3rd Judicial District	\$9,512,131	\$4,731,689	\$14,243,820
4th Judicial District	12,798,932	309,722	13,108,654
5th Judicial District	9,981,438	3,721,396	13,702,834
6th Judicial District	11,629,197	102,462	11,731,659
7th Judicial District	0	15,987,370	15,987,370
8th Judicial District	17,779,428	4,879,922	22,659,350
9th Judicial District	26,385,260	72,627	26,457,887
Nassau County	24,949,273	98,131	25,047,404
Suffolk County	26,317,905	114,326	26,432,231
Outside NYC Subtotal:	<u>\$139,353,564</u>	<u>\$30,017,645</u>	<u>\$169,371,209</u>
Court Support Services	\$7,514,440	\$2,077,579	\$9,592,019
Undistributed	\$17,451,375	\$500,000	\$17,951,375
Total:	<u>\$381,733,397</u>	<u>\$33,104,665</u>	<u>\$414,838,062</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	342,328,856	359,778,631	17,449,775
PS Overtime	21,722,465	21,954,766	232,301
Total Personal Service	364,051,321	381,733,397	17,682,076
Nonpersonal Service			
Supplies and Materials	701,573	812,320	110,747
Travel	727,872	764,208	36,336
Equipment Rental and Repairs	740,573	712,108	(28,465)
Real Estate Rentals	294,512	348,500	53,988
Conferences and Training	66,297	30,500	(35,797)
Postage and Printing	40,808	15,935	(24,873)
Telecommunications	9,720	12,420	2,700
Information Technology Services	108,625	16,500	(92,125)
Records Management Services	200	200	0
Other Professional Services	508,244	520,899	12,655
Security Services	29,094,643	29,871,075	776,432
Total Nonpersonal Service	32,293,067	33,104,665	811,598
Grand Total	396,344,388	414,838,062	18,493,674

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	341,820,988	359,429,956	17,608,968
PS Overtime	21,717,700	21,951,284	233,584
Total Personal Service	363,538,688	381,381,240	17,842,552
<u>Nonpersonal Service</u>			
Supplies and Materials	701,573	812,320	110,747
Travel	727,872	764,208	36,336
Equipment Rental and Repairs	740,573	712,108	(28,465)
Real Estate Rentals	294,512	348,500	53,988
Conferences and Training	66,297	30,500	(35,797)
Postage and Printing	40,808	15,935	(24,873)
Telecommunications	9,720	12,420	2,700
Information Technology Services	108,625	16,500	(92,125)
Records Management Services	200	200	0
Other Professional Services	508,244	520,899	12,655
Security Services	29,094,643	29,871,075	776,432
Total Nonpersonal Service	32,293,067	33,104,665	811,598
Grand Total	395,831,755	414,485,905	18,654,150

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	507,868	348,675	(159,193)
PS Overtime	4,765	3,482	(1,283)
Total Personal Service	512,633	352,157	(160,476)
Grand Total	512,633	352,157	(160,476)

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$85,605,107
Maintenance Undistributed Program	Personal Service:	(23,025,993)
	Nonpersonal Service:	108,631,100
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This Program includes funding for civil legal services in order to provide counsel to low income New Yorkers in civil cases and for new costs associated with the criminal justice reforms legislation enacted in 2019. Funding also supports UCS Committees and other undistributed funding necessary for court operations. In addition, through special revenue funding requests, this program provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives.

Summary of 2020-21 Funding Request

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$85.6 million or an increase of \$7.8 million (10%) over the current year adjusted appropriation.

The undistributed personal service request reflects savings that are generated throughout the year in the trial courts as employees leave service and essential employees are replaced (-\$35 million) and funding for lump sum payments associated with employees separating from service (\$12 million).

The nonpersonal service General Fund budget request of \$87.1 million reflects an increase of \$1.9 million (2.2%) over the current year adjusted appropriation. The increase supports new obligations and costs associated with the criminal justice reforms enacted in 2019. An increase in judicial hearing officers will support necessary court operation needs. Expenditure-based adjustments in miscellaneous supplies and materials, conferences and training, printing, and other court appointed services support UCS special committees' activities.

The Special Revenue budget request provides appropriation authority for anticipated federal and other nonfederal grants (\$21.5 million). The budget reflects level funding from the current year adjusted appropriation. The funding supports specialty courts, juvenile justice initiatives, the court improvement program, and Raise the Age initiatives. The request includes \$250,000 for the State Special Revenue Asset Forfeiture fund to allow the court system to receive asset forfeiture funds from state and local law enforcement agencies. The request is offset by a reduction in the State Miscellaneous Special Revenue budget for grants in the same amount.

Courts of Original Jurisdiction
Budget Summary - All Funds

Maintenance Undistributed

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$19,000,000	\$19,000,000
Miscellaneous Special Revenue Fund	0	2,250,000	2,250,000
Miscellaneous Special Revenue Fund Asset Forfeiture	0	250,000	250,000
General Fund - Undistributed	(23,025,993)	87,131,100	64,105,107
Total:	<u>(\$23,025,993)</u>	<u>\$108,631,100</u>	<u>\$85,605,107</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	(28,977,179)	(23,025,993)	5,951,186
Total Personal Service	(28,977,179)	(23,025,993)	5,951,186
<u>Nonpersonal Service</u>			
Supplies and Materials	11,000	21,000	10,000
Travel	190,233	190,000	(233)
Conferences and Training	0	2,000	2,000
Postage and Printing	0	7,500	7,500
Other Professional Services	106,560,000	108,262,100	1,702,100
Judicial Hearing Officers	0	141,000	141,000
Transcripts	7,500	7,500	0
Total Nonpersonal Service	106,768,733	108,631,100	1,862,367
Grand Total	77,791,554	85,605,107	7,813,553

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	(28,977,179)	(23,025,993)	5,951,186
Total Personal Service	(28,977,179)	(23,025,993)	5,951,186
<u>Nonpersonal Service</u>			
Supplies and Materials	11,000	21,000	10,000
Travel	190,233	190,000	(233)
Conferences and Training	0	2,000	2,000
Postage and Printing	0	7,500	7,500
Other Professional Services	85,060,000	86,762,100	1,702,100
Judicial Hearing Officers	0	141,000	141,000
Transcripts	7,500	7,500	0
Total Nonpersonal Service	85,268,733	87,131,100	1,862,367
Grand Total	56,291,554	64,105,107	7,813,553

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	2,250,000	(250,000)
Total Nonpersonal Service	2,500,000	2,250,000	(250,000)
Grand Total	2,500,000	2,250,000	(250,000)

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND ASSET FORFEITURE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	0	250,000	250,000
Total Nonpersonal Service	0	250,000	250,000
Grand Total	0	250,000	250,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ MAINTENANCE UNDISTRIBUTED
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	9,500,000	9,500,000	0
Total Nonpersonal Service	9,500,000	9,500,000	0
Grand Total	9,500,000	9,500,000	0

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ MAINTENANCE UNDISTRIBUTED
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	9,500,000	9,500,000	0
Total Nonpersonal Service	9,500,000	9,500,000	0
Grand Total	9,500,000	9,500,000	0

COURTS OF ORIGINAL JURISDICTION	2020-21 Budget Request:	\$22,624,195
Trial Court Administration	Personal Service:	19,376,004
	Nonpersonal Service:	3,248,191
	Maintenance Undistributed:	0

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations, except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2020-21 Funding Request

The Trial Courts Administration All Funds budget request is \$22.6 million, or an increase of \$1.1 million (5%) over the current year adjusted appropriation.

The personal service request of \$19.4 million represents an increase of \$1.4 million (7.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$1,665 for overtime in support of ongoing operations.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include postage and printing, equipment rental and repairs, judicial hearing officers, and supplies and materials. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth, and Sixth Judicial Districts.

The nonpersonal service request of \$3.2 million represents a decrease of \$0.3 million (-8.3%) from the current year adjusted appropriation. This decrease is primarily attributable to an expenditure-based reduction in the use of judicial hearing officers in New York City and a funding transfer for *per diem* interpreters from this Program to the Court Support Services Program in the Fourth Judicial District. This transfer creates a corresponding increase in *per*

diem interpreters in the Court Support Services Program. Other decreases are noted in office supplies, real estate rentals, and telephones. The decreases are partially offset by expenditure-based increases in shipping, rentals of equipment, postage, and miscellaneous supplies and materials.

Courts of Original Jurisdiction
Budget Summary - All Funds

Trial Court Administration Program

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$0	\$501,530	\$501,530
NYC Subtotal:	<u>\$0</u>	<u>\$501,530</u>	<u>\$501,530</u>
3rd Judicial District	\$1,916,048	\$479,487	\$2,395,535
4th Judicial District	1,814,324	496,682	2,311,006
5th Judicial District	2,098,062	401,135	2,499,197
6th Judicial District	1,989,912	352,554	2,342,466
7th Judicial District	1,995,594	174,595	2,170,189
8th Judicial District	2,507,522	220,750	2,728,272
9th Judicial District	2,947,247	238,413	3,185,660
Nassau County	1,987,324	165,287	2,152,611
Suffolk County	2,119,971	217,758	2,337,729
Outside NYC Subtotal:	<u>\$19,376,004</u>	<u>\$2,746,661</u>	<u>\$22,122,665</u>
Total:	<u>\$19,376,004</u>	<u>\$3,248,191</u>	<u>\$22,624,195</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	17,900,932	19,374,339	1,473,407
PS Temporary	95,509	0	(95,509)
PS Overtime	1,380	1,665	285
Total Personal Service	17,997,821	19,376,004	1,378,183
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	5,410	5,410	0
Supplies and Materials	205,235	210,270	5,035
Travel	102,662	105,112	2,450
Equipment Rental and Repairs	289,255	303,413	14,158
Real Estate Rentals	884,713	865,665	(19,048)
Conferences and Training	28,825	31,825	3,000
Postage and Printing	292,329	320,402	28,073
Telecommunications	28,071	25,298	(2,773)
Information Technology Services	43,050	46,147	3,097
Records Management Services	15,887	16,857	970
Other Professional Services	73,772	75,672	1,900
In-Part Services	132,000	0	(132,000)
Judicial Hearing Officers	452,000	254,000	(198,000)
Equipment	988,120	988,120	0
Total Nonpersonal Service	3,541,329	3,248,191	(293,138)
Grand Total	21,539,150	22,624,195	1,085,045

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	17,263,023	18,697,153	1,434,130
PS Temporary	95,509	0	(95,509)
PS Overtime	1,380	1,665	285
Total Personal Service	17,359,912	18,698,818	1,338,906
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	5,410	5,410	0
Supplies and Materials	205,235	210,270	5,035
Travel	102,662	105,112	2,450
Equipment Rental and Repairs	289,255	303,413	14,158
Real Estate Rentals	884,713	865,665	(19,048)
Conferences and Training	28,825	31,825	3,000
Postage and Printing	292,329	320,402	28,073
Telecommunications	28,071	25,298	(2,773)
Information Technology Services	43,050	46,147	3,097
Records Management Services	15,887	16,857	970
Other Professional Services	73,772	75,672	1,900
In-Part Services	132,000	0	(132,000)
Judicial Hearing Officers	452,000	254,000	(198,000)
Equipment	988,120	988,120	0
Total Nonpersonal Service	3,541,329	3,248,191	(293,138)
Grand Total	20,901,241	21,947,009	1,045,768

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COJ ADMINISTRATION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	637,909	677,186	39,277
Total Personal Service	637,909	677,186	39,277
Grand Total	637,909	677,186	39,277

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request:	\$17,394,795
Court of Appeals	General Fund:	17,394,795
	State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose budget provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges, is New York's highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2020-21 Funding Request

The Court of Appeals Major Purpose State Operations General Fund budget request is \$17.4 million, or a decrease of \$0.1 million (-0.8 %) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$15.7 million represents a decrease of \$0.1 million (-0.9%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, location pay, and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

Also reflected in the personal service request is \$0.1 million for overtime, which supports current levels of operations.

Nonpersonal Service

The nonpersonal service request of \$1.7 million represents a level budget request from the current year adjusted appropriations. Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing, and travel costs for judges and staff.

Court of Appeals
Budget Summary - General Fund

Court of Appeals	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$15,711,643	\$1,683,152	\$17,394,795
Total:	<u>\$15,711,643</u>	<u>\$1,683,152</u>	<u>\$17,394,795</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	15,748,000	15,606,643	(141,357)
PS Overtime	105,000	105,000	0
Total Personal Service	15,853,000	15,711,643	(141,357)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	548,733	548,733	0
Supplies and Materials	134,591	134,591	0
Travel	332,610	332,610	0
Equipment Rental and Repairs	127,200	127,200	0
Real Estate Rentals	250,953	250,953	0
Conferences and Training	6,235	6,235	0
Postage and Printing	121,500	121,500	0
Telecommunications	56,510	56,510	0
Information Technology Services	18,000	18,000	0
Other Professional Services	66,820	66,820	0
Transcripts	20,000	20,000	0
Total Nonpersonal Service	1,683,152	1,683,152	0
Grand Total	17,536,152	17,394,795	(141,357)

Court of Appeals 2018 Workload

Court of Appeals

Court of Appeals	2018
Applications Decided [CPL 460.20(3)(b)]	2,319
Records on Appeal Filed	120
Oral Arguments (Includes Submissions)	136
Appeals Decided	141
Motions Decided	1,180
Judicial Conduct Commission Determinations Reviewed	8
Opinions Published	257
Motion Decisions Published	1,180

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request:	\$91,556,540
Appellate Court Operations	General Fund:	91,556,540
	State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose budget provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State's four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 17 Justices sitting in the First Department, 21 sitting in the Second Department, 10 sitting in the Third Department, and 12 sitting in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel, and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2020-21 Funding Request

The Appellate Court Operations State Operations General Fund budget request is \$91.6 million, or an increase of \$1.8 million (2%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$86.8 million represents an increase of \$1.8 million (2.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, location pay, and security differential payments (SLED), as required by law, for all eligible non-judicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$0.2 million for temporary service in the Second Department in support of ongoing operations. Also reflected in the personal service request is a total of \$0.2 million for overtime to support current levels of operations in all four Judicial Departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including: supplies and materials, legal reference materials and online services, equipment rental and repairs, postage and printing, telecommunications, records management, judicial hearing officers, and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.8 million reflects an increase of \$3,711 (0.1%) over current year funding. Increased funding in contractual security services covers collective bargaining requirements for a contract with the Monroe County Sheriff's Department for security services. An increase in information technology services supports hardware and software upgrades to replace end-of-life servers. Expenditure-based increases in equipment rentals and real estate rentals are also noted. Legal reference and subscription costs have increased for law library holdings, which are offset using library maintenance agreements in all Departments. Accounting and auditing services have also increased due to the expansion of e-filing throughout the Departments. The overall increase is partially offset by expenditure-based reductions in office supplies, travel, records management, and printing.

Appellate Court Operations
Budget Summary - General Fund

Appellate Divisions and Appellate Terms

Appellate Division & Appellate Terms	Personal Service	Nonpersonal Service	Total
Appellate Division			
1st Department	\$25,148,135	\$687,363	\$25,835,498
2nd Department	30,184,941	1,157,004	31,341,945
3rd Department	12,963,275	637,899	13,601,174
4th Department	14,039,383	2,117,718	16,157,101
Appellate Division Subtotal:	\$82,335,734	\$4,599,984	\$86,935,718
Appellate Division			
Undistributed	(\$2,089,503)	\$0	(\$2,089,503)
Appellate Term			
1st Department	\$2,447,746	\$8,106	\$2,455,852
2nd Department	4,108,737	145,736	4,254,473
Appellate Term Subtotal:	\$6,556,483	\$153,842	\$6,710,325
Total:	<u>\$86,802,714</u>	<u>\$4,753,826</u>	<u>\$91,556,540</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	84,580,350	86,455,114	1,874,764
PS Temporary	169,929	170,000	71
PS Overtime	290,130	177,600	(112,530)
Total Personal Service	85,040,409	86,802,714	1,762,305
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	2,044,550	2,071,650	27,100
Supplies and Materials	315,113	301,303	(13,810)
Travel	453,230	408,555	(44,675)
Equipment Rental and Repairs	293,806	304,994	11,188
Real Estate Rentals	69,723	79,923	10,200
Conferences and Training	9,900	9,400	(500)
Postage and Printing	276,810	269,418	(7,392)
Telecommunications	93,396	93,396	0
Information Technology Services	128,800	144,900	16,100
Accounting and Auditing Services	2,300	10,000	7,700
Records Management Services	42,610	31,610	(11,000)
Other Professional Services	246,617	243,417	(3,200)
In-Part Services	800	800	0
Judicial Hearing Officers	32,000	32,000	0
Security Services	579,000	591,000	12,000
Equipment	161,460	161,460	0
Total Nonpersonal Service	4,750,115	4,753,826	3,711
Grand Total	89,790,524	91,556,540	1,766,016

Appellate Court Operations 2018 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,341	2,774
2nd Department	4,449	8,305
3rd Department	1,583	1,697
4th Department	1,230	1,378
Total:	<u>9,603</u>	<u>14,154</u>

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	378	435
2nd Department	2,296	2,649
Total:	<u>2,674</u>	<u>3,084</u>

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget: Request:	\$186,314,480
Appellate Auxiliary Operations	General Fund:	138,861,558
	State Special Revenue Funds:	47,452,922

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child (AFC), Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness, and Attorney Discipline.

Summary of 2020-21 Funding

The Appellate Auxiliary Operations State Operations All Funds budget request is \$186.3 million or an increase of \$5.8 million (3.2%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$49.2 million represents an increase of \$1 million (2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$16,600 for overtime to support current levels of operations in the Attorney for the Child and Candidate Exam Programs.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: attorney for the child representation provided through both contractual providers and panel attorneys; assigned counsel costs for attorney representation under section 35 of the Judiciary Law; transcript costs and other professional services (forensic evaluations) required for administrative hearings and court proceedings for the MHLS, Attorney Discipline, Attorney for the Child, and Assigned Counsel Programs. Real estate rentals for the NYS Bar Examination testing sites and for the regional offices of the MHLS and Attorney Discipline Programs are also supported. The budget also includes funding for travel, equipment rental and repairs, supplies and materials, legal reference materials, and online services.

The nonpersonal service request of \$137.1 million reflects an increase of \$4.9 million (3.7%) over current year funding. The increase is attributable to a growth in Attorney for the Child contractual obligations and includes funding for new contracts as well as cost-of-living adjustments and other contractual obligations for existing providers. An increase of \$0.4 million in

expert witness costs supports civil confinement cases in the MHLS Program as well as forensic exams in the AFC Program. An increase in other general services (\$0.1 million) for the Candidate Exam Program is for the Uniform Bar Exam (UBE) test materials and exam administration costs. Other expenditure-based increases include information technology services for computer software, licensing fees, and programming costs; other court appointed services for increased used of hearing examiners in the Attorney Discipline program; and an inflationary increase for legal reference materials for the MHLS Program.

The overall increase is partially offset by a reduction in panel attorney costs (-\$0.3 million) due to a declining number of panel members as a result of the low hourly rate. Assigned counsel representation costs also reflect a reduction (-\$0.1 million) due to lower caseloads. Other expenditure-based decreases in real estate rentals for the Candidate Exam Program; and records management, office supplies, and printing reductions among all programs contribute to the offset.

Appellate Auxiliary Operations
Budget Summary - All Funds

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$11,587,744	\$3,876,980	\$15,464,724
2nd Department	21,577,328	17,604,127	39,181,455
3rd Department	6,538,386	14,393,069	20,931,455
4th Department	8,757,859	16,599,805	25,357,664
Attorney for the Child Contracts	0	76,678,156	76,678,156
Board of Law Examiners	2,122,711	3,588,200	5,710,911
Court of Appeals Assigned Counsel	0	5,000	5,000
Undistributed	(1,389,242)	4,374,357	2,985,115
Total:	<u>\$49,194,786</u>	<u>\$137,119,694</u>	<u>\$186,314,480</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	48,175,173	49,178,186	1,003,013
PS Temporary	41,819	0	(41,819)
PS Overtime	17,200	16,600	(600)
Total Personal Service	48,234,192	49,194,786	960,594
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	247,102	263,502	16,400
Supplies and Materials	242,724	231,831	(10,893)
Travel	493,500	490,010	(3,490)
Equipment Rental and Repairs	312,712	311,005	(1,707)
Real Estate Rentals	3,058,208	3,033,936	(24,272)
Conferences and Training	129,400	129,800	400
Postage and Printing	235,665	225,935	(9,730)
Telecommunications	88,951	88,951	0
Information Technology Services	26,604	43,104	16,500
Accounting and Auditing Services	116,400	127,000	10,600
Records Management Services	106,178	73,078	(33,100)
Other Professional Services	5,563,823	6,122,160	558,337
Attorney for the Child Representation	119,597,198	124,046,522	4,449,324
Assigned Counsel	1,712,000	1,618,000	(94,000)
In-Part Services	8,560	9,860	1,300
Transcripts	299,500	305,000	5,500
Total Nonpersonal Service	132,238,525	137,119,694	4,881,169
Grand Total	180,472,717	186,314,480	5,841,763

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	31,567,004	32,208,043	641,039
PS Temporary	41,819	0	(41,819)
PS Overtime	1,200	600	(600)
Total Personal Service	31,610,023	32,208,643	598,620
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	146,892	166,892	20,000
Supplies and Materials	110,673	103,851	(6,822)
Travel	390,300	390,600	300
Equipment Rental and Repairs	84,621	85,150	529
Real Estate Rentals	1,436,487	1,474,257	37,770
Conferences and Training	115,700	115,700	0
Postage and Printing	59,635	58,495	(1,140)
Telecommunications	44,751	48,051	3,300
Information Technology Services	15,604	14,104	(1,500)
Records Management Services	14,200	13,500	(700)
Other Professional Services	2,992,933	3,398,533	405,600
Attorney for the Child Representation	94,597,198	99,046,522	4,449,324
Assigned Counsel	1,712,000	1,618,000	(94,000)
In-Part Services	3,560	4,660	1,100
Transcripts	114,600	114,600	0
Total Nonpersonal Service	101,839,154	106,652,915	4,813,761
Grand Total	133,449,177	138,861,558	5,412,381

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	16,608,169	16,970,143	361,974
PS Overtime	16,000	16,000	0
Total Personal Service	16,624,169	16,986,143	361,974
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	100,210	96,610	(3,600)
Supplies and Materials	132,051	127,980	(4,071)
Travel	103,200	99,410	(3,790)
Equipment Rental and Repairs	228,091	225,855	(2,236)
Real Estate Rentals	1,621,721	1,559,679	(62,042)
Conferences and Training	13,700	14,100	400
Postage and Printing	176,030	167,440	(8,590)
Telecommunications	44,200	40,900	(3,300)
Information Technology Services	11,000	29,000	18,000
Accounting and Auditing Services	116,400	127,000	10,600
Records Management Services	91,978	59,578	(32,400)
Other Professional Services	2,570,890	2,723,627	152,737
In-Part Services	5,000	5,200	200
Transcripts	184,900	190,400	5,500
Total Nonpersonal Service	5,399,371	5,466,779	67,408
Grand Total	22,023,540	22,452,922	429,382

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

APPELLATE AUXILIARY OPERATIONS
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations 2018 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	7,168	10
Children's Law Center NYC	6,888	39
Children's Rights Society	2,691	0
Citizens Concerned for Children	1,182	2
Genesee County Public Defender	29	0
Lawyers For Children	5,145	20
Legal Aid Society NYC	35,270	176
Legal Aid Society Rochester	7,431	6
Legal Aid Society Rockland	2,119	4
Legal Aid Society Suffolk	12,361	36
Sullivan Trail Legal Aid	2,156	0
Clinton County	524	0
Essex County	274	0
Franklin County	671	0
Fulton County	351	0
Otsego County	349	0
Schuyler County	341	0
Children's Law Center Erie County	1,640	2
Total:	<u>86,590</u>	<u>295</u>

Appellate Auxiliary Operations 2018 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	63,300	35,153
2nd Department	94,268	188,349
3rd Department	29,904	46,490
4th Department	50,468	56,765
Total:	<u>237,940</u>	<u>326,757</u>

Candidate Examination

State Board of Law Examiners	2018
Candidates for Examination	15,026
Individuals with Disabilities Applicants	415
Applicants for Certification	872
MPRE Scores Transferred In	12,925
Foreign Evaluations	4,030

Appellate Auxiliary Operations 2018 Workload

Candidate Fitness

Department	Applications for Admissions
1st Department	2,626
2nd Department	2,267
3rd Department	3,579
4th Department	301
Total:	<u>8,773</u>

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	2,933	2,884
2nd Department	6,020	5,803
3rd Department	3,466	1,183
4th Department	1,625	1,666
Total:	<u>14,044</u>	<u>11,536</u>

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$2,192,000
Assigned Counsel Program	Personal Service:	0
	Nonpersonal Service:	2,192,000
	Maintenance Undistributed:	0

Program Description

This Program provides funding for legal services and forensic evaluations required by section 35 of the Judiciary Law.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent, or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County-Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel.

Summary of 2020-21 Funding Request

The Assigned Counsel General Fund budget request is \$2.2 million, a decrease of \$0.1 million (-4.6%) from the current year adjusted appropriation.

The nonpersonal service request includes funding to support payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

The nonpersonal service request of \$2.2 million reflects a decrease of \$0.1 million (-4.6%) from the current year adjusted appropriation. Due to a decline in workload, expenditure-based adjustments are noted in experts, attorney representation, and transcript costs.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$265,000	\$265,000
2nd Department	0	700,000	700,000
3rd Department	0	22,000	22,000
4th Department	0	800,000	800,000
Court of Appeals	0	5,000	5,000
Undistributed	0	400,000	400,000
Total:	<u>\$0</u>	<u>\$2,192,000</u>	<u>\$2,192,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	580,000	570,000	(10,000)
Assigned Counsel	1,712,000	1,618,000	(94,000)
Transcripts	5,000	4,000	(1,000)
Total Nonpersonal Service	2,297,000	2,192,000	(105,000)
Grand Total	2,297,000	2,192,000	(105,000)

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$127,859,224
Attorney for the Child Program	Personal Service:	2,455,786
	Nonpersonal Service:	125,403,438
	Maintenance Undistributed:	0

Program Description

This Program provides funding for legal representation to children in Family Court matters and is administered by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and in certain foster care review proceedings under Social Services Law, Section 392. Also, pursuant to Chapter 59 of the Laws of 2017, the age of criminal responsibility for youthful offenders has been raised to 18, which has extended Family Court jurisdiction to 16 and 17-year-old juvenile offenders accused of misdemeanor offenses and certain nonviolent offenses. The Raise the Age (RTA) legislation has been fully implemented as of October 2019. The 2020-21 funding needs associated with RTA will be requested through the Executive Branch RTA central appropriation.

Summary of 2020-21 Funding Request

The Attorney for the Child All Funds budget request is \$127.9 million or an increase of \$4.5 million (3.6%) over the current year adjusted appropriation.

The personal service request of \$2.5 million represents a slight decrease of \$4,090 (-0.2%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service budget supports payments to legal service providers and individual panel attorneys who provide legal representation to children (Attorney for the Child representation) and includes related expenses such as forensic evaluations (other professional services), and transcripts. Funding also includes statutorily mandated training (conferences and training) as well as litigation support and legal reference materials for these attorneys.

The nonpersonal service request of \$125.4 million reflects an increase of \$4.5 million (3.7%) over current year funding. The increase is attributable to a growth in contractual obligations primarily due to the declining number of panel attorneys. The increase includes funding for new contracts as well as cost-of-living adjustments and other contractual obligations for existing providers. The increase is partially offset by a reduction in the cost for panel attorneys as well as expenditure-based reductions in supplies and materials, records management, and real estate rentals.

Appellate Auxiliary Operations
Budget Summary - All Funds

Attorney for the Child Program

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$359,509	\$2,998,250	\$3,357,759
2nd Department	851,486	14,530,426	15,381,912
3rd Department	587,544	13,258,995	13,846,539
4th Department	657,247	14,288,254	14,945,501
Attorney for the Child Contracts	0	76,678,156	76,678,156
Undistributed	0	3,649,357	3,649,357
Total:	<u>\$2,455,786</u>	<u>\$125,403,438</u>	<u>\$127,859,224</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	2,458,676	2,455,186	(3,490)
PS Overtime	1,200	600	(600)
Total Personal Service	2,459,876	2,455,786	(4,090)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	4,567	4,567	0
Supplies and Materials	19,190	15,709	(3,481)
Travel	33,300	34,600	1,300
Equipment Rental and Repairs	13,004	13,061	57
Real Estate Rentals	63,609	62,829	(780)
Conferences and Training	109,900	109,900	0
Postage and Printing	9,100	8,850	(250)
Telecommunications	2,700	3,000	300
Information Technology Services	1,000	500	(500)
Records Management Services	1,400	400	(1,000)
Other Professional Services	1,019,000	1,041,500	22,500
Attorney for the Child Representation	119,597,198	124,046,522	4,449,324
In-Part Services	1,000	1,000	0
Transcripts	61,000	61,000	0
Total Nonpersonal Service	120,935,968	125,403,438	4,467,470
Grand Total	123,395,844	127,859,224	4,463,380

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	2,458,676	2,455,186	(3,490)
PS Overtime	1,200	600	(600)
Total Personal Service	2,459,876	2,455,786	(4,090)
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	4,567	4,567	0
Supplies and Materials	19,190	15,709	(3,481)
Travel	33,300	34,600	1,300
Equipment Rental and Repairs	13,004	13,061	57
Real Estate Rentals	63,609	62,829	(780)
Conferences and Training	109,900	109,900	0
Postage and Printing	9,100	8,850	(250)
Telecommunications	2,700	3,000	300
Information Technology Services	1,000	500	(500)
Records Management Services	1,400	400	(1,000)
Other Professional Services	1,019,000	1,041,500	22,500
Attorney for the Child Representation	94,597,198	99,046,522	4,449,324
In-Part Services	1,000	1,000	0
Transcripts	61,000	61,000	0
Total Nonpersonal Service	95,935,968	100,403,438	4,467,470
Grand Total	98,395,844	102,859,224	4,463,380

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ATTORNEY FOR THE CHILD
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$34,720,350
Mental Hygiene Legal Service Program	Personal Service:	30,662,873
	Nonpersonal Service:	4,057,477
	Maintenance Undistributed:	0

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice, and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care, and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment, and sex offender management.

Summary of 2020-21 Funding Request

The MHLS General Fund budget request is \$34.7 million or an increase of \$1 million (3%) over the current year adjusted appropriation.

The personal service request of \$30.7 million represents an increase of \$0.6 million (1.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS Program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials, and travel.

The nonpersonal service request of \$4.1 million reflects an increase of \$0.5 million (12.5%) over the current year funding. The increase is primarily due to a growing need for expert witnesses related to civil confinement of sex offenders. Real estate rentals and utilities have also increased due to escalation costs. Increases in legal reference and subscriptions, and telephones are also noted. These increases are partially offset by reductions in office supplies, travel, and other general services.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Services Program

Mental Hygiene Legal Services	Personal Service	Nonpersonal Service	Total
1st Department	\$6,303,619	\$325,250	\$6,628,869
2nd Department	13,754,411	1,432,373	15,186,784
3rd Department	4,435,384	794,445	5,229,829
4th Department	6,169,459	1,180,409	7,349,868
Undistributed	0	325,000	325,000
Total:	<u>\$30,662,873</u>	<u>\$4,057,477</u>	<u>\$34,720,350</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	30,056,939	30,662,873	605,934
PS Temporary	41,819	0	(41,819)
Total Personal Service	30,098,758	30,662,873	564,115
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	142,325	162,325	20,000
Supplies and Materials	91,483	88,142	(3,341)
Travel	357,000	356,000	(1,000)
Equipment Rental and Repairs	71,617	72,089	472
Real Estate Rentals	1,372,878	1,411,428	38,550
Conferences and Training	5,800	5,800	0
Postage and Printing	50,535	49,645	(890)
Telecommunications	42,051	45,051	3,000
Information Technology Services	14,604	13,604	(1,000)
Records Management Services	12,800	13,100	300
Other Professional Services	1,393,933	1,787,033	393,100
In-Part Services	2,560	3,660	1,100
Transcripts	48,600	49,600	1,000
Total Nonpersonal Service	3,606,186	4,057,477	451,291
Grand Total	33,704,944	34,720,350	1,015,406

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$5,710,911
Candidate Examination Program	Personal Service:	2,122,711
	Nonpersonal Service:	3,588,200
	Maintenance Undistributed:	0

Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: Applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. Applicants who are admitted to practice in a reciprocal jurisdiction, have graduated from an ABA approved law school, and have practiced for five of the preceding seven years, may be admitted on motion without examination.

The State Board of Law Examiners develops and administers the Bar Examination twice annually. Beginning in July 2016, as adopted by the Court of Appeals, the Uniform Bar Examination (UBE) was first administered in New York in place of the Multi-State Bar Exam (MBE). The UBE is a high quality, uniform battery of tests that are administered simultaneously in the UBE jurisdictions. Applicants are also required to complete an online course and pass an online examination on New York law, as a requirement for admission.

The Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness Program.

Summary of 2020-21 Funding Request

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.7 million or a decrease of \$94,480 (-1.6%) from the current year adjusted appropriation.

The personal service request of \$2.1 million represents a decrease of \$0.1 million (-5.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments and longevity bonuses, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service budget also includes \$16,000 for overtime to support current levels of operations.

The nonpersonal service funding supports expenses associated with the administration of the Bar Examination including: other professional services for fees paid to the national association for the UBE exam, consultant fees and security costs, real estate rentals for testing locations, and accounting and auditing services for processing credit card payments.

The nonpersonal service request of \$3.5 million reflects an increase of \$38,500 (1.1%) over the current year adjusted appropriation attributable to inflationary cost increases in the rental of test sites and an increase in the UBE materials purchased from the National Conference of Board Examiners.

The Candidate Examination Program collects revenue of approximately \$6 million annually in Bar Examination fees, UBE score transfer fees, and admission on motion registration fees, which are deposited into the General Fund.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Examination Program

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$2,122,711	\$3,588,200	\$5,710,911
Total:	<u>\$2,122,711</u>	<u>\$3,588,200</u>	<u>\$5,710,911</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,239,691	2,106,711	(132,980)
PS Overtime	16,000	16,000	0
Total Personal Service	2,255,691	2,122,711	(132,980)
<u>Nonpersonal Service</u>			
Supplies and Materials	20,700	18,200	(2,500)
Travel	47,000	40,000	(7,000)
Equipment Rental and Repairs	125,000	120,000	(5,000)
Real Estate Rentals	765,000	685,000	(80,000)
Postage and Printing	57,500	46,000	(11,500)
Telecommunications	27,000	24,000	(3,000)
Information Technology Services	7,500	25,000	17,500
Accounting and Auditing Services	115,000	125,000	10,000
Other Professional Services	2,380,000	2,500,000	120,000
In-Part Services	5,000	5,000	0
Total Nonpersonal Service	3,549,700	3,588,200	38,500
Grand Total	5,805,391	5,710,911	(94,480)

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$1,236,821
Candidate Fitness Program	Personal Service:	1,041,100
	Nonpersonal Service:	195,721
	Maintenance Undistributed:	0

Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2020-21 Funding Request

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.2 million, a decrease of \$39,475 (-3.1%) from the current year adjusted appropriation.

The personal service request of \$1 million represents a decrease of \$27,481 (-2.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, records management services, other professional services, and transcripts.

The nonpersonal service request of \$0.2 million reflects a decrease of \$11,994 (-5.8%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in legal reference and subscriptions, records management, printing, and miscellaneous supplies and materials. These decreases are partially offset by expenditure-based increases in equipment repairs and real estate rentals.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Fitness Program

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$501,893	\$26,580	\$528,473
2nd Department	539,207	57,560	596,767
3rd Department	0	97,229	97,229
4th Department	0	14,352	14,352
Total:	<u>\$1,041,100</u>	<u>\$195,721</u>	<u>\$1,236,821</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,068,581	1,041,100	(27,481)
Total Personal Service	1,068,581	1,041,100	(27,481)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,000	1,500	(500)
Supplies and Materials	13,395	14,416	1,021
Travel	3,900	3,965	65
Equipment Rental and Repairs	13,672	14,372	700
Real Estate Rentals	0	4,000	4,000
Conferences and Training	5,400	5,800	400
Postage and Printing	46,430	44,640	(1,790)
Telecommunications	1,900	1,800	(100)
Information Technology Services	500	500	0
Accounting and Auditing Services	1,400	2,000	600
Records Management Services	53,828	36,328	(17,500)
Other Professional Services	41,390	41,500	110
Transcripts	23,900	24,900	1,000
Total Nonpersonal Service	207,715	195,721	(11,994)
Grand Total	1,276,296	1,236,821	(39,475)

APPELLATE AUXILIARY OPERATIONS	2020-21 Budget Request:	\$15,984,416
Attorney Discipline Program	Personal Service:	14,301,558
	Nonpersonal Service:	1,682,858
	Maintenance Undistributed:	0

Program Description

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2020-21 Funding Request

The Attorney Discipline Special Revenue Fund budget request is \$16 million or an increase of \$0.5 million (3.5%) over the current year adjusted appropriation.

The personal service request of \$14.3 million represents an increase of \$0.5 million (3.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline Program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services, and supplies and materials.

The nonpersonal service request of \$1.7 million reflects a slight increase of \$40,902 (2.5%) over current year funding. The increase is primarily attributable to expenditure-based adjustments in travel, transcripts, and other court-appointed services, where there has been an expanded use of hearing officers. Increased funding for real estate rentals due to escalation of costs in the Second Department is also noted. These increases are partially offset by expenditure-based reductions in office supplies, legal reference and subscriptions, and records management.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Attorney Discipline Program

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$4,422,723	\$261,900	\$4,684,623
2nd Department	6,432,224	883,768	7,315,992
3rd Department	1,515,458	220,400	1,735,858
4th Department	1,931,153	316,790	2,247,943
Total:	<u>\$14,301,558</u>	<u>\$1,682,858</u>	<u>\$15,984,416</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,798,785	14,301,558	502,773
Total Personal Service	13,798,785	14,301,558	502,773
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	98,210	95,110	(3,100)
Supplies and Materials	97,956	95,364	(2,592)
Travel	52,300	55,445	3,145
Equipment Rental and Repairs	89,419	91,483	2,064
Real Estate Rentals	856,721	870,679	13,958
Conferences and Training	8,300	8,300	0
Postage and Printing	72,100	76,800	4,700
Telecommunications	15,300	15,100	(200)
Information Technology Services	3,000	3,500	500
Records Management Services	38,150	23,250	(14,900)
Other Professional Services	149,500	182,127	32,627
In-Part Services	0	200	200
Transcripts	161,000	165,500	4,500
Total Nonpersonal Service	1,641,956	1,682,858	40,902
Grand Total	15,440,741	15,984,416	543,675

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request:	\$25,092,853
Administration and General Support	General Fund:	23,626,415
	State Special Revenue Funds:	1,466,438

Major Purpose Description

The Administration and General Support Major Purpose budget provides management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, public information, and public affairs.

The Administration and General Support Major Purpose budget is supported by the General Fund and the Court Facilities Incentive Aid Fund. The Court Facilities Incentive Aid Fund manages the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. This Major Purpose budget includes funding for the staff who manage the Court Facilities Program. Funding for municipalities under the Court Facilities Program is included in the Aid to Localities Major Purpose budget.

Summary of 2020-21 Funding Request

The Administration and General Support Major Purpose State Operations All Funds budget request is \$25.1 million, or an increase of \$2.6 million (11.6%) over the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$21.3 million represents an increase of \$2.6 million (14.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances, location pay, and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Also reflected in the personal service request is \$18,702 for overtime to support current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: travel, postage and printing, accounting and auditing services, information technology services, and conferences and training.

The nonpersonal service request is \$3.8 million, which reflects a decrease of \$17,823 (-0.5%) from the current year adjusted appropriation. Expenditure-based decreases are reflected in postage, equipment rental, printing, records management services, other general services, and information technology services. These decreases are partially offset by expenditure-based increases in accounting and auditing services, legal reference and subscriptions, shipping, and real estate rentals.

Administration and General Support
Budget Summary - All Funds

Administration & General Support	Personal Service	Nonpersonal Service	Total
Attorney Registration	\$1,349,358	\$978,750	\$2,328,108
Counsel Activities	3,465,741	40,650	3,506,391
Court Facilities Administration	1,454,653	11,785	1,466,438
Deputy Chief Administrative Judges	2,586,871	77,845	2,664,716
Division of Financial Management	3,328,689	70,000	3,398,689
Executive Direction	1,837,067	92,590	1,929,657
HR Civil Service & Labor Administration	2,579,684	183,000	2,762,684
Internal Audit Services	1,989,483	169,040	2,158,523
Judicial Institute Education & Training	1,679,780	2,078,750	3,758,530
Public Affairs	334,765	9,500	344,265
Public Information	658,874	17,500	676,374
UCS Commissions	692,662	69,840	762,502
Undistributed	(664,024)	0	(664,024)
Total:	<u>\$21,293,603</u>	<u>\$3,799,250</u>	<u>\$25,092,853</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	18,654,840	21,274,901	2,620,061
PS Overtime	4,500	18,702	14,202
Total Personal Service	18,659,340	21,293,603	2,634,263
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	23,350	23,300	(50)
Supplies and Materials	119,635	119,565	(70)
Travel	718,250	713,500	(4,750)
Equipment Rental and Repairs	36,450	27,050	(9,400)
Real Estate Rentals	46,000	55,000	9,000
Conferences and Training	1,523,500	1,524,500	1,000
Postage and Printing	310,100	286,810	(23,290)
Information Technology Services	31,288	17,025	(14,263)
Accounting and Auditing Services	700,000	741,500	41,500
Records Management Services	40,000	30,000	(10,000)
Other Professional Services	221,000	215,000	(6,000)
ADR/SCAR/Arbitration	5,000	3,500	(1,500)
Transcripts	42,500	42,500	0
Total Nonpersonal Service	3,817,073	3,799,250	(17,823)
Grand Total	22,476,413	25,092,853	2,616,440

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	17,458,711	19,820,248	2,361,537
PS Overtime	4,500	18,702	14,202
Total Personal Service	17,463,211	19,838,950	2,375,739
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	23,350	23,300	(50)
Supplies and Materials	118,485	118,530	45
Travel	715,750	708,500	(7,250)
Equipment Rental and Repairs	36,450	27,050	(9,400)
Real Estate Rentals	46,000	55,000	9,000
Conferences and Training	1,523,500	1,524,500	1,000
Postage and Printing	310,000	286,560	(23,440)
Information Technology Services	26,788	11,525	(15,263)
Accounting and Auditing Services	700,000	741,500	41,500
Records Management Services	40,000	30,000	(10,000)
Other Professional Services	221,000	215,000	(6,000)
ADR/SCAR/Arbitration	5,000	3,500	(1,500)
Transcripts	42,500	42,500	0
Total Nonpersonal Service	3,808,823	3,787,465	(21,358)
Grand Total	21,272,034	23,626,415	2,354,381

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,196,129	1,454,653	258,524
Total Personal Service	1,196,129	1,454,653	258,524
<u>Nonpersonal Service</u>			
Supplies and Materials	1,150	1,035	(115)
Travel	2,500	5,000	2,500
Postage and Printing	100	250	150
Information Technology Services	4,500	5,500	1,000
Total Nonpersonal Service	8,250	11,785	3,535
Grand Total	1,204,379	1,466,438	262,059

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request: \$12,747,962
Lawyers' Fund for Client Protection	General Fund: 0
	Lawyers' Fund for Client Protection: 12,747,962

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection (Lawyer's Fund). The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2020-21 Funding Request

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$12.8 million, or an increase of \$1,357 over the current year adjusted appropriation.

Personal Service

The personal service request of \$0.6 million represents a slight increase of \$321 (0.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments and longevity bonuses, as required by law, for all eligible nonjudicial employees. The resulting increase is partially offset by turnover savings.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request of \$12.2 million reflects level funding from the current year. An expenditure-based reduction in supplies and materials offsets a modest increase in real estate rentals.

Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$592,856	\$12,155,106	\$12,747,962
Total:	<u>\$592.856</u>	<u>\$12,155,106</u>	<u>\$12,747,962</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Personal Service			
PS Regular	592,535	592,856	321
Total Personal Service	592,535	592,856	321
Nonpersonal Service			
Legal Reference/CALR/Subscriptions	10,000	11,000	1,000
Supplies and Materials	7,000	4,000	(3,000)
Travel	20,000	20,000	0
Equipment Rental and Repairs	13,000	13,000	0
Real Estate Rentals	45,570	47,606	2,036
Postage and Printing	18,500	18,500	0
Telecommunications	5,000	5,000	0
Other Professional Services	12,035,000	12,036,000	1,000
Total Nonpersonal Service	12,154,070	12,155,106	1,036
Grand Total	12,746,605	12,747,962	1,357

**STATE OF NEW YORK
JUDICIARY BUDGET**

Fiscal Year
2020-21

Part II
Aid to Localities

SECTION 2

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request: \$117,522,070
Aid To Localities	General Fund: 4,500,000
	Court Facilities Incentive Aid Fund: 113,022,070

Major Purpose Description

The Aid to Localities Major Purpose budget is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2020-21 Funding

The Aid to Localities All Funds budget request of \$117.5 million reflects a decrease of \$44.3 million (-27.4%) from the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program, and the Justice Court Assistance Program.

The budget request includes an increase of \$2.2 million (1.9%) for the Court Facilities Incentive Aid Program. An increase of \$1.5 million (1.9%) in Cleaning and Repairs, plus an increase of \$1.1 million (6.7%) in State Supported Facilities, are partially offset by a decrease of \$0.5 million (-3.2%) in Interest Aid funding.

In addition, there is an increase in the General Fund budget request of \$0.5 million (1%) over the current year adjusted appropriation. This increase will support additional Centralized Arraignment Parts in the Justice Court Assistance Program.

The increases are offset by a transfer of \$47M in Indigent Criminal Defense funding from this Major Purpose to the Aid to Localities Suballocation Major Purpose. The Aid to Localities Suballocation Major Purpose budget request includes a suballocation to the Executive Branch's Office of Indigent Legal Services (ILS) to reduce caseloads of institutional criminal defense attorneys in New York City. The program has been funded and administered by the Judiciary since 2010-11. This suballocation provides an opportunity for ILS to build upon the Judiciary's program to achieve the new ILS caseload standards in the most efficient manner. There is no change in program funding from the current year adjusted appropriation.

Aid to Localities
Budget Summary - All Funds

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$113,022,070	\$113,022,070
Justice Court Assistance	4,500,000	4,500,000
Total:	<u>\$117,522,070</u>	<u>\$117,522,070</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

**ALL FUNDS
AID TO LOCALITIES**

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Maintenance Undistributed			
Interest Aid	14,995,811	14,519,547	(476,264)
State Supported Facilities	16,025,000	17,103,068	1,078,068
Cleaning and Repairs	79,850,358	81,399,455	1,549,097
Justice Court Assistance Program	4,000,000	4,500,000	500,000
Indigent Criminal Defense	47,000,000	0	(47,000,000)
Total Maintenance Undistributed	161,871,169	117,522,070	(44,349,099)
Grand Total	161,871,169	117,522,070	(44,349,099)

AID TO LOCALITIES	2020-21 Budget Request:	\$113,022,070
Court Facilities Incentive Aid Program	Personal Service:	0
	Nonpersonal Service:	0
	Maintenance Undistributed:	113,022,070

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This Program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs, and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2020-21 Funding Request

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$113 million, or an increase of \$2.2 million (1.9%) over the current year appropriation. An increase of \$1.5 million in Cleaning and Repairs supports rising wage and fringe benefit costs as well as tenant work. A \$1.1 million increase in State Supported Facilities reflects higher rental costs for Appellate Court facilities; and a \$0.5 million decrease in interest aid subsidies due to the maturation of bonds.

The maintenance undistributed request reflects \$14.5 million for interest aid subsidies, \$81.4 million for reimbursement of court cleaning and minor repair expenses, and \$17.1 million for full reimbursement of costs for Appellate Division facilities. The Appellate aid also includes funding for local chamber space for Court of Appeals Judges as well as facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$113,022,070	\$113,022,070
Total:	<u>\$113,022,070</u>	<u>\$113,022,070</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Maintenance Undistributed			
Interest Aid	14,995,811	14,519,547	(476,264)
State Supported Facilities	16,025,000	17,103,068	1,078,068
Cleaning and Repairs	79,850,358	81,399,455	1,549,097
Total Maintenance Undistributed	110,871,169	113,022,070	2,150,901
Grand Total	110,871,169	113,022,070	2,150,901

AID TO LOCALITIES	2020-21 Budget Request:	\$4,500,000
Justice Court Assistance Program	Personal Service:	0
	Nonpersonal Service:	0
	Maintenance Undistributed:	4,500,000

Program Description

This Program provides funding for State assistance to Town and Village Justice Courts.

Justice Court Assistance Program (“JCAP”): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their online legal reference capabilities, acquire necessary supplies, and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually provided to applicant towns and villages.

Centralized Arraignment Parts (CAPs) for off-hour arrests were first established in 2017 pursuant to Chapter 492 of the Laws of 2016. The Program ensures the delivery of right-to-counsel services for indigent criminal defendants. Local justices are assigned on a rotational basis to a single central court site to conduct arraignments resulting from off-hour arrests for the entire county. Currently there are 12 fully operational parts in the following counties: Broome, Chautauqua, Livingston, Oneida, Onondaga, Ontario, Seneca, Steuben, Warren, Washington, Wayne, and Yates. It is expected that six more counties will be operational in 2020-21 in the following counties: Niagara, Cayuga, Oswego, Tioga, Rockland, and Orange.

Summary of 2020-21 Funding Request

The Aid to Localities Justice Court Assistance Program budget request of \$4.5 million represents an increase of \$0.5 million (12.5%) over the current adjusted appropriation. The increase is to support the expansion of the CAPs. Level funding is recommended for the JCAP Program.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

Justice Court Assistance	Maintenance Undistributed	Total
Justice Court Assistance	\$4,500,000	\$4,500,000
Total:	<u>\$4,500,000</u>	<u>\$4,500,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

AID TO LOCALITIES
TOWN AND VILLAGE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	4,000,000	4,500,000	500,000
Total Maintenance Undistributed	4,000,000	4,500,000	500,000
Grand Total	4,000,000	4,500,000	500,000

MAJOR PURPOSE SUMMARY	2020-21 All Funds Budget Request: \$62,000,000
Aid to Localities Suballocation	General Fund: 62,000,000

Major Purpose Description

The Aid to Localities Suballocation Major Purpose budget provides funding via suballocations to Executive Branch agencies including the Office of Indigent Legal Services and the Interest on Lawyer Account Fund.

Summary of 2020-21 Funding

The Aid to Localities Suballocation budget request of \$62 million reflects an increase of \$47 million (313%) over the current year adjusted appropriation. The increase reflects a transfer from the Aid to Localities Major Purpose budget for Indigent Criminal Defense. The funding supports suballocations to Executive Branch agencies for delivery of services related to access to justice as follows:

The budget request includes an ongoing \$15 million suballocation to the Interest on Lawyer Account Fund to support the agency's mission to provide Civil Legal Services to low-income New Yorkers.

The budget request also includes a suballocation of \$47 million to the Office of Indigent Legal Services. This reflects a transfer of funding from the Aid to Localities Major Purpose. There is no change in program funding from the current year adjusted appropriation. This funding supports the Judiciary's existing program to reduce caseloads of institutional criminal defense providers in New York City. The suballocation provides an opportunity for the State to build upon the Judiciary's caseload reduction program while achieving the more rigorous standards set by ILS, in the most efficient manner.

**Aid to Localities Suballocation
Budget Summary - All Funds**

Aid to Localities	Maintenance Undistributed	Total
Interest on Lawyer Account	\$15,000,000	\$15,000,000
Indigent Criminal Defense	47,000,000	47,000,000
Total:	<u>\$62,000,000</u>	<u>\$62,000,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

AID TO LOCALITIES SUBALLOCATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
Maintenance Undistributed			
Interest on Lawyer Account	15,000,000	15,000,000	0
Indigent Criminal Defense	0	47,000,000	47,000,000
Total Maintenance Undistributed	15,000,000	62,000,000	47,000,000
Grand Total	15,000,000	62,000,000	47,000,000

AID TO LOCALITIES SUBALLOCATION	2020-21 Budget Request:	\$47,000,000
Indigent Criminal Defense	Personal Service:	0
	Nonpersonal Service:	47,000,000
	Maintenance Undistributed:	0

Program Description

The Aid to Localities Suballocation budget provides funding to the Office of Indigent Legal Services (ILS) to support caseload standards for indigent criminal defense institutional providers in New York City.

Summary of 2020-21 Funding Request

The Aid to Localities Suballocation budget request includes a \$47 million suballocation to the Office of Indigent Legal Services (ILS). The purpose of the suballocation is to reduce caseloads of institutional criminal defense attorneys in New York City. The program has been funded and administered by the Judiciary since 2010-11. Since then, the Executive Branch's ILS office was created and statutorily charged with overseeing indigent criminal defense statewide. The ILS Board adopted more rigorous caseload standards in 2017-18. This suballocation provides an opportunity for ILS to build upon the Judiciary's program to achieve the new standards in the most efficient manner.

The current year funding is found in the Aid to Localities Major Purpose budget. There is no change in program funding from the current year adjusted appropriation.

Aid to Localities Suballocation
Budget Summary - Indigent Criminal Defense

Indigent Criminal Defense Program

Aid to Localities Suballocation	Maintenance Undistributed	Total
Indigent Criminal Defense	\$47,000,000	\$47,000,000
Total:	<u>\$47,000,000</u>	<u>\$47,000,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

AID TO LOCALITIES SUBALLOCATION
INDIGENT CRIMINAL DEFENSE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Indigent Criminal Defense	0	47,000,000	47,000,000
Total Nonpersonal Service	0	47,000,000	47,000,000
Grand Total	0	47,000,000	47,000,000

AID TO LOCALITIES SUBALLOCATION	2020-21 Budget Request: \$15,000,000
Interest on Lawyer Account Fund	Personal Service: 0
	Nonpersonal Service: 0
	Maintenance Undistributed: 15,000,000

Program Description

The Aid to Localities Suballocation budget provides funding to the Interest on Lawyer Account Fund (IOLA) to assist this Executive Branch agency in providing civil legal services to low-income New Yorkers.

Summary of 2020-21 Funding Request

The IOLA Aid to Localities Suballocation budget request includes a \$15 million suballocation to the Interest on Lawyer Account Fund. The budget request reflects level funding from the current year adjusted appropriation.

Aid to Localities
Budget Summary - General Fund

Interest on Lawyer Account Program

Aid to Localities	Maintenance Undistributed	Total
Interest on Lawyer Account	\$15,000,000	\$15,000,000
Total:	<u>\$15,000,000</u>	<u>\$15,000,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

AID TO LOCALITIES SUBALLOCATION
INTEREST ON LAWYER ACCOUNT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest on Lawyer Account	15,000,000	15,000,000	0
Total Maintenance Undistributed	15,000,000	15,000,000	0
Grand Total	15,000,000	15,000,000	0

STATE OF NEW YORK
JUDICIARY BUDGET

Fiscal Year
2020-21

Part III
Capital Projects

Capital Appropriation Request

The 2020-21 Judiciary Capital budget request of \$25 million reflects a decrease of \$0.7 million (-2.6%) from the current year adjusted appropriation. The reduction reflects a partial offset of \$1.7 million in 2019-20 asset forfeiture funding from the Queens District Attorney.

The Capital budget request provides support for capital projects developed to achieve and maintain excellence throughout the court system. The Judiciary Capital plan includes new and continued investments in technology, equipment associated with facility renovations, records management projects, and enhanced public safety in and around courthouses as follows:

- \$20 million for the acquisition and development of technology, including equipment, software, and services.
- \$3 million for alterations and improvements for health and safety in courthouses, including the acquisition of x-ray machines, magnetometers, security cameras, and door access systems.
- \$1 million for the creation, management, protection, and preservation of the records of the Unified Court System, including digital scanning, shelving, and filing systems.
- \$1 million for the acquisition of equipment associated with general facility renovations or upgrades.

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

CAPITAL PROJECTS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Court Improvements	25,675,000	25,000,000	(675,000)
Total Maintenance Undistributed	25,675,000	25,000,000	(675,000)
Grand Total	25,675,000	25,000,000	(675,000)