

NEW YORK STATE
Unified Court System

BUDGET

FISCAL YEAR
2019–2020

JANET DIFIORE
CHIEF JUDGE

LAWRENCE K. MARKS
CHIEF ADMINISTRATIVE JUDGE

BARRY R. CLARKE
CHIEF OF OPERATIONS
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JOHN W. MCCONNELL
ADMINISTRATOR FOR MANAGEMENT SUPPORT
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*State of New York
Unified Court System*



*Lawrence K. Marks
Chief Administrative Judge*

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New York, N.Y. 10004
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December 1, 2018

To:	Honorable Andrew M. Cuomo	Honorable Carl E. Heastie
	Honorable John J. Flanagan	Honorable Jeffrey D. Klein
	Honorable Andrea Stewart-Cousins	Honorable Brian M. Kolb
	Honorable Catharine M. Young	Honorable Helene E. Weinstein
	Honorable Liz Krueger	Honorable Robert C. Oaks
	Honorable John J. Bonacic	Honorable Jeffrey Dinowitz

From: Lawrence K. Marks *LM*

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2019. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2018.


The 2019-20 State Operating Funds budget request totals \$2.28 billion, a cash increase of \$44.7 million, or 2 percent, over available current-year funds. Also included is a \$24 million capital appropriation to be used for technology, security and records management in furtherance of the Judiciary's Excellence Initiative. We believe that this request represents the funding necessary to ensure that the courts have sufficient resources to fulfill their constitutional duty to the people of New York.

CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY


Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2019 and that they have been approved by the Court of Appeals.





Janet DiFiore
Chief Judge of the Court of Appeals

Attest:




Clerk of the Court of Appeals

Date: 11/14/18

COURT OF APPEALS APPROVAL
ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2019.



Janet DiFiore
Chief Judge of the Court of Appeals

Associate Judges of the Court of Appeals:



Jenny Rivera



Leslie E. Stein



Eugene M. Fahey



Michael J. Garcia



Rowan D. Wilson



Paul G. Feinman



Attest:



Clerk of the Court of Appeals

Date: 11/14/18

2019-20 JUDICIARY BUDGET

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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2019-20

Introduction

2019-20 JUDICIARY BUDGET REQUEST
Introduction

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JUDICIARY BUDGET 2019-2020 BUDGET REQUEST INTRODUCTION

Pursuant to Article VII, Section 1 of the State Constitution, the Judiciary respectfully submits the following itemized estimate of its financial needs for Fiscal Year 2019-2020.

This request seeks \$2.28 billion for the State Operations portion of the Judiciary budget, which represents an increase of \$44.7 million, or two percent, over current-year cash funding. The request seeks the funds that will enable the courts to fulfill their mission to deliver justice in a manner that reflects the full measure of New York's commitment to a just society under the rule of law.

The delivery of justice by the New York State Unified Court System (UCS) has many diverse facets. It entails the operation of twelve separate trial and appellate courts, in more than three hundred locations in sixty-two counties throughout the State—as well as oversight over an additional 1,250 town and village courts. It employs the energies of more than sixteen thousand judges, officers and employees around the State, who handle more than three million new case filings annually. It includes a vast array of ancillary programs designed to facilitate access to justice – including programs providing for substantial funding of criminal and civil legal services for indigent persons. It features the operation of specialized courts addressing, among other things, matters involving domestic violence, youth, veterans, and drug offenses. It necessitates the ongoing provision of cutting edge technology in all aspects of court operations, including electronic filing, remote access to court proceedings, and an ever-expanding list of operational demands. It contemplates the planning – for the immediate and longer term – of construction, renovation and maintenance of scores of court facilities throughout the State. It includes responsibility for the oversight of the legal profession – the admission and discipline of attorneys—as well as matters of judicial ethics and conduct. These duties, among many others, are the critical components of the operation of the Judiciary that underlie this budget request.

The Excellence Initiative

At her investiture in February 2016, Chief Judge Janet DiFiore announced, as her highest priority, the Excellence Initiative – a comprehensive and critical evaluation of court operations and administration at every level, toward the goal of “operational and decisional excellence in everything that we do.” The Excellence Initiative reflects the Court System's primary ongoing focus on the core mission of the Judiciary – to fairly and promptly adjudicate each of the millions of cases filed in the New York State courts every year. Since its inception, the Initiative has had several principal components: the elimination of delay and backlog in court operations; the continued heightened awareness and responsiveness to problematic issues reflected in the court system's docket (such as the needs of veterans or victims of the opioid crisis); and the unswerving commitment to

ensuring access to justice for all persons coming before our courts, without regard to economic status or other personal circumstances.

Eliminating Delay in the Performance of the Courts' Core Functions

The success of the Excellence Initiative in eliminating delay in the performance of the court system's core functions, noted in prior budget reports and reported extensively elsewhere, has continued unabated. Several examples suffice to demonstrate this point:

- Felony Cases: Since the start of the Excellence Initiative in 2016, the courts have made dramatic progress in reducing backlogs in felony cases. For example, there has been an 86% percent reduction in felony cases over standards and goals¹ in the Seventh Judicial District; an almost 80% reduction in the Fourth Judicial District; a 74% reduction in Suffolk County; and a 70% reduction of the oldest cases in Queens County.
- Supreme Court Civil Cases: Similar progress has been achieved with civil cases in Supreme Court. The Third Judicial District has seen a 71% reduction of cases over standards and goals, while the Second, Fourth, Fifth and Seventh Judicial Districts have achieved reductions of 34%, 76%, 49%, and 66%, respectively.
- Misdemeanors: The courts have likewise continued their extensive progress in reducing delays in misdemeanor matters. For example, there has been an 82% reduction in the number of the oldest misdemeanors in Bronx County, a 79% reduction in New York County, and a 50% reduction in Queens and Kings Counties. The Fourth (67%), Sixth (75%), Eighth (63%) and other judicial districts outside New York City have made similar progress.
- Other Jurisdictions: Comparable reductions have been achieved in other courts throughout the State – Family Court, New York City small claims courts, and numerous others. In short, the outstanding work of judges and court staff, together with the cooperation of the bar, has been highly successful in reducing the court system's case backlogs in all parts of the State.
- New York City Housing Court: In January 2018, the Chief Judge's Special Commission on the Future of the New York City Housing Court submitted its report and recommendations, calling for a broad array of changes in the Court's operations and resource allocation. Based upon the Commission's work, and at the direction of the Chief Judge, the Housing Court has implemented a variety of new initiatives, including staggered appearances, revised forms, alternative dispute resolution, innovative use of technology, and changes to staffing and internal operational practices.

These strong positive results, now sustained across several years, continue to be an encouraging index of the success of the UCS commitment to the core values of court service. But more work remains to be done, and the focus on prompt adjudication will

¹ "Standards and goals" are time frames set by the Chief Administrative Judge as guidelines for achieving timely resolution of various case types.

continue. Critical self-examination, and the continued improvement in the speed and efficiency of court operations, remain fundamental principles of the Excellence Initiative.

Continued Development and Expansion of Specialized Courts

The UCS has long been a national leader in the creation of specialized courts that marshal expertise and resources necessary to address a range of societal problems and issues – mental health, drug abuse, human trafficking, veterans’ concerns, and others – that manifest themselves in legal disputes. New York courts currently have more than 400 such court parts statewide. The 2019-20 budget proposal calls for continued expansion of this innovative and important work.

- Opioid courts, initiated last year in the Buffalo City Court, the Bronx Criminal Court and the Suffolk County District Court, are expanding to judicial districts statewide. Opioid courts address the acute need for a rapid criminal justice response to the opioid crisis, bringing specialized provider services to those in need of treatment for their addiction and screening defendants at risk of overdose for immediate access to health services.
- The UCS anticipates expansion of veterans treatment courts and mental health courts into various underserved upstate areas, including Ithaca, Syracuse and Albany.
- In response to a legislative initiative (L. 2018, c. 238), the UCS plans to open six additional Human Trafficking Intervention Courts within the next year.

Ensuring Access to Justice

Providing meaningful access to the courts to all persons, without regard to economic status, education, disability, or language proficiency, remains a matter of paramount importance in our justice system. Toward this goal, the New York courts have undertaken a wide range of actions, including:

- Judiciary Civil Legal Services Grants: With the support of the Governor and the Legislature, the Judiciary Civil Legal Services grants program (JCLS) provides funding to 78 civil legal services organizations serving low-income New Yorkers in every county of the state in matters involving the essentials of life—legal problems in the areas of housing (including evictions, foreclosures and homelessness), family matters (including domestic violence, children and family stability), access to health care and education, and subsistence income (including wages, disability, veterans and other benefits). Last year, JCLS providers reported handling 483,604 cases benefitting more than 3 million New Yorkers. For example, Legal Services NYC reported more than 66,000 cases handled that included evictions, foreclosures, domestic violence, immigration and transformative health, education and vital income support services. The Legal Services of Central New York provided services to low-income clients in 13 rural counties in matters involving housing, benefits, consumer debt and personal safety. Our proposed budget includes \$85 million for continued civil legal services funding, in addition to a \$15 million

appropriation for the Interest on Lawyer Account (IOLA) to further support civil legal service organizations.

- Strategic Plan for Bridging the Justice Gap: The Judiciary Civil Legal Services grants program, in conjunction with a broad range of other efforts by the New York courts, has made significant progress in ensuring access to justice.² Yet there is much more to be done, as many New Yorkers represent themselves in legal proceedings in which their homes or other essentials of life are at risk. In 2017, the Chief Judge's Permanent Commission on Access to Justice, supported by a grant from the Public Welfare Foundation and working with the National Center for State Courts, developed a strategic plan to eliminate the most salient ongoing features of the "justice gap."³ That plan proposes a broad array of statewide and local initiatives ranging from more effective assistance of counsel to the elimination of barriers faced by unrepresented litigants navigating the courts – for example, the expansion of help centers around the state, and the development of training modules for judges and court staff on issues of cultural competency. Programs to implement the recommendations have been commenced in various regions throughout the State, including expanded pro bono services and legal clinics in libraries in Suffolk County, and development of a community justice council in Monroe County.

Language Access

Building upon the issuance last year of a strategic plan for ensuring language access, the UCS has continued its commitment that all persons may seek justice in New York's courts despite limited or no English proficiency.

- Interpretive Services: In 2018 alone, the UCS has provided interpreters for 114 different languages, in more than 80,000 court appearances, and furnished remote access capability when a qualified interpreter was not available in person. In addition, the court system has expanded access to telephonic interpreters for communications outside of the courtroom (at Help Centers, information windows, and other court locations). Special staff interpreter training initiatives have been developed for domestic violence and sex-assault cases, and the court system has continued to broaden its efforts at qualified interpreter recruitment.
- Remote Video Interpreters: To help meet the needs of deaf or hard of hearing court users, the UCS Office of Language Access has commenced a pilot program using video remote interpreting to increase the availability of services in American Sign Language.

² The New York court system's numerous Access to Justice programs are set forth on the web site of our Office of Justice Initiatives, at nycourts.gov/ip/nya2j/index.shtml.

³ The Plan can be accessed at <http://ww2.nycourts.gov/sites/default/files/document/files/2018-04/JFA-Report-122217.pdf>.

- Bilingual Orders: The use of bilingual orders of protection continues to expand, with more than 40,000 being issued by the Family, Criminal and Supreme (matrimonial) courts.
- Translation Services: The Office of Language Access continues to assist with the translation of documents and information, including DIY forms, items on the nycourts.gov website, signage and information cards in all courts and offices.
- Plain Language Initiative: Recognizing the importance of making court forms accessible to self-represented persons, the UCS has commenced a “plain language” initiative, tasked with establishing principles and protocols for converting appropriate court forms and form instructions into readily-understood prose.

Access for People with Disabilities

- The UCS continues to take steps to ensure that no one is denied meaningful access to the courts because of a disability, including expanded training for judges and court staff; purchase of assistive listening devices and systems to aid the hard of hearing; and implementation of further enhancements to the court system’s Americans with Disabilities Act (ADA) pages on its website, making it easier for all inquirers to locate accessible entrances and contact information for courthouse staff dedicated to facilitating access for people with disabilities. It is anticipated that the Chief Judge’s Advisory Committee on Access for People with Disabilities will submit its report and recommendations early in the coming year, leading to further ADA initiatives in 2019.

Technology

The development of cutting-edge technology for court purposes continues to be among the most exciting and cost-effective uses of UCS resources. For more than two decades, the court system’s innovative Division of Technology has played a crucial role in ensuring courts and court users have the tools to handle the challenges of the ever-changing legal landscape in New York State. The following automation projects are among the dozens currently underway to fulfill this mission:

- The UCS continues to make significant strides in automating the case management systems in use in courts around the State, including Family Court, Supreme Civil and Criminal Term, local criminal courts (NYC Criminal Court, City and District Courts) and Surrogate’s Court. By mid-2019, a new local criminal system will have been fully deployed statewide; and following rollout of a pilot in Westchester, Erie and Monroe Counties, a new Supreme Civil case management application will be expanded statewide over the next two fiscal years.
- The court system is continuing the statewide expansion of its remote appearance project, permitting the electronic filing of family offense petitions and ex parte temporary orders of protection by audio-visual means (Skype). The program allows vulnerable

crime victims, such as the elderly, disabled, or victims of family violence, to seek relief that was previously difficult to obtain due to lack of transportation, mobility issues, safety concerns or poor health.

- To facilitate the presentation of evidence at trials on various technology platforms in a cost-effective manner, the court system has developed an Integrated Courtroom Technology (ICT) program, which combines sound enhancement, assistive listening, audioconferencing, video conferencing and WiFi in a cutting-edge touch screen format that can be employed seamlessly and economically throughout the State. It is anticipated that this powerful technology will be in place in every county and court in the State over the next five years.

- As part of the Excellence Initiative and to assist judges and court administrators in the monitoring of case management data, the court system has created a special unit within the Division of Technology, tasked with creating case information “dashboards” and statistical reports that provide real-time case information to judges and court managers statewide. These tools are used by court administrators to manage caseloads, monitor performance and detect backlogs and other problems, allocate judicial and non-judicial resources, and assess the overall progress of various court initiatives.

- The UCS online Attorney Registration System has continued to expand, and is now used by over 60% of active re-registering attorneys, with concomitant savings and efficiencies. In 2018, online registration became mandatory for first-time attorney registrants passing the New York bar.

- Last year, we completed the purchase and integration of the Service Education, Inc. automated case management system, used by more than 95 percent of the Justice Courts in New York. This purchase allows improved functionality, enhanced security of Justice Court data, and savings to local governments of \$1.5 million in maintenance fees each year.

- In addition, the UCS continues to expand its conferencing technology for remote meetings, training and court appearances. We have continued to work with various public agencies, including the State Division of Criminal Justice Services (DCJS), the New York City Mayor’s Office, the New York City Police Department, and various district attorneys’ offices, on projects designed to ensure accuracy in the State’s criminal history records and compliance with criminal record sealing legislation. We have continued development of our online training platform for town and village court justices, and plan to expand that platform to town and village court clerks in the upcoming year. We are enhancing our automated case management system in the Family Courts to monitor and ensure the swift disposition of support violation proceedings.

Expanding Electronic Filing

Closely related to our technology initiatives is the court system’s continued expansion of electronic filing throughout the State. There are now e-filing programs in 41 Supreme Courts and 37 Surrogate Courts across the state, as well as in the Court of Claims

in 17 counties. More than 82,000 attorneys are registered users of the e-filing system. Since the inception of the e-filing program in 1999, close to two million cases have been filed electronically. Especially noteworthy last year was the commencement of electronic filing in certain case types in all four Departments of the Appellate Division. The convenience and cost savings attributable to this program are incalculable.

In rolling out electronic filing programs in New York over the past two decades, the UCS has been especially attentive to the needs of the State's County Clerks, as well as to those of the local bench and bar in each affected jurisdiction. Accordingly, in the coming year, in response to strong expressions of interest by the State's matrimonial bench and bar, the UCS will seek legislation to permit implementation of mandatory electronic filing in matrimonial cases. This will facilitate and expedite the exchange of papers in a crucial area of civil practice, while producing significant savings for litigants and taxpayers. We will also continue initiatives to expand e-filing in criminal matters and in the New York City Housing Court.

Facilities

Among its operational responsibilities, the court system is tasked with assuring that the facilities provided by counties and local governments pursuant to longstanding law meet the needs of the UCS and the public. This duty entails the ongoing monitoring of court facility construction and maintenance throughout the State, and the review and approval, through the Court Facilities Capital Review Board, of a broad array of county and municipal capital plans. In 2018, court facilities projects were commenced or completed in a number of counties, including Warren, Ulster, Madison, Oneida, and Nassau, as well as in New York City, Troy and Rye.

- Especially noteworthy this year is the imminent completion of the UCS Court Officer Training Academy, located in Brooklyn, New York, which is expected to receive its first class of more than 200 court officers in early 2019. The opening of this state-of-the-art facility will fill a crucial operational need, allowing the court system to replace retiring officers in a timely and efficient manner, and ensuring that UCS security personnel continue to receive the highest standard of training in preparation for their crucial role in protecting the health and safety of all court users.

Additional Programs

- Implementing New York's Raise the Age (RTA) Legislation: This legislation (L. 2017, c. 59), effective October 1, 2018, requires far-reaching adjustments in a broad range of court system operations, including redesign of various courthouse spaces; intensive training of judges and court staff throughout the State; redesignation and reassignment of numerous judges and non-judicial staff; adoption of new operational protocols and issuance of new court rules; the reallocation of attorney resources to accommodate changing caseloads in the Criminal and Family Courts; appointment of

scores of accessible magistrates around the State; numerous technology initiatives; and a host of other measures. For example, implementation included deployment of a new centralized automation system in the Youth Parts of all Supreme and County Courts statewide (to ensure compliance with criminal disposition reporting, promote efficient case management and assure the ability to uniformly evaluate the impact of the legislation); enhancement of the UCS automated Family Court case management system; and creation of a data bridge between the criminal and family system which allows Family Court to track felony cases removed from the Youth Part to Family Court for possible adjustment by the probation department. These efforts have met with substantial success: the RTA transition has been relatively seamless. It is anticipated that the second phase of this legislation, effective in October 2019, will be similarly successful.⁴

- Off-Hours Arraignment Parts: In 2018, the Court System continued its development and expansion of centralized off-hours arraignment parts throughout the State (see, L. 2016, c. 492), initiating such parts in the counties of Washington, Onondaga, Oneida, Broome, Ontario, Livingston, Seneca, Yates, and Warren. Each county program requires prior extended consultation with all stakeholders, including local government officials, the State Magistrates Association and the criminal defense bar. Each creates a centralized part to which law enforcement may bring a defendant regardless of where in a county he or she is arrested, maximizing the effective use of the limited number of lawyers available in many communities to provide legal representation for all criminal defendants at their arraignments. This expansion will continue in 2019-2020. Our proposed budget requests \$1.0 million to support this expansion, an increase of \$500,000 over the 2018-19 budget.
- Alternative Dispute Resolution (ADR): In April of 2018, as part of the Excellence Initiative, the Chief Judge established an advisory committee of judges, court employees, attorneys, advocacy organization representatives, and alternative dispute resolution practitioners to study methods by which to increase court-sponsored ADR throughout the State. The proposed budget would allocate additional funding to programs devoted to this purpose, and would, among other things, permit the Judiciary to support local pilot mediation programs in family-related disputes and Supreme Court matrimonial matters in Kings, Monroe, Suffolk, and Richmond Counties; Surrogate matters in Kings and Westchester Counties; Family Court custody and visitation disputes in Tompkins and Monroe Counties and the New York City Family Court; and New York City Housing Court matters. The program would also continue funding for community dispute resolution center juvenile justice diversionary services supporting statewide Raise the Age implementation.
- Records Digitization Initiative: Every year, tens of millions of documents are filed in New York's courts. Last year, the court system's records management office commenced an extensive project to digitize a portion of the paper records accumulated

⁴ Consistent with the practice of providing RTA funding for fiscal 2018-19 through an Executive Branch suballocation, the Judiciary has provided our estimate of RTA funding needs for 2019-20 to the Governor's Division of the Budget (DOB). We remain ready to discuss this request with DOB as it develops its budget proposal.

by the courts through these filings. The goals of the digitization project include enhancing the ability to search for and use these records, reducing the amount of valuable court space needed for records storage, and preserving important fragile historic court records. In its first year, this project has digitized more than ten million pages, freeing up 2,000 cubic feet of storage for essential court activities, and producing digital copies of the records that are easier to find and much easier to protect from damage or loss. It is anticipated that this project will achieve similar or greater results in the coming year. While the volume of filed paper documents will decrease with the expansion of electronic filing, this project is a crucial link between the court system's future and the record of its past.

Capital Appropriation

The Judiciary budget request also seeks a \$24 million capital appropriation. This funding will allow the Judiciary to continue the infrastructure modernization essential to support efficient operations. The specific programs to be supported by the requested funding are:

- Automation: The majority of the requested funding would support the third year of a multi-year plan to modernize the Judiciary's computer network, provide computing equipment for judges, court staff and the court system's data centers, and provide advanced communications, videoconferencing and remote access capacities for all judges and court staff. In addition, these funds will allow the continued expansion of the integrated courtroom technology initiative described above, continuing the multi-year project to modernize the technology and sound systems in courtrooms throughout the State.
- Security: In addition, the capital appropriation will support the third year of modernization of critical security equipment, including x-ray scanning machines, magnetometers, security cameras and access control systems.
- Records Management: As noted above, the UCS records digitization project is a multi-year effort to convert paper records into a data format that is more efficiently stored, searched and copied. This effort, crucial to the preservation of important and fragile historic court documents, is an essential complement to the court system's conversion to electronic filing and technology. The capital appropriation will also support this important work.

Conclusion

In sum, this budget will enable the courts to pursue their mission to provide justice efficiently, fairly and with excellence. The requested two percent (\$44.7 million) increase in the UCS operating budget will allow us to continue our efforts to ensure adequate court staffing, especially in court officer, court clerk, interpreter, court reporter, and other courtroom and back office positions that are critical to providing a high level of service to the

public. That increase represents a careful balance between our duty to provide New Yorkers with the system of justice that they expect and deserve, and our responsibility of faithful stewardship of public monies. This budget request reflects the Judiciary's commitment to continue as a full partner with the Executive and the Legislature in meeting the highest standards of public service in a fiscally prudent manner.

**Unified Court System
2019-20 Budget Request
All Funds Disbursement Requirements
(Millions \$)**

Category/Fund	<u>2018-19 Adjusted</u>	<u>2019-20 Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	2,011.7	2,047.6	35.9
Special Revenue - Federal	10.0	19.0	9.0
NYC County Clerks' Operations Offset Fund	23.4	25.0	1.6
Judiciary Data Processing Offset Fund	24.7	26.4	1.7
Miscellaneous Special Revenue Fund	24.5	27.1	2.6
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.8	1.9	0.1
Court & Agency Operations - All Funds Total	2,121.1	2,172.0	50.9
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Lawyers' Fund for Client Protection	12.6	12.7	0.1
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<u>Aid to Localities</u>			
General Fund	3.5	4.0	0.5
Court Facilities Incentive Aid Fund	108.7	110.9	2.2
Aid to Localities - All Funds Total	112.2	114.9	2.7
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Capital Projects	22.5	24.0	1.5
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General Fund Total	2,015.2	2,051.6	36.4
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State Operating Funds Total	2,235.9	2,280.6	44.7
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**Unified Court System
2019-20 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

Court and Agency Operations	2018-19	2019-20	
General Fund	<u>Available</u>	<u>Requested</u>	<u>Change</u>
Courts of Original Jurisdiction	\$1,700,883,123	\$1,750,666,181	\$49,783,058
Court of Appeals	\$16,909,096	\$17,699,723	\$790,627
Appellate Court Operations	\$89,332,241	\$89,387,940	\$55,699
Appellate Auxiliary Operations	\$183,162,625	\$181,149,534	(\$2,013,091)
Administration and General Support	\$21,457,519	\$21,376,832	(\$80,687)
Court and Agency Operations - General Fund Total	\$2,011,744,604	\$2,060,280,210	\$48,535,606
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Federal Funds	\$10,000,000	\$19,000,000	\$9,000,000
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Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$23,448,273	\$25,146,816	\$1,698,543
Judiciary Data Processing Offset Fund	\$24,737,923	\$26,533,884	\$1,795,961
Miscellaneous Special Revenue Fund	\$1,500,000	\$2,500,000	\$1,000,000
Attorney Licensing Fund	\$24,561,362	\$24,750,915	\$189,553
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,895,443	\$1,842,288	(\$53,155)
Lawyers' Fund for Client Protection	\$12,642,745	\$12,746,605	\$103,860
Court and Agency Operations - Special Revenue Funds Total	\$113,785,746	\$118,520,508	\$4,734,762
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Court and Agency Operations - All Funds Total	\$2,135,530,350	\$2,197,800,718	\$62,270,368
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Aid to Localities			
General Fund	\$3,500,000	\$4,000,000	\$500,000
Court Facilities Incentive Aid	\$108,761,202	\$110,871,169	\$2,109,967
Aid to Localities - All Funds Total	\$112,261,202	\$114,871,169	\$2,609,967
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Capital Projects	\$18,000,000	\$24,000,000	\$6,000,000
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State Operating Funds - Total	\$2,237,791,552	\$2,293,671,887	\$55,880,335
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**Unified Court System
2019-20 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2018-19 Available</u>	<u>2019-20 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,700,883,123	\$1,750,666,181	\$49,783,058
Special Revenue Funds	\$51,255,557	\$55,779,554	\$4,523,997
Total	\$1,752,138,680	\$1,806,445,735	\$54,307,055
Court of Appeals			
General Fund	\$16,909,096	\$17,699,723	\$790,627
Special Revenue Funds	\$0	\$0	\$0
Total	\$16,909,096	\$17,699,723	\$790,627
Appellate Court Operations			
General Fund	\$89,332,241	\$89,387,940	\$55,699
Special Revenue Funds	\$0	\$0	\$0
Total	\$89,332,241	\$89,387,940	\$55,699
Appellate Auxiliary Operations			
General Fund	\$183,162,625	\$181,149,534	(\$2,013,091)
Special Revenue Funds	\$46,699,662	\$46,917,341	\$217,679
Total	\$229,862,287	\$228,066,875	(\$1,795,412)
Administration & General Support			
General Fund	\$21,457,519	\$21,376,832	(\$80,687)
Special Revenue Funds	\$3,187,782	\$3,077,008	(\$110,774)
Total	\$24,645,301	\$24,453,840	(\$191,461)
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$12,642,745	\$12,746,605	\$103,860
Total	\$12,642,745	\$12,746,605	\$103,860
Aid to Localities			
General Fund	\$3,500,000	\$4,000,000	\$500,000
Special Revenue Funds	\$108,761,202	\$110,871,169	\$2,109,967
Total	\$112,261,202	\$114,871,169	\$2,609,967
State Operating Funds Total	\$2,237,791,552	\$2,293,671,887	\$55,880,335
Federal Funds	\$10,000,000	\$19,000,000	\$9,000,000
Capital Projects Funds	\$18,000,000	\$24,000,000	\$6,000,000
Grand Total All Funds	\$2,265,791,552	\$2,336,671,887	\$70,880,335

Fiscal Year 2019-20
IOLA Support

Appropriation Requirements

	<u>2018-19</u>	<u>2019-20</u>	<u>Change</u>
Aid to Localities	<u>Available</u>	<u>Requested</u>	
General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
Aid to Localities - General Fund Total	\$15,000,000	\$15,000,000	\$0

Disbursement Requirements
(Million \$)

	<u>2018-19</u>	<u>2019-20</u>	<u>Change</u>
Aid to Localities	<u>Planned</u>	<u>Required</u>	
General Fund - IOLA	15.0	15.0	0.0
Aid to Localities - General Fund Total	15.0	15.0	0.0

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2019.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2019-20

	Appropriations	Reappropriations
General Fund - State and Local.....	2,064,280,210	0
Special Revenue Funds - Federal.....	19,000,000	15,380,000
Special Revenue Funds - Other	229,391,677	42,300,000
Capital Projects Funds - Other	24,000,000	5,500,000
	<hr/>	<hr/>
All Funds	2,336,671,887	63,180,000
	<hr/>	<hr/>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	2,060,280,210	4,000,000	0	2,064,280,210
SR - Federal	19,000,000	0	0	19,000,000
SR - Other	118,520,508	110,871,169	0	229,391,677
CP - Other	0	0	24,000,000	24,000,000
	<hr/>	<hr/>	<hr/>	<hr/>
All Funds	2,197,800,718	114,871,169	24,000,000	2,336,671,887
	<hr/>	<hr/>	<hr/>	<hr/>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION **1,825,445,735**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,472,147,829
Personal service - temporary	1,196,073
Personal service - holiday / overtime compensation	<hr/> 30,275,092
	<hr/>
Amount available for personal service	1,503,618,994
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	21,480,791
Travel	2,381,720
Contractual Services	221,430,797
Equipment	1,753,879
	<hr/>
Amount available for nonpersonal service	247,047,187
	<hr/>
Program account subtotal	1,750,666,181
	<hr/>

Special Revenue Funds - Other / State Operations New York City County Clerks' Operations Offset Fund

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	21,760,206
Personal service - holiday / overtime compensation	5,025
	<hr/>
Amount available for personal service	21,765,231
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	234,795
Travel	6,730
Contractual Services	3,140,060
	<hr/>
Amount available for nonpersonal service	3,381,585
	<hr/>
Program fund subtotal	25,146,816
	<hr/>

Special Revenue Funds - Other / State Operations Judiciary Data Processing Offset Fund

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	26,533,884
	<hr/>
Program fund subtotal	26,533,884
	<hr/>

Special Revenue Funds - Other / State Operations Court Facilities Incentive Aid Fund

PERSONAL SERVICE

Personal service - regular	637,909
	<hr/>
Program fund subtotal	637,909
	<hr/>

Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019	9,500,000
Program account subtotal	<u>9,500,000</u>

Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019	9,500,000
Program account subtotal	<u>9,500,000</u>

Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund

PERSONAL SERVICE

Personal service - regular	918,695
Amount available for personal service	<u>918,695</u>

NONPERSONAL SERVICE

Supplies and Materials	750
Travel	1,500
Contractual Services	<u>2,540,000</u>
Amount available for nonpersonal service	<u>2,542,250</u>
Program fund subtotal	<u>3,460,945</u>

COURT OF APPEALS	<u>17,699,723</u>
-------------------------------	--------------------------

General Fund / State Operations
State Purposes Account

PERSONAL SERVICE

Personal service - regular	15,748,000
Personal service - holiday / overtime compensation	<u>105,000</u>
Amount available for personal service	<u>15,853,000</u>

NONPERSONAL SERVICE

Supplies and Materials	970,448
Travel	378,610

Contractual Services	<u>497,665</u>
Amount available for nonpersonal service	<u>1,846,723</u>
Program account subtotal	<u>17,699,723</u>

APPELLATE COURT OPERATIONS 89,387,940

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	84,177,766
Personal service - temporary	169,929
Personal service - holiday / overtime compensation	<u>290,130</u>
Amount available for personal service	<u>84,637,825</u>

NONPERSONAL SERVICE

Supplies and Materials	2,475,303
Travel	453,230
Contractual Services	1,660,122
Equipment	<u>161,460</u>
Amount available for nonpersonal service	<u>4,750,115</u>
Program account subtotal	<u>89,387,940</u>

APPELLATE AUXILLIARY OPERATIONS 228,066,875

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	31,417,361
Personal service - temporary	41,819
Personal service - holiday / overtime compensation	<u>1,200</u>
Amount available for personal service	<u>31,460,380</u>

NONPERSONAL SERVICE

Supplies and Materials	353,647
Travel	390,300
Contractual Services	<u>148,945,207</u>
Amount available for nonpersonal service	<u>149,689,154</u>
Program account subtotal	<u>181,149,534</u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	16,529,470
Personal service - holiday / overtime compensation	16,000
	<hr/>
Amount available for personal service	16,545,470

NONPERSONAL SERVICE

Supplies and Materials	313,711
Travel	103,200
Contractual Services	4,954,960
	<hr/>
Amount available for nonpersonal service	5,371,871
	<hr/>
Program fund subtotal	21,917,341

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of the state finance law.

NONPERSONAL SERVICE

Contractual Services	25,000,000
	<hr/>
Amount available for nonpersonal service	25,000,000
	<hr/>
Program fund subtotal	25,000,000

ADMINISTRATION AND GENERAL SUPPORT	24,453,840
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**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	18,367,569
Personal service - holiday / overtime compensation	4,500
	<hr/>
Amount available for personal service	18,372,069

NONPERSONAL SERVICE

Supplies and Materials	149,585
Travel	671,000
Contractual Services	2,184,178
	<hr/>
Amount available for nonpersonal service	3,004,763
	<hr/>
Program account subtotal	21,376,832

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	1,196,129
Amount available for personal service	1,196,129

NONPERSONAL SERVICE

Supplies and Materials	1,150
Travel	2,500
Contractual Services	4,600
Amount available for nonpersonal service	8,250
Program fund subtotal	1,204,379

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	893,879
Amount available for personal service	893,879

NONPERSONAL SERVICE

Supplies and Materials	89,000
Travel	750
Contractual Services	889,000
Amount available for nonpersonal service	978,750
Program fund subtotal	1,872,629

LAWYERS' CLIENT PROTECTION	12,746,605
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**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2020.

PERSONAL SERVICE

Personal service - regular	592,535
Amount available for personal service	592,535

NONPERSONAL SERVICE

Supplies and Materials	25,000
Travel	20,000
Contractual Services	12,109,070
	<hr/>
Amount available for nonpersonal service	12,154,070
	<hr/>
Program fund subtotal	12,746,605
	<hr/>

AID TO LOCALITIES 114,871,169

General Fund / Aid to Localities Local Assistance Account

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court assistance program	4,000,000
	<hr/>
Program account subtotal	4,000,000
	<hr/>

Special Revenue Funds - Other / Aid to Localities Court Facilities Incentive Aid Fund

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2020.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities incentive aid program	110,871,169
	<hr/>
Program fund subtotal	110,871,169
	<hr/>

CAPITAL PROJECTS 2019-20

For the comprehensive construction programs, purposes and projects as herein specified in accordance with the following schedule:

	Appropriations
Capital Projects Funds - Other.....	<u>24,000,000</u>
All Funds	<u><u>24,000,000</u></u>
IT, FACILITY RENOVATION, COURT RECORDS AND SECURITY INITIATIVE PROGRAM (CCP)	24,000,000
Capital Projects Funds - Other	
Capital Projects Fund	
Program Improvement/Change Purpose	
For services and expenses related to the acquisition and development of technology, including but not limited to equipment, software and services (52011901)	18,000,000
For services and expenses related to alterations and improvements for health and safety in courthouses (52021901)	3,000,000
For services and expenses related to the creation, management, protection and preservation of records of the unified court system, including but not limited to digital scanners, shelving and filing systems (52041901)	2,000,000
For expenses related to the acquisition of equipment required upon general facility renovation or upgrade (52031901)	1,000,000

NEW YORK INTEREST ON LAWYER ACCOUNT 2019-20

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	<u>15,000,000</u>
All Funds	<u><u>15,000,000</u></u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
All Funds	-	15,000,000	-	15,000,000

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
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General Fund / Aid to Localities Local Assistance Account

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
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THE JUDICIARY

GENERAL STATE CHARGES 2019-20

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2019 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	782,891,771
Special Revenue Funds - Other	<u>31,923,208</u>
All Funds	<u><u>814,814,979</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	782,891,771	-	-	782,891,771
SR - Other	31,923,208	-	-	31,923,208
All Funds	814,814,979	-	-	<u><u>814,814,979</u></u>

SCHEDULE

GENERAL STATE CHARGES **814,814,979**

General Fund / State Operations State Purposes Account

FRINGE BENEFITS

For Fringe Benefits	<u>782,891,771</u>
Program account subtotal	<u><u>782,891,771</u></u>

Special Revenue Funds - Other / State Operations Attorney Licensing Fund

FRINGE BENEFITS

For Fringe Benefits	<u>8,413,975</u>
Program fund subtotal	<u><u>8,413,975</u></u>

Special Revenue Funds - Other / State Operations Court Facilities Incentive Aid Fund

FRINGE BENEFITS

For Fringe Benefits	<u>869,069</u>
Program fund subtotal	<u><u>869,069</u></u>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits	<u>223,627</u>
Program fund subtotal	<u>223,627</u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>12,401,452</u>
Program fund subtotal	<u>12,401,452</u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>10,015,085</u>
Program fund subtotal	<u>10,015,085</u>

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2019.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2019-2020

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2018	4,500,000	(re.	4,500,000)
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By chapter 51, section 2, of the laws of 2017, as reappropriated:
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017	3,500,000	(re.	2,200,000)
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By chapter 51, section 2, of the laws of 2016, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	2,500,000	(re.	950,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	2,500,000	(re.	50,000)
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**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

By chapter 51, section 2, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2018	5,500,000	(re.	5,500,000)
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By chapter 51, section 2, of the laws of 2017, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017	5,000,000	(re.	1,500,000)
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By chapter 51, section 2, of the laws of 2016, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	6,000,000	(re.	380,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel
outside the state and the payment of

liabilities incurred prior to April 1, 2015 5,500,000(re. 250,000)

By chapter 51, section 2, of the laws of 2014, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For services and expenses including travel
outside the state and the payment of

liabilities incurred prior to April 1, 2014 4,500,000(re. 50,000)

Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund

By chapter 51, section 2, of the laws of 2018:

Contractual Services 1,538,921(re. 1,350,000)

By chapter 51, section 2, of the laws of 2017, as reappropriated
by chapter 51, section 3, of the laws of 2018:

Contractual Services 3,037,878(re. 850,000)

By chapter 51, section 2, of the laws of 2016, as reappropriated
by chapter 51, section 3, of the laws of 2018:

Contractual Services 1,037,878(re. 100,000)

AID TO LOCALITIES

Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund

By chapter 51, section 2, of the laws of 2018:

For expenses necessary to implement provisions of law relating to the furnishing of
court facilities and the provisions of section 219-a of the judiciary law; provided that,
notwithstanding any other provision of law to the contrary, and in accordance with
section 4 of the state finance law, where monies in the court facilities incentive
aid fund, including such monies as may be transferred thereto pursuant to subdivision 6
of section 94 of the state finance law, are insufficient to meet vouchers presented for
payment charged to this appropriation or for transfers made pursuant to paragraph (b)
of subdivision 2 of such section, the state comptroller is hereby authorized and directed
to transfer, upon the request of the chief administrator of the courts, sufficient monies to
meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the
General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2019.

For services and expenses associated with the court facilities
incentive aid program

108,761,202(re. 20,000,000)

By chapter 51, section 2, of the laws of 2017, as reappropriated by chapter 51, section 3, of the laws of 2018

For expenses necessary to implement provisions of law relating to the furnishing of
court facilities and the provisions of section 219-a of the judiciary law; provided that,
notwithstanding any other provision of law to the contrary, and in accordance with
section 4 of the state finance law, where monies in the court facilities incentive
aid fund, including such monies as may be transferred thereto pursuant to subdivision 6

of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2018.

For services and expenses associated with the court facilities incentive aid program	106,838,118	(re.	20,000,000)
Total reappropriations for state operations and aid to localities.....			<u>\$ 57,680,000</u>

CAPITAL PROJECTS - REAPPROPRIATIONS 2019-2020

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund

Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2018:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	33,700,000	re.	1,000,000)
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By chapter 51, section 2, of the laws of 2007, as amended by
chapter 51, section 3, of the laws of 2012, as reappropriated by
chapter 51, section 3, of the laws of 2018:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	24,200,000	re.	1,000,000)
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IT, FACILITY RENOVATION, COURT RECORDS AND SECURITY INITIATIVE PROGRAM (CCP)

Capital Projects Fund

Program Improvement/Change Purpose

By chapter 51, section 2, of the laws of 2018:

For services and expenses related to the acquisition and development of technology, including but not limited to equipment, software and services (52011801)	12,000,000	(re.	2,000,000)
--	------------------	------	------------

For services and expenses related to the creation, management, protection and preservation of records of the unified court system, including but not limited to digital scanners, shelving and filing systems (52041801).....	2,000,000	(re.	1,000,000)
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For services and expenses related to alterations and improvements for health and safety in courthouses (52021801)	3,000,000	(re.	500,000)
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Total reappropriations for capital projects.....	<u>\$ 5,500,000</u>
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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2019-20

SECTION

2

2019-20 JUDICIARY BUDGET REQUEST

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Judiciary

2019-20 Budget Request

Overview

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the State, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions), and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge) who is responsible for supervising the day-to-day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrative Judge designates Deputy Chief Administrative Judges for the courts within and outside New York City. On behalf of the Chief Administrative Judge, and together with a corps of Administrative Judges, these designees supervise court operations in the State's Judicial Districts.

By statute and by direction of the Chief Judge, the Chief Administrative Judge also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrative Judge by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the towns and villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

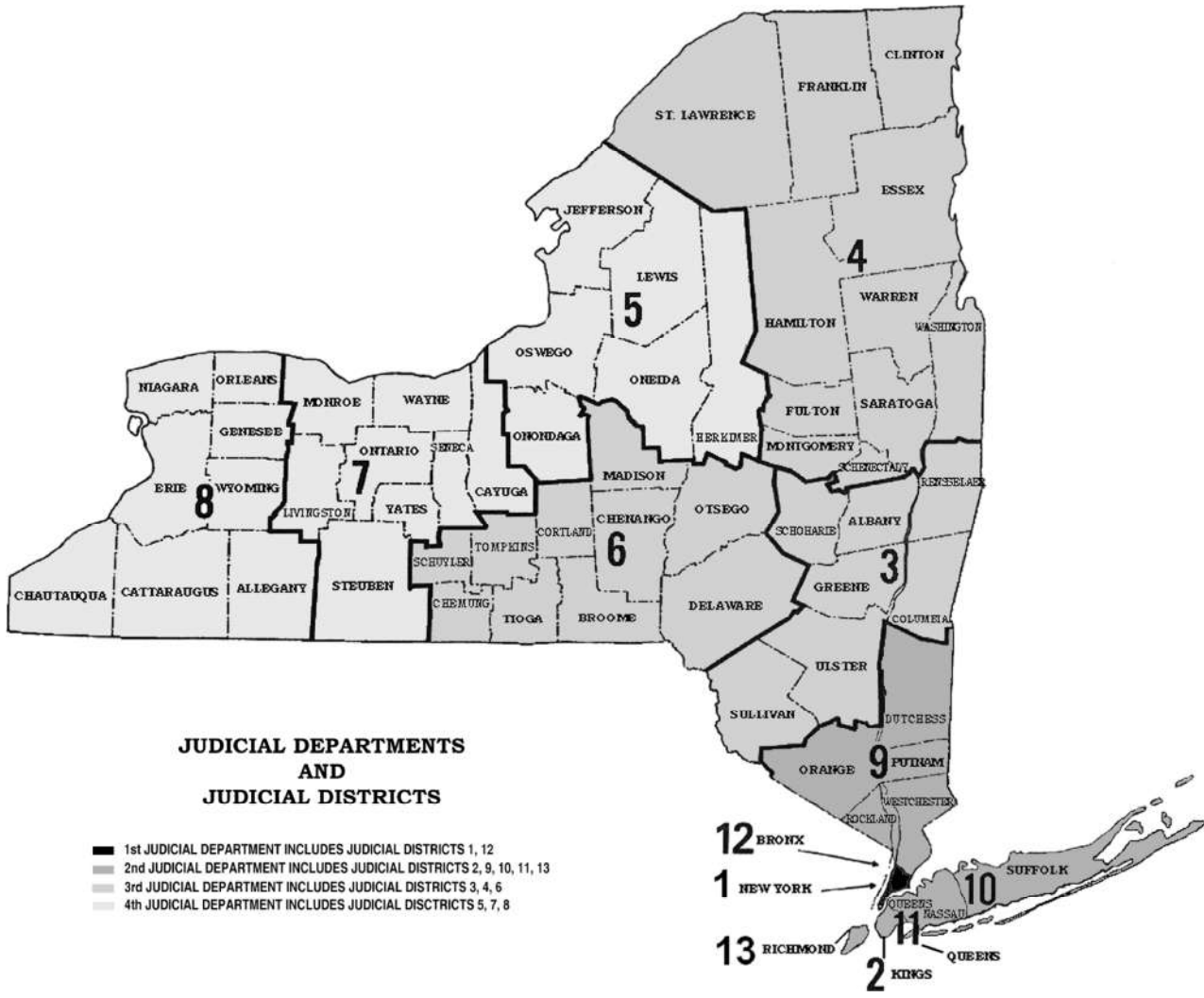
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



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graph TD
    COA[Court of Appeals] --> CSJ[Chief Judge of the State of New York]
    CSJ --> AB[Administrative Board]
    CSJ --> CAJ[Chief Administrative Judge]
    CSJ --> CPO[Chief of Policy & Planning]
    CSJ --> DNYI[Dean, NYS Judicial Institute]
    CSJ --> CAO[Chief of Administration]
    CSJ --> IG[Inspector General]
    CAJ --> COA_Admin[Office of Court Administration]
    CAJ --> DCAJ_NYC[DCAJ* NYC Courts]
    CAJ --> DCAJ_Outside[DCAJ* Outside NYC]
    CAJ --> DCAJ_Justice[DCAJ* Justice Initiatives]
    CAJ --> Appellate[Appellate Divisions & Appellate Terms]
    CAJ --> Auxiliary[Appellate Auxiliary Operations]
    COA_Admin --> CO[Chief of Operations]
    COA_Admin --> AMS[Administrator for Management Support]
    CO --> Admin_Services[Administrative Services]
    AMS --> Admin_Services
    Admin_Services --> Admin_Services_List[Admin Services List]
    DCAJ_NYC --> DC1[1st District]
    DCAJ_NYC --> DC2[2nd District]
    DCAJ_NYC --> DC11[11th District]
    DCAJ_NYC --> DC12[12th District]
    DCAJ_NYC --> DC13[13th District]
    DC1 --> DC1_List[1st District List]
    DC2 --> DC2_List[2nd District List]
    DC11 --> DC11_List[11th District List]
    DC12 --> DC12_List[12th District List]
    DC13 --> DC13_List[13th District List]
    DCAJ_Outside --> DC3[3rd District]
    DCAJ_Outside --> DC4[4th District]
    DCAJ_Outside --> DC5[5th District]
    DCAJ_Outside --> DC6[6th District]
    DCAJ_Outside --> DC7[7th District]
    DCAJ_Outside --> DC8[8th District]
    DCAJ_Outside --> DC9[9th District]
    DCAJ_Outside --> DC10[10th District]
    DC3 --> DC3_List[3rd District List]
    DC4 --> DC4_List[4th District List]
    DC5 --> DC5_List[5th District List]
    DC6 --> DC6_List[6th District List]
    DC7 --> DC7_List[7th District List]
    DC8 --> DC8_List[8th District List]
    DC9 --> DC9_List[9th District List]
    DC10 --> DC10_List[10th District List]
    DCAJ_Justice --> Access_Justice[Access to Justice]
    DCAJ_Justice --> Policy_Oversight[Policy Oversight]
    DCAJ_Justice --> Implementation[Implementation of Initiatives]
    Appellate --> Appellate_List[Appellate Divisions & Appellate Terms List]
    Auxiliary --> Auxiliary_List[Appellate Auxiliary Operations List]
  
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The organizational chart for the New York State Office of the Chief Judge is structured as follows:

- Court of Appeals**
 - Chief Judge of the State of New York**
 - Administrative Board**
 - Chief Administrative Judge**
 - Office of Court Administration**
 - Chief of Operations**
 - Administrative Services**
 - Court Research
 - Facilities Management
 - Financial Management
 - Human Resources
 - Justice Court Support
 - Professional & Court Services
 - Public Affairs
 - Public Information
 - Public Safety
 - Technology
 - Workforce Diversity
 - Administrator for Management Support**
 - DCAJ* NYC Courts**
 - 1st District**
 - Civil Matters
 - Criminal Matters
 - 2nd District**
 - Civil Matters
 - Criminal Matters
 - 11th District**
 - Civil Matters
 - Criminal Matters
 - 12th District**
 - Civil Matters
 - Criminal Matters
 - 13th District**
 - NYC Civil Court
 - NYC Criminal Court
 - NYC Family Court
 - NYC County Clerks
 - Law Libraries
 - DCAJ* Outside NYC**
 - 3rd District**
 - Court of Claims
 - 4th District**
 - 5th District**
 - 6th District**
 - 7th District**
 - 8th District**
 - 9th District**
 - 10th District (Nassau)**
 - 10th District (Suffolk)**
 - Commissioners of Jurors
 - Justice Courts
 - Law Libraries
 - DCAJ* Justice Initiatives**
 - Access to Justice**
 - Community Outreach
 - CourtHelp Web Site
 - Court Navigator Program
 - DIY Forms
 - Help Centers
 - Policy Oversight**
 - Volunteer Attorney Programs
 - Implementation of Initiatives**
 - Accessibility (ADA)
 - Court Improvement Project
 - Language Access
 - Child Welfare, Juvenile and Adolescent Justice
 - Raise the Age Legislation
 - Appellate Divisions & Appellate Terms**
 - Appellate Auxiliary Operations**
 - Attorney Discipline
 - Assigned Counsel
 - Candidate Fitness
 - Law Guardians
 - Mental Hygiene Legal Services
 - Chief of Policy & Planning**
 - Dean, NYS Judicial Institute**
 - Chief of Administration**
 - Inspector General**

- 4 -

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2019-20

Part I

State Operations

Court and Court-Related Agency Operations

MAJOR PURPOSE SUMMARY
Courts of Original Jurisdiction

2019-20 All Funds Budget Request: \$1,825,445,735	
General Fund:	1,750,666,181
State Special Revenue Funds:	55,779,554
Federal Special Revenue Funds:	19,000,000

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate's Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2019-20 Funding

The COJ Major Purpose State Operations All Funds budget request is \$1.83 billion, or an increase of \$63.3 million (3.6%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.55 billion represents an increase of \$57.3 million (3.8%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes a net increase in the number of certificated Supreme Court justices, salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries and annualized funding for five new Supreme Court judgeships authorized by chapter 59 of the Laws of 2018. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, uniform allowances, location pay and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request includes \$1.2 million for temporary service in support of ongoing operations. This includes funding for Acting City, Town and Village Justices, as well as legal and clerical support in various trial courts. Also reflected in the personal service request is \$30.3 million for overtime to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies and materials; legal reference materials and online research services; telecommunications; equipment rental and repairs; real estate rentals for office and training space; payments for jury *per diems*, lodging and

meals; *per diem* interpreter and court reporter costs; ADR contracts; transcript payments; and contractual security services provided in some upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which Federal and other State Special Revenue grants are realized.

The nonpersonal service request is \$272 million, or an increase of \$6 million (2.2%) over current year funding. The overall increase includes \$9 million in appropriation authority for Federal grants and \$1 million in appropriation authority for State Special Revenue grants in the other professional services category. The Federal grant budget projection anticipates growth in federal aid to support specialty courts, juvenile justice initiatives, and the court improvement program. The State Special Revenue grant projected budget growth stems primarily from Raise the Age initiatives supported by the New York City Mayor's Office of Criminal Justice.

The remaining increase in other professional services and the increase in ADR will allow the Judiciary to continue to enhance support for court-related programs such as the Community Dispute Resolution Centers, Court Appointed Special Advocates (CASA), and Children's Centers. Increases for *per diem* interpreters, jury *per diems* and judicial hearing officers are reflective of expanded usage in support of court operations and reducing backlogs. An increase in accounting and auditing services is related to the expansion of electronic filing. Contractual increases are also noted in legal reference materials, online research, and real estate rentals.

These increases are partially offset by decreases in information technology and office clerical services for replacement of contractual temporary workers with permanent employees due to the nature of their job functions. Other significant expenditure-based decreases in transcripts, travel and contractual security contribute to the offset as well. In addition, less significant expenditure-based decreases are reflected in building and property services, miscellaneous supplies, and small claims assessment officer payments.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$14,957,549	\$3,677,162	\$18,634,711
New York City	785,299,379	34,262,574	819,561,953
3rd Judicial District	52,652,874	7,353,707	60,006,581
4th Judicial District	54,842,103	3,066,057	57,908,160
5th Judicial District	60,030,346	6,282,968	66,313,314
6th Judicial District	45,661,185	2,182,120	47,843,305
7th Judicial District	56,493,931	18,410,087	74,904,018
8th Judicial District	94,164,797	8,265,234	102,430,031
9th Judicial District	115,088,803	4,121,671	119,210,474
Nassau County	106,548,327	4,182,695	110,731,022
Suffolk County	105,700,919	3,921,000	109,621,919
Alternative Dispute Resolution	1,422,843	10,714,050	12,136,893
Court Support Services	69,167,240	53,581,214	122,748,454
Undistributed	(8,555,583)	111,950,483	103,394,900
Total:	<u>\$1,553,474,713</u>	<u>\$271,971,022</u>	<u>\$1,825,445,735</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	Current Appropriation as Adjusted	UCS Recommended	Changes to Current Appropriation
<u>Personal Service</u>			
PS Regular	1,469,185,437	1,521,998,523	52,813,086
PS Temporary	1,019,381	1,196,073	176,692
PS Overtime	25,945,779	30,280,117	4,334,338
Total Personal Service	1,496,150,597	1,553,474,713	57,324,116
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,617,371	21,157,173	539,802
Supplies and Materials	7,048,220	7,108,043	59,823
Travel	2,624,681	2,389,950	(234,731)
Equipment Rental and Repairs	7,448,577	7,390,417	(58,160)
Real Estate Rentals	17,989,395	18,218,936	229,541
Conferences and Training	552,428	553,222	794
Postage and Printing	8,916,541	8,955,133	38,592
Telecommunications	3,501,338	3,522,738	21,400
Information Technology Services	8,618,090	3,931,844	(4,686,246)
Accounting and Auditing Services	2,523,612	2,769,921	246,309
Records Management Services	4,204,661	4,210,561	5,900
Other Professional Services	110,034,910	118,748,826	8,713,916
In-Part Services	6,057,364	6,698,328	640,964
ADR/SCAR/Arbitration	7,745,422	8,415,339	669,917
Judicial Hearing Officers	2,853,600	3,309,733	456,133
Jury Fees	18,113,628	18,387,613	273,985
Security Services	29,407,643	29,094,643	(313,000)
Transcripts	5,976,723	5,354,723	(622,000)
Equipment	1,753,879	1,753,879	0
Total Nonpersonal Service	265,988,083	271,971,022	5,982,939
Grand Total	1,762,138,680	1,825,445,735	63,307,055

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,428,853,936	1,472,147,829	43,293,893
PS Temporary	1,019,381	1,196,073	176,692
PS Overtime	25,945,079	30,275,092	4,330,013
Total Personal Service	1,455,818,396	1,503,618,994	47,800,598
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,617,371	21,157,173	539,802
Supplies and Materials	6,864,270	6,937,493	73,223
Travel	2,616,481	2,381,720	(234,761)
Equipment Rental and Repairs	7,329,277	7,283,311	(45,966)
Real Estate Rentals	17,989,395	18,218,936	229,541
Conferences and Training	552,428	553,222	794
Postage and Printing	8,823,085	8,859,138	36,053
Telecommunications	3,452,338	3,478,438	26,100
Information Technology Services	3,580,290	3,894,844	314,554
Accounting and Auditing Services	1,507,612	1,682,921	175,309
Records Management Services	2,292,661	2,340,357	47,696
Other Professional Services	97,531,260	97,245,376	(285,884)
In-Part Services	6,057,364	6,698,328	640,964
ADR/SCAR/Arbitration	7,745,422	8,415,339	669,917
Judicial Hearing Officers	2,853,600	3,309,733	456,133
Jury Fees	18,113,628	18,387,613	273,985
Security Services	29,407,643	29,094,643	(313,000)
Transcripts	5,976,723	5,354,723	(622,000)
Equipment	1,753,879	1,753,879	0
Total Nonpersonal Service	245,064,727	247,047,187	1,982,460
Grand Total	1,700,883,123	1,750,666,181	49,783,058

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	861,535	918,695	57,160
Total Personal Service	861,535	918,695	57,160
<u>Nonpersonal Service</u>			
Supplies and Materials	1,350	750	(600)
Travel	1,500	1,500	0
Postage and Printing	2,921	4,000	1,079
Information Technology Services	36,000	36,000	0
Other Professional Services	1,500,000	2,500,000	1,000,000
Total Nonpersonal Service	1,541,771	2,542,250	1,000,479
 Grand Total	 2,403,306	 3,460,945	 1,057,639

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	665,355	637,909	(27,446)
PS Overtime	700	0	(700)
Total Personal Service	<u>666,055</u>	<u>637,909</u>	<u>(28,146)</u>
 Grand Total	 666,055	 637,909	 (28,146)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,066,688	21,760,206	1,693,518
PS Overtime	0	5,025	5,025
Total Personal Service	20,066,688	21,765,231	1,698,543
<u>Nonpersonal Service</u>			
Supplies and Materials	182,600	169,800	(12,800)
Travel	6,700	6,730	30
Equipment Rental and Repairs	119,300	107,106	(12,194)
Postage and Printing	90,535	91,995	1,460
Telecommunications	49,000	44,300	(4,700)
Information Technology Services	1,800	1,000	(800)
Accounting and Auditing Services	1,016,000	1,087,000	71,000
Records Management Services	1,912,000	1,870,204	(41,796)
Other Professional Services	3,650	3,450	(200)
Total Nonpersonal Service	3,381,585	3,381,585	0
Grand Total	23,448,273	25,146,816	1,698,543

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,737,923	26,533,884	7,795,961
Total Personal Service	18,737,923	26,533,884	7,795,961
<u>Nonpersonal Service</u>			
Information Technology Services	5,000,000	0	(5,000,000)
Other Professional Services	1,000,000	0	(1,000,000)
Total Nonpersonal Service	6,000,000	0	(6,000,000)
 Grand Total	 24,737,923	 26,533,884	 1,795,961

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	4,500,000	9,500,000	5,000,000
Total Nonpersonal Service	4,500,000	9,500,000	5,000,000
 Grand Total	 4,500,000	 9,500,000	 5,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	9,500,000	4,000,000
Total Nonpersonal Service	5,500,000	9,500,000	4,000,000
 Grand Total	 5,500,000	 9,500,000	 4,000,000

Courts of Original Jurisdiction
2017 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme & County Courts ^a	44,283	48,916
NYC Criminal Court		
Arrest Cases	251,210	256,764
Summons Cases ^b	182,835	661,033
City and District Courts Outside NYC		
Criminal Cases	237,616	244,634
Uniform Traffic Tickets ^b	393,639	375,751
Parking Tickets ^b	104,984	85,108
Criminal Subtotal:	1,214,567	1,672,206
Civil:		
Supreme Courts		
New Cases (RJI's)	177,202	216,226
Ex Parte Applications	246,054	246,054
Uncontested Matrimonials	42,857	46,054
NYC Civil Court		
New Civil Actions	253,616	161,099
Housing Cases	252,007	218,333
Small Claims	18,376	28,935
Commercial Claims	5,357	5,939
City and District Courts Outside NYC		
New Civil Actions	78,079	110,510 ^c
Housing Cases	79,140	80,378
Small Claims	18,402	18,806
Commercial Claims	6,829	6,955
County Courts ^a	108,458 ^d	109,402 ^d
Court of Claims	1,816	1,850
Arbitration Program (CPLR 3405) ^c	10,618	16,421
Small Claims Assessment Review	44,211	50,566
Civil Subtotal:	1,332,404	1,301,107
Family ^a	611,470 ^f	606,265 ^f
Surrogate's ^a	141,735	114,695 ^g
2017 Total:	3,300,176	3,694,273

^a Also reflects Multi-Bench Matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex parte applications.

^e Shown for reference only; not included in totals.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

COURTS OF ORIGINAL JURISDICTION
Supreme and County Courts Program

2019-20 Budget Request:	\$492,576,786
Personal Service:	479,622,508
Nonpersonal Service:	12,954,278
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a judicial district to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2019-20 Funding Request

The Supreme and County Courts General Fund budget request is \$492.6 million, or an increase of \$28.3 million (6.1%) over the current year adjusted appropriation.

The personal service request of \$479.6 million represents an increase of \$28.8 million (6.4%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions supports a net increase in the number of certificated Supreme Court justices, salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries and annualized funding for five new Supreme Court judgeships authorized by chapter 59 of the Laws of 2018. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, uniform allowances and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request includes \$0.7 million for temporary service, supporting commercial division law clerks in New York Supreme Civil Court and legal support in Westchester County Supreme and County Court and Bronx Supreme Court. Also reflected in the personal service request is \$0.4 million for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, equipment rental and repairs, postage and printing, telecommunications, accounting and auditing services, in-part services, judicial hearing officers and transcripts. Accounting and auditing services consist of the fees associated with electronic filing and the processing of credit card payments.

The nonpersonal service request is \$13 million, or a decrease of \$0.4 million (-3.3%) from current year funding. The decreases in SCAR and transcripts are expenditure-based. Less funding is required in telephones due to continued savings from the installation of IP phones in Suffolk County. These decreases are partially offset by increases in accounting and auditing services and judicial hearing officers. An increase in accounting and auditing services is attributable to expanded use of electronic filing. Additional judicial hearing officers are needed primarily to assist with asbestos-related litigation.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$48,051,808	\$686,832	\$48,738,640
NY Supreme Criminal	26,476,782	1,313,497	27,790,279
Supreme Bronx	41,855,928	936,793	42,792,721
Supreme Kings	62,872,349	1,879,157	64,751,506
Supreme Queens	55,300,061	1,173,975	56,474,036
Supreme Richmond	11,045,625	361,275	11,406,900
NYC Subtotal:	<u>\$245,602,553</u>	<u>\$6,351,529</u>	<u>\$251,954,082</u>
3rd Judicial District	\$15,910,715	\$526,238	\$16,436,953
4th Judicial District	11,414,097	508,926	11,923,023
5th Judicial District	17,838,240	516,885	18,355,125
6th Judicial District	6,680,272	198,369	6,878,641
7th Judicial District	18,018,758	475,807	18,494,565
8th Judicial District	28,175,271	654,130	28,829,401
9th Judicial District	40,734,361	1,303,137	42,037,498
Nassau County	42,772,244	1,281,762	44,054,006
Suffolk County	39,063,937	1,137,495	40,201,432
Outside NYC Subtotal:	<u>\$220,607,895</u>	<u>\$6,602,749</u>	<u>\$227,210,644</u>
Undistributed	<u>\$13,412,060</u>	<u>\$0</u>	<u>\$13,412,060</u>
Total:	<u>\$479,622,508</u>	<u>\$12,954,278</u>	<u>\$492,576,786</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	449,847,888	478,523,094	28,675,206
PS Temporary	518,827	674,365	155,538
PS Overtime	458,385	425,049	(33,336)
Total Personal Service	450,825,100	479,622,508	28,797,408
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,573	4,473	(1,100)
Supplies and Materials	1,280,573	1,286,368	5,795
Travel	411,457	432,413	20,956
Equipment Rental and Repairs	917,325	889,244	(28,081)
Conferences and Training	8,575	7,575	(1,000)
Postage and Printing	763,999	755,098	(8,901)
Telecommunications	337,308	225,328	(111,980)
Information Technology Services	3,500	3,000	(500)
Accounting and Auditing Services	782,802	919,741	136,939
Records Management Services	165,555	167,355	1,800
Other Professional Services	487,003	474,704	(12,299)
In-Part Services	1,412,981	1,424,213	11,232
ADR/SCAR/Arbitration	465,200	428,200	(37,000)
Judicial Hearing Officers	1,421,033	1,491,000	69,967
Transcripts	4,600,108	4,106,821	(493,287)
Equipment	338,745	338,745	0
Total Nonpersonal Service	13,401,737	12,954,278	(447,459)
Grand Total	464,226,837	492,576,786	28,349,949

COURTS OF ORIGINAL JURISDICTION
Family Courts Program

2019-20 Budget Request: \$189,979,252	
Personal Service:	184,048,441
Nonpersonal Service:	5,930,811
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters. Chapter 59 of the Laws of 2017 effectively raised the age of criminal responsibility to 18. Under the Raise the Age (RTA) legislation, Family Court will now have jurisdiction over 16 and 17-year-old juvenile delinquents accused of misdemeanor offenses, as well as transferred cases with more serious offenses that are initiated in Youth Parts in Criminal Court. The RTA legislation was initiated in October 2018 and will be fully implemented by October 2019. The 2019-20 funding needs associated with RTA will be requested through the Executive Branch RTA central appropriation.

Summary of 2019-20 Funding Request

The Family Courts General Fund budget request of \$190 million reflects an increase of \$4.5 million (2.5%) over the current year adjusted appropriation.

The personal service request of \$184 million represents an increase of \$4.5 million (2.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances and location pay, as required by law, for all eligible non-judicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover. The personal service request also includes \$0.2 million for overtime in support of ongoing operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: in-part services, sup-

plies and materials, postage and printing, transcripts, records management, equipment rental and repairs, telecommunications and judicial hearing officers.

The nonpersonal service request of \$5.9 million reflects an increase of \$59,329 (1%) over current year funding. The growth is due in part to expenditure-based increases in per diem interpreters, travel and repairs of equipment. The overall increase is partially offset by expenditure-based decreases in records management services, printing, transcripts, telecommunications and judicial hearing officers.

Budget Summary - General Fund

Family Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$76,685,656	\$3,123,078	\$79,808,734
NYC Subtotal:	<u>\$76,685,656</u>	<u>\$3,123,078</u>	<u>\$79,808,734</u>
3rd Judicial District	\$9,606,448	\$292,729	\$9,899,177
4th Judicial District	10,681,202	309,836	10,991,038
5th Judicial District	14,103,181	322,145	14,425,326
6th Judicial District	6,434,364	198,239	6,632,603
7th Judicial District	8,767,638	214,906	8,982,544
8th Judicial District	15,516,294	379,705	15,895,999
9th Judicial District	18,274,008	397,799	18,671,807
Nassau County	10,105,206	320,616	10,425,822
Suffolk County	13,874,444	371,758	14,246,202
Outside NYC Subtotal:	<u>\$107,362,785</u>	<u>\$2,807,733</u>	<u>\$110,170,518</u>
Total:	<u>\$184,048,441</u>	<u>\$5,930,811</u>	<u>\$189,979,252</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	179,399,001	183,862,038	4,463,037
PS Overtime	162,696	186,403	23,707
Total Personal Service	179,561,697	184,048,441	4,486,744
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	498	250	(248)
Supplies and Materials	800,079	803,670	3,591
Travel	184,063	196,169	12,106
Equipment Rental and Repairs	656,978	670,771	13,793
Conferences and Training	1,000	500	(500)
Postage and Printing	995,018	986,677	(8,341)
Telecommunications	118,972	80,091	(38,881)
Information Technology Services	1,000	1,000	0
Records Management Services	328,121	299,842	(28,279)
Other Professional Services	13,915	17,905	3,990
In-Part Services	1,602,128	1,814,530	212,402
Judicial Hearing Officers	433,733	412,133	(21,600)
Transcripts	611,732	523,028	(88,704)
Equipment	124,245	124,245	0
Total Nonpersonal Service	5,871,482	5,930,811	59,329
Grand Total	185,433,179	189,979,252	4,546,073

COURTS OF ORIGINAL JURISDICTION
Surrogate's Courts Program

2019-20 Budget Request:		\$ 53,786,165
Personal Service:	52,491,828	
Nonpersonal Service:	1,294,337	
Maintenance Undistributed:	0	

Program Description

This Program provides funding for operations in Surrogate's Courts.

Surrogate's Court: There is a Surrogate's Court established in each of the State's 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate's Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate's Courts exercise jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary of 2019-20 Funding Request

The Surrogate's Courts General Fund budget request is \$53.8 million, or an increase of \$2.6 million (5.1%) over the current year adjusted appropriation.

The personal service request of \$52.5 million represents an increase of \$2.6 million (5.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request includes \$57,648 in temporary service in New York County Surrogate's Court for a part-time legal staff member who shares a position with an individual in a different title within the legal series. The request also includes \$2,645 in overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate's Court, including records management services, equipment rental and repairs, supplies and materials, postage and printing, and accounting and auditing services.

The nonpersonal service request of \$1.3 million represents an increase of \$30,487 (2.4%) over the current year adjusted appropriation. This increase is attributable to higher expenditures in accounting and auditing services, partially offset by expenditure-based reductions in records management services, and repairs of equipment.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate's Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$7,175,150	\$135,775	\$7,310,925
Bronx Surrogate	3,966,777	68,550	4,035,327
Kings Surrogate	5,416,374	87,190	5,503,564
Queens Surrogate	4,496,947	79,768	4,576,715
Richmond Surrogate	3,041,794	60,575	3,102,369
NYC Subtotal:	<u>\$24,097,042</u>	<u>\$431,858</u>	<u>\$24,528,900</u>
3rd Judicial District	\$2,021,182	\$108,414	\$2,129,596
4th Judicial District	3,354,400	50,840	3,405,240
5th Judicial District	2,777,429	72,998	2,850,427
6th Judicial District	749,463	10,378	759,841
7th Judicial District	3,474,681	103,365	3,578,046
8th Judicial District	4,425,751	200,762	4,626,513
9th Judicial District	5,208,511	127,890	5,336,401
Nassau County	3,550,298	94,656	3,644,954
Suffolk County	2,833,071	93,176	2,926,247
Outside NYC Subtotal:	<u>\$28,394,786</u>	<u>\$862,479</u>	<u>\$29,257,265</u>
Total:	<u>\$52,491,828</u>	<u>\$1,294,337</u>	<u>\$53,786,165</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	49,895,329	52,431,535	2,536,206
PS Temporary	0	57,648	57,648
PS Overtime	931	2,645	1,714
Total Personal Service	<u>49,896,260</u>	<u>52,491,828</u>	<u>2,595,568</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,025	1,025	0
Supplies and Materials	194,356	199,849	5,493
Travel	16,395	16,288	(107)
Equipment Rental and Repairs	210,115	201,025	(9,090)
Real Estate Rentals	25	25	0
Postage and Printing	187,206	191,606	4,400
Telecommunications	33,509	26,013	(7,496)
Accounting and Auditing Services	123,401	168,075	44,674
Records Management Services	425,329	415,257	(10,072)
Other Professional Services	46,301	46,261	(40)
In-Part Services	18,515	21,740	3,225
Transcripts	7,673	7,173	(500)
Total Nonpersonal Service	<u>1,263,850</u>	<u>1,294,337</u>	<u>30,487</u>
Grand Total	51,160,110	53,786,165	2,626,055

COURTS OF ORIGINAL JURISDICTION
Multi-Bench Courts Program

2019-20 Budget Request: \$50,463,281	
Personal Service:	48,502,824
Nonpersonal Service:	1,960,457
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many counties outside New York City there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate's Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Essex, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schoharie, Schuyler, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben counties. Those having combined County and Surrogate's Courts only include: Chemung, Clinton, Delaware, Franklin, Fulton, Genesee, Herkimer, Sullivan and Warren counties.

Summary of 2019-20 Funding Request

The Multi-Bench Courts General Fund budget request is \$50.5 million, or an increase of \$2.1 million (4.4%) over the current year adjusted appropriation.

The personal service request of \$48.5 million represents an increase of \$2.1 million (4.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases, as well as payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$2,000 for temporary service and \$5,731 for overtime. The temporary service funding supports one part-time position in the trial courts in support of ongoing operations; the overtime request supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Multi-Bench Courts. These expenses include transcript costs, postage and printing, equipment rental and repairs, supplies and materials, records management services and accounting and auditing services.

The nonpersonal service request of \$2 million represents an increase of \$40,079 (2.1%) over the current year adjusted appropriation. This increase is attributable to expenditure-based increases in *per diem* reporters and, due to the ongoing expansion of e-filing, accounting and auditing services. An expanded use of judicial hearing officers in the Multi-Bench Program also contributes to the overall nonpersonal service increase. Partially offsetting these increases are expenditure-based reductions in transcripts and *per diem* interpreters, in addition to ongoing savings resulting from implementation of IP telephones.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$6,068,570	\$284,382	\$6,352,952
4th Judicial District	7,995,511	324,565	8,320,076
5th Judicial District	1,580,468	76,351	1,656,819
6th Judicial District	12,337,899	547,530	12,885,429
7th Judicial District	11,645,616	429,834	12,075,450
8th Judicial District	6,819,018	195,066	7,014,084
9th Judicial District	2,055,742	102,729	2,158,471
	<u>\$48,502,824</u>	<u>\$1,960,457</u>	<u>\$50,463,281</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	46,392,933	48,495,093	2,102,160
PS Temporary	4,000	2,000	(2,000)
PS Overtime	7,932	5,731	(2,201)
Total Personal Service	<u>46,404,865</u>	<u>48,502,824</u>	<u>2,097,959</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,976	6,225	249
Supplies and Materials	257,163	263,993	6,830
Travel	102,560	109,623	7,063
Equipment Rental and Repairs	297,933	289,674	(8,259)
Postage and Printing	332,626	325,062	(7,564)
Telecommunications	96,109	77,455	(18,654)
Accounting and Auditing Services	61,045	111,352	50,307
Records Management Services	127,328	130,788	3,460
Other Professional Services	76,656	78,006	1,350
In-Part Services	72,973	69,920	(3,053)
Judicial Hearing Officers	63,300	91,200	27,900
Transcripts	426,709	407,159	(19,550)
Total Nonpersonal Service	<u>1,920,378</u>	<u>1,960,457</u>	<u>40,079</u>
Grand Total	48,325,243	50,463,281	2,138,038

COURTS OF ORIGINAL JURISDICTION
City and District Courts Program

2019-20 Budget Request: \$279,572,700	
Personal Service:	271,152,291
Nonpersonal Service:	8,420,409
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in City Courts outside New York City, Nassau and Suffolk County District Courts, New York City Civil Court, New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 23 are comprised solely of full-time judges, 24 are comprised of a mix of full and part-time judges, and 14 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this program, but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2019-20 Funding Request

The City and District Courts General Fund budget request is \$279.6 million, or an increase of \$9.9 million (3.7%) over the current year adjusted appropriation.

The personal service request of \$271.2 million represents an increase of \$9.9 million (3.8%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$0.2 million in temporary service for acting city court judges, part-time legal staff who share a position with an individual in a different title within the legal series and clerical positions to support ongoing court operations. The request also includes \$7.3 million in overtime which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the City & District Courts. These expenses include in-part services such as *per diem* interpreters and court reporters, postage and printing, judicial hearing officers, supplies and materials, records management services, and equipment rental and repairs.

The nonpersonal service request of \$8.4 million represents a decrease of \$10,297 (-0.1%) from the current year adjusted appropriation. The decrease is primarily attributable to an expenditure-based decrease for judicial hearing officers in New York City Criminal Court and the centralization of *per diem* interpreters from the City & District Program to the Trial Courts Administration Program in the Fourth Judicial District; this latter change has no overall fiscal impact. Additional decreases are noted in telephones, office supplies and transcripts. These decreases are partially offset by increases in records management services, printing and equipment rentals.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

City and District Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$65,006,360	\$1,864,925	\$66,871,285
NYC Criminal Court	90,431,307	2,699,110	93,130,417
NYC Subtotal:	<u>\$155,437,667</u>	<u>\$4,564,035</u>	<u>\$160,001,702</u>
3rd Judicial District	\$6,790,788	\$219,696	\$7,010,484
4th Judicial District	6,067,127	167,595	6,234,722
5th Judicial District	9,982,623	229,822	10,212,445
6th Judicial District	6,149,644	157,692	6,307,336
7th Judicial District	9,331,850	218,162	9,550,012
8th Judicial District	16,668,692	430,623	17,099,315
9th Judicial District	18,307,660	617,645	18,925,305
Nassau County	21,604,807	1,039,855	22,644,662
Suffolk County	20,811,433	775,284	21,586,717
Outside NYC Subtotal:	<u>\$115,714,624</u>	<u>\$3,856,374</u>	<u>\$119,570,998</u>
Total:	<u>\$271,152,291</u>	<u>\$8,420,409</u>	<u>\$279,572,700</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	254,466,597	263,589,773	9,123,176
PS Temporary	226,924	231,113	4,189
PS Overtime	6,526,113	7,331,405	805,292
Total Personal Service	261,219,634	271,152,291	9,932,657
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,339	2,697	(642)
Supplies and Materials	829,955	808,841	(21,114)
Travel	204,219	210,094	5,875
Equipment Rental and Repairs	695,688	722,332	26,644
Real Estate Rentals	100	100	0
Conferences and Training	825	825	0
Postage and Printing	1,099,768	1,136,858	37,090
Telecommunications	229,440	175,870	(53,570)
Information Technology Services	10,200	10,200	0
Accounting and Auditing Services	463,864	455,753	(8,111)
Records Management Services	711,137	801,078	89,941
Other Professional Services	38,199	37,769	(430)
In-Part Services	2,248,437	2,218,225	(30,212)
ADR/SCAR/Arbitration	453,025	448,150	(4,875)
Judicial Hearing Officers	903,534	863,400	(40,134)
Transcripts	312,101	301,342	(10,759)
Equipment	226,875	226,875	0
Total Nonpersonal Service	8,430,706	8,420,409	(10,297)
Grand Total	269,650,340	279,572,700	9,922,360

COURTS OF ORIGINAL JURISDICTION**New York City Housing Court Program**

2019-20 Budget Request: \$34,461,864	
Personal Service:	33,647,504
Nonpersonal Service:	814,360
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act §110) also established a corps of quasi-judicial hearing officers designated by the Chief Administrative Judge, and now known as Housing Judges to preside in the Housing Part.

Summary of 2019-20 Funding Request

The New York City Housing Court General Fund budget request is \$34.5 million, or an increase of \$1.5 million (4.4%) over the current year adjusted appropriation.

The personal service request of \$33.6 million represents an increase of \$1.5 million (4.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances and location pay, as required by law, for all eligible nonjudicial employees.

The personal service request also includes \$15,467 for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These include: supplies and materials, postage and printing and equipment rental and repairs. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and landlords in each borough's Housing Court.

The nonpersonal service request of \$0.8 million is a decrease of \$15,664 (-1.9%) from current year funding. The decrease is primarily due to expenditure-based decreases in postage and transcripts. These decreases are partially offset by an expenditure-based increase in office supplies and contractual cost increases in rentals of equipment.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$33,647,504	\$814,360	\$34,461,864
Total:	<u>\$33,647,504</u>	<u>\$814,360</u>	<u>\$34,461,864</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	32,118,772	33,632,037	1,513,265
PS Temporary	39,516	0	(39,516)
PS Overtime	14,873	15,467	594
Total Personal Service	<u>32,173,161</u>	<u>33,647,504</u>	<u>1,474,343</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	94,710	104,500	9,790
Travel	3,303	3,400	97
Equipment Rental and Repairs	81,576	84,725	3,149
Postage and Printing	200,300	181,100	(19,200)
Telecommunications	500	0	(500)
Other Professional Services	382,000	380,000	(2,000)
Transcripts	15,000	8,000	(7,000)
Equipment	52,635	52,635	0
Total Nonpersonal Service	<u>830,024</u>	<u>814,360</u>	<u>(15,664)</u>
Grand Total	33,003,185	34,461,864	1,458,679

COURTS OF ORIGINAL JURISDICTION
Community Courts Program

2019-20 Budget Request:	\$5,434,110
Personal Service:	2,276,108
Nonpersonal Service:	3,158,002
Maintenance Undistributed:	0

Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan's Midtown Community Court and Harlem Community Justice Center; Brooklyn's Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx's Community Solutions.

Summary of 2019-20 Funding Request

The Community Courts General Fund budget request is \$5.4 million, or an increase of \$92,869 (1.7%) over the current year adjusted appropriation.

The personal service request of \$2.3 million represents an increase of \$46,118 (2.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowances and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover. The personal service request also includes \$0.2 million for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, equipment rental and repairs, postage and printing and in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3.2 million, or an increase of \$46,751 (1.5%) over current year funding. Cost-of-living increases in contracts with the Fund for the City of New York account for additional funding in other general services. These increases are partially offset by expenditure-based decreases in supplies and materials and *per diem* court interpreters.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Community Courts Program

New York City	Personal Service	Nonpersonal Service	Total
Midtown Community Court	\$354,452	\$639,450	\$993,902
Red Hook Community Court	789,360	895,643	1,685,003
Harlem Community Justice Center	1,132,296	538,489	1,670,785
Bronx Community Solutions	0	802,151	802,151
Brownsville Community Court	0	282,269	282,269
Total:	<u>\$2,276,108</u>	<u>\$3,158,002</u>	<u>\$5,434,110</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,057,049	2,106,377	49,328
PS Overtime	172,941	169,731	(3,210)
Total Personal Service	<u>2,229,990</u>	<u>2,276,108</u>	<u>46,118</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	10,440	9,190	(1,250)
Equipment Rental and Repairs	13,588	13,338	(250)
Postage and Printing	2,100	2,000	(100)
Other Professional Services	3,005,923	3,065,974	60,051
In-Part Services	79,000	67,500	(11,500)
Transcripts	200	0	(200)
Total Nonpersonal Service	<u>3,111,251</u>	<u>3,158,002</u>	<u>46,751</u>
 Grand Total	 5,341,241	 5,434,110	 92,869

COURTS OF ORIGINAL JURISDICTION
Drug Treatment Courts Program

2019-20 Budget Request: \$15,829,667	
Personal Service:	14,849,088
Nonpersonal Service:	980,579
Maintenance Undistributed:	0

Program Description

This Program provides the non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Summary of 2019-20 Funding Request

The Drug Treatment Courts General Fund budget request is \$15.8 million, or an increase of \$0.1 million (0.8%) over the current year adjusted appropriation.

The personal service request of \$14.8 million represents an increase of \$94,509 (0.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Included in the personal service request is \$0.2 million for essential overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, other professional services and travel for nonjudicial employees.

The nonpersonal service request of \$1.0 million represents an increase of \$23,613 (2.5%) over the current year adjusted appropriation. This increase is primarily attributable to additional funding for supplies and materials. Expenditure-based decreases in travel and office clerical services partially offset the overall increase.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,385,877	\$19,509	\$1,405,386
Bronx	1,283,520	15,950	1,299,470
Kings	2,457,407	147,016	2,604,423
Queens	1,359,161	29,488	1,388,649
Richmond	83,982	8,665	92,647
NYC Subtotal:	<u>\$6,569,947</u>	<u>\$220,628</u>	<u>\$6,790,575</u>
3rd Judicial District	\$947,572	\$108,160	\$1,055,732
4th Judicial District	975,157	49,986	1,025,143
5th Judicial District	911,231	30,395	941,626
6th Judicial District	601,814	132,036	733,850
7th Judicial District	1,206,676	137,955	1,344,631
8th Judicial District	1,937,425	75,283	2,012,708
9th Judicial District	786,998	52,328	839,326
Nassau County	192,437	113,586	306,023
Suffolk County	719,831	60,222	780,053
Outside NYC Subtotal:	<u>\$8,279,141</u>	<u>\$759,951</u>	<u>\$9,039,092</u>
Total:	<u>\$14,849,088</u>	<u>\$980,579</u>	<u>\$15,829,667</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,595,065	14,662,964	67,899
PS Overtime	159,514	186,124	26,610
Total Personal Service	<u>14,754,579</u>	<u>14,849,088</u>	<u>94,509</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	752,671	791,423	38,752
Travel	65,621	57,728	(7,893)
Equipment Rental and Repairs	11,863	12,217	354
Conferences and Training	0	100	100
Postage and Printing	7,945	7,425	(520)
Telecommunications	1,216	486	(730)
Information Technology Services	3,800	3,500	(300)
Other Professional Services	113,850	107,700	(6,150)
Total Nonpersonal Service	<u>956,966</u>	<u>980,579</u>	<u>23,613</u>
Grand Total	15,711,545	15,829,667	118,122

COURTS OF ORIGINAL JURISDICTION
Court of Claims Program

2019-20 Budget Request: \$18,634,711	
Personal Service:	14,957,549
Nonpersonal Service:	3,677,162
Maintenance Undistributed:	0

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities such as the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State's power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2019-20 Funding Request

The Court of Claims General Fund budget request is \$18.6 million, or an increase of \$0.4 million (2.4%) over the current year adjusted appropriation.

The personal service request of \$15 million represents an increase of \$0.5 million (3.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees. The personal service request includes \$1,064 for overtime, which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, equipment rental and repairs, postage and printing and in-part services. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$3.7 million, or a decrease of \$15,621 (-0.4%) from current year funding. The decrease is primarily attributable to reduced costs in travel and reduced operating expenses in one real estate rental location. These decreases are partially offset by expenditure-based increases in building and property services, postage and *per diem* interpreters.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Court of Claims

Court of Claims	Personal Service	Nonpersonal Service	Total
Court of Claims	\$14,957,549	\$3,677,162	\$18,634,711
Total:	<u>\$14,957,549</u>	<u>\$3,677,162</u>	<u>\$18,634,711</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,494,963	14,956,485	461,522
PS Overtime	1,633	1,064	(569)
Total Personal Service	14,496,596	14,957,549	460,953
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,825	11,025	200
Supplies and Materials	57,200	59,070	1,870
Travel	59,000	54,000	(5,000)
Equipment Rental and Repairs	74,899	74,815	(84)
Real Estate Rentals	3,348,500	3,332,428	(16,072)
Conferences and Training	50,000	50,000	0
Postage and Printing	43,000	45,500	2,500
Telecommunications	2,500	3,450	950
Information Technology Services	500	100	(400)
Accounting and Auditing Services	1,500	3,000	1,500
Records Management Services	11,600	11,600	0
Other Professional Services	4,300	3,715	(585)
In-Part Services	2,500	4,000	1,500
Transcripts	3,200	1,200	(2,000)
Equipment	23,259	23,259	0
Total Nonpersonal Service	3,692,783	3,677,162	(15,621)
Grand Total	18,189,379	18,634,711	445,332

COURTS OF ORIGINAL JURISDICTION

Jury Program

2019-20 Budget Request:	\$42,488,269
Personal Service:	18,752,994
Nonpersonal Service:	23,735,275
Maintenance Undistributed:	0

Program Description

This Program provides funding for the cost of operating the jury system for New York's courts.

The Jury System: The State Constitution guarantees litigants before New York's courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner's functions are discharged by the jury divisions of the County Clerk's office in each of the City's five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2019-20 Funding Request

The Jury Systems Operations General Fund budget request is \$42.5 million, or an increase of \$0.2 million (0.5%) over the current year adjusted appropriation.

The personal service request of \$18.8 million represents a decrease of \$92,127 (-0.5%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, information technology services, supplies and materials, and equipment rental and repairs.

The nonpersonal service request of \$23.7 million represents an increase of \$0.3 million (1.2%) over the current year adjusted appropriation. This increase is primarily attributable to an expanded use of *per diem* jurors. An increase in the information technology services object is offset by expenditure-based reductions in repairs of equipment, office supplies and printing costs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Jury Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$2,690,879	\$3,466,298	\$6,157,177
Bronx	1,629,034	2,315,519	3,944,553
Kings	2,186,816	2,903,890	5,090,706
Queens	2,104,252	2,084,512	4,188,764
Richmond	547,024	364,541	911,565
NYC Subtotal:	<u>\$9,158,005</u>	<u>\$11,134,760</u>	<u>\$20,292,765</u>
3rd Judicial District	\$1,271,809	\$730,020	\$2,001,829
4th Judicial District	975,744	686,156	1,661,900
5th Judicial District	1,071,215	679,799	1,751,014
6th Judicial District	230,733	428,329	659,062
7th Judicial District	1,053,947	913,131	1,967,078
8th Judicial District	1,324,345	855,272	2,179,617
9th Judicial District	1,595,578	1,232,692	2,828,270
Nassau County	1,136,050	1,046,488	2,182,538
Suffolk County	935,568	1,132,207	2,067,775
Outside NYC Subtotal:	<u>\$9,594,989</u>	<u>\$7,704,094</u>	<u>\$17,299,083</u>
Undistributed	<u>\$0</u>	<u>\$4,896,421</u>	<u>\$4,896,421</u>
Total:	<u>\$18,752,994</u>	<u>\$23,735,275</u>	<u>\$42,488,269</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,844,438	18,751,129	(93,309)
PS Overtime	683	1,865	1,182
Total Personal Service	18,845,121	18,752,994	(92,127)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	856	856	0
Supplies and Materials	302,348	276,422	(25,926)
Travel	15,192	14,547	(645)
Equipment Rental and Repairs	235,562	199,278	(36,284)
Postage and Printing	4,298,272	4,299,973	1,701
Telecommunications	112,783	116,703	3,920
Information Technology Services	325,000	405,000	80,000
Records Management Services	30,566	23,350	(7,216)
Other Professional Services	5,243	4,973	(270)
In-Part Services	5,130	6,560	1,430
Jury Fees	18,113,628	18,387,613	273,985
Total Nonpersonal Service	23,444,580	23,735,275	290,695
Grand Total	42,289,701	42,488,269	198,568

COURTS OF ORIGINAL JURISDICTION
New York City County Clerks Program

2019-20 Budget Request: \$24,634,183	
Personal Service:	21,252,598
Nonpersonal Service:	3,381,585
Maintenance Undistributed:	0

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City, as well as reimbursements from the City of New York for county clerk operations. Jury operations are funded through the Jury System Operations Program.

Summary of 2019-20 Funding Request

The Special Revenue Fund budget request for the New York City County Clerks Program is \$24.6 million, or an increase of \$1.5 million (6.5%) over the current year adjusted appropriation.

The personal service request of \$21.3 million represents an increase of \$1.5 million (7.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with NYC County Clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services and records management services. Accounting and auditing services consist of the fees associated with electronic filing and the payment of various fees by credit cards. Records management services include records storage, shredding of documents and digitization or microfilming of paper records.

The nonpersonal service request is \$3.4 million, which represents no change from current year funding. Within this level funding, the most significant increase, in accounting and auditing services, reflects the ever-increasing use of electronic filing and payment by credit card. Expenditure-based decreases in office supplies, repairs of equipment and records management services completely offset the increases.

Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund

New York City County Clerks Program

New York City	Personal Service	Nonpersonal Service	Total
New York	\$6,905,264	\$990,430	\$7,895,694
Bronx	3,481,406	382,266	3,863,672
Kings	4,419,332	799,870	5,219,202
Queens	4,263,426	539,800	4,803,226
Richmond	2,942,975	401,015	3,343,990
Undistributed	(759,805)	268,204	(491,601)
	<u>\$21,252,598</u>	<u>\$3,381,585</u>	<u>\$24,634,183</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,738,529	21,252,338	1,513,809
PS Overtime	0	260	260
Total Personal Service	19,738,529	21,252,598	1,514,069
<u>Nonpersonal Service</u>			
Supplies and Materials	182,600	169,800	(12,800)
Travel	6,700	6,730	30
Equipment Rental and Repairs	119,300	107,106	(12,194)
Postage and Printing	90,535	91,995	1,460
Telecommunications	49,000	44,300	(4,700)
Information Technology Services	1,800	1,000	(800)
Accounting and Auditing Services	1,016,000	1,087,000	71,000
Records Management Services	1,912,000	1,870,204	(41,796)
Other Professional Services	3,650	3,450	(200)
Total Nonpersonal Service	3,381,585	3,381,585	0
Grand Total	23,120,114	24,634,183	1,514,069

COURTS OF ORIGINAL JURISDICTION

Supreme and County Court Law Library Program

2019-20 Budget Request: \$4,763,902	
Personal Service:	4,577,946
Nonpersonal Service:	185,956
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2019-20 Funding Request

The Supreme Court Law Libraries General Fund budget request is \$4.8 million, or a decrease of \$0.3 million (-6.1%) from the current year adjusted appropriation.

The personal service request of \$4.6 million represents a decrease of \$0.3 million (-6.2%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$83,938 for temporary service. This funding includes three part-time positions that support ongoing law library operations in Kings and Genesee Counties.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. It should be noted, however, that the acquisition of most legal reference materials and computer assisted legal reference access are provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$185,956 represents a decrease of \$6,149 (-3.2%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in office supplies, records management, and repairs of equipment. Additional savings are realized in computer assisted legal research costs that have been centralized within the Office of Legal Information in the Court Support Services Program. These savings are partially offset by increased costs for travel and shipping.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$0	\$1,000	\$1,000
New York Criminal	136,365	2,500	138,865
Bronx	107,107	2,000	109,107
Kings	354,488	2,000	356,488
Queens	303,440	19,000	322,440
Richmond	91,529	800	92,329
NYC Subtotal:	<u>\$992,929</u>	<u>\$27,300</u>	<u>\$1,020,229</u>
3rd Judicial District	\$373,894	\$20,602	\$394,496
4th Judicial District	328,032	8,023	336,055
5th Judicial District	954,795	37,428	992,223
6th Judicial District	172,640	20,702	193,342
7th Judicial District	319,608	11,591	331,199
8th Judicial District	298,901	19,845	318,746
9th Judicial District	591,554	4,992	596,546
Nassau County	195,823	11,233	207,056
Suffolk County	349,770	24,240	374,010
Outside NYC Subtotal:	<u>\$3,585,017</u>	<u>\$158,656</u>	<u>\$3,743,673</u>
Total:	<u>\$4,577,946</u>	<u>\$185,956</u>	<u>\$4,763,902</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	4,804,210	4,494,008	(310,202)
PS Temporary	78,428	83,938	5,510
Total Personal Service	4,882,638	4,577,946	(304,692)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	90,038	88,109	(1,929)
Supplies and Materials	36,198	33,397	(2,801)
Travel	13,395	14,990	1,595
Equipment Rental and Repairs	37,738	36,476	(1,262)
Postage and Printing	7,125	7,450	325
Telecommunications	1,225	1,250	25
Records Management Services	1,538	0	(1,538)
Other Professional Services	4,648	4,084	(564)
In-Part Services	200	200	0
Total Nonpersonal Service	192,105	185,956	(6,149)
Grand Total	5,074,743	4,763,902	(310,841)

COURTS OF ORIGINAL JURISDICTION
Alternative Dispute Resolution and
Court Improvement Programs

2019-20 Budget Request: \$12,136,893	
Personal Service:	1,422,843
Nonpersonal Service:	10,714,050
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected Alternative Dispute Resolution (ADR), Children's Centers and Court Appointed Special Advocates (CASA).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate's Court and housing and small claims disputes in City and District Courts. The Children's Centers provide child-care services for children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2019-20 Funding Request

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$12.1 million, or an increase of \$0.9 million (7.6%) over the current year adjusted appropriation.

The personal service request of \$1.4 million represents an increase of \$16,907 (1.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The nonpersonal service request of \$10.7 million includes contractual funding for state-wide dispute resolution programs, Children's Centers and CASA programs located throughout the State. The request reflects an increase of \$0.8 million (8.6%) over the current year adjusted appropriation. The increase includes cost of living adjustments for all service providers, annualization of prior-year program enhancements, and partial-year enhancements for fiscal year 2019-20 for all three programs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution and Court Improvement Programs

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,422,843	\$10,714,050	\$12,136,893
Total:	<u>\$1,422,843</u>	<u>\$10,714,050</u>	<u>\$12,136,893</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ADR & COURT IMPROVEMENT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,405,936	1,422,843	16,907
Total Personal Service	1,405,936	1,422,843	16,907
<u>Nonpersonal Service</u>			
Supplies and Materials	2,610	2,610	0
Travel	25,150	25,150	0
Conferences and Training	46,500	46,500	0
Postage and Printing	500	500	0
Information Technology Services	49,750	49,750	0
Other Professional Services	3,027,991	3,160,551	132,560
ADR/SCAR/Arbitration	6,717,197	7,428,989	711,792
Total Nonpersonal Service	9,869,698	10,714,050	844,352
 Grand Total	 11,275,634	 12,136,893	 861,259

COURTS OF ORIGINAL JURISDICTION
Court Support Services Program

2019-20 Budget Request:	\$107,463,272
Personal Service:	55,108,920
Nonpersonal Service:	52,354,352
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2019-20 Funding Request

The Court Support Services All Funds budget request is \$107.5 million, or a decrease of \$2.6 million (-2.3%) from the current year adjusted appropriation.

The personal service request of \$55.1 million represents an increase of \$2.2 million (4.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover. The personal service request also includes \$0.2 million for overtime in support of ongoing operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rental and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation, the preservation of historic records, and grants for lawyer assistance services throughout New York State is also included in the Court Support Services Program. *Per diem* interpreter funding is centralized in this program in many districts outside New York City.

The nonpersonal service request of \$52.4 million is a decrease of \$4.8 million (-8.3%) from the current year adjusted appropriation. Contractual increases are reflected for legal reference materials, CALR, and real estate rentals. Expenditure-based increases in *per diem* interpreters, travel, conferences and training, and telephones are also noted. These increases are offset by decreases in information technology and office clerical services for replacement of contractual temporary workers with permanent employees due to the nature of their job functions. An expenditure-based decrease in accounting and auditing services is also reflected.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Court Support Services

Court Support Services	Personal Service	Nonpersonal Service	Total
Administrative Services	\$4,797,851	\$15,041,397	\$19,839,248
Continuing Legal Education	918,695	42,250	960,945
Court Interpreter Operations	3,907,765	966,791	4,874,556
Data Processing & Network Support	30,832,255	9,338,270	40,170,525
Div. of Professional & Court Services	2,246,392	3,846,539	6,092,931
Human Resources Administration	4,086,755	990,460	5,077,215
Office of the Inspector General	1,446,730	19,850	1,466,580
Legal Resources	391,296	21,074,983	21,466,279
Office of Justice Initiatives	1,386,760	88,646	1,475,406
Office of Policy and Planning	1,248,188	279,897	1,528,085
Payroll Operations	2,571,045	232,650	2,803,695
Records Management	862,181	407,719	1,269,900
Workforce Diversity	413,007	24,900	437,907
Total:	<u>\$55,108,920</u>	<u>\$52,354,352</u>	<u>\$107,463,272</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	52,680,343	54,878,618	2,198,275
PS Overtime	239,602	230,302	(9,300)
Total Personal Service	<u>52,919,945</u>	<u>55,108,920</u>	<u>2,188,975</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,493,831	21,037,103	543,272
Supplies and Materials	1,359,092	1,346,585	(12,507)
Travel	433,252	472,096	38,844
Equipment Rental and Repairs	3,199,555	3,231,070	31,515
Real Estate Rentals	13,464,517	13,763,270	298,753
Conferences and Training	221,100	273,600	52,500
Postage and Printing	523,321	532,750	9,429
Telecommunications	2,472,000	2,733,651	261,651
Information Technology Services	8,013,190	3,133,069	(4,880,121)
Accounting and Auditing Services	75,000	25,000	(50,000)
Records Management Services	475,000	475,000	0
Other Professional Services	5,661,590	4,281,718	(1,379,872)
In-Part Services	615,500	939,440	323,940
ADR/SCAR/Arbitration	110,000	110,000	0
Total Nonpersonal Service	<u>57,116,948</u>	<u>52,354,352</u>	<u>(4,762,596)</u>
Grand Total	110,036,893	107,463,272	(2,573,621)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	33,080,885	27,426,039	(5,654,846)
PS Overtime	239,602	230,302	(9,300)
Total Personal Service	33,320,487	27,656,341	(5,664,146)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,493,831	21,037,103	543,272
Supplies and Materials	1,357,742	1,345,835	(11,907)
Travel	431,752	470,596	38,844
Equipment Rental and Repairs	3,199,555	3,231,070	31,515
Real Estate Rentals	13,464,517	13,763,270	298,753
Conferences and Training	221,100	273,600	52,500
Postage and Printing	520,400	528,750	8,350
Telecommunications	2,472,000	2,733,651	261,651
Information Technology Services	2,977,190	3,097,069	119,879
Accounting and Auditing Services	75,000	25,000	(50,000)
Records Management Services	475,000	475,000	0
Other Professional Services	4,661,590	4,281,718	(379,872)
In-Part Services	615,500	939,440	323,940
ADR/SCAR/Arbitration	110,000	110,000	0
Total Nonpersonal Service	51,075,177	52,312,102	1,236,925
Grand Total	84,395,664	79,968,443	(4,427,221)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	861,535	918,695	57,160
Total Personal Service	861,535	918,695	57,160
<u>Nonpersonal Service</u>			
Supplies and Materials	1,350	750	(600)
Travel	1,500	1,500	0
Postage and Printing	2,921	4,000	1,079
Information Technology Services	36,000	36,000	0
Total Nonpersonal Service	41,771	42,250	479
 Grand Total	 903,306	 960,945	 57,639

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,737,923	26,533,884	7,795,961
Total Personal Service	18,737,923	26,533,884	7,795,961
<u>Nonpersonal Service</u>			
Information Technology Services	5,000,000	0	(5,000,000)
Other Professional Services	1,000,000	0	(1,000,000)
Total Nonpersonal Service	6,000,000	0	(6,000,000)
 Grand Total	 24,737,923	 26,533,884	 1,795,961

COURTS OF ORIGINAL JURISDICTION
Town and Village Program - State Operations

2019-20 Budget Request: \$4,607,060	
Personal Service:	4,045,682
Nonpersonal Service:	561,378
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program and for the ongoing implementation of the Centralized Arraignment Parts (CAP) program pursuant to chapter 492 of the Laws of 2016.

Summary of 2019-20 Funding Request

The Town and Village State Operations General Fund budget request is \$4.6 million, or a decrease of \$0.1 million (-2.7%) from the current year adjusted appropriation.

The personal service request of \$4 million represents a decrease of \$0.1 million (-3.3%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$51,500 in temporary service for acting town and village justices in support of ongoing operations. Also included in the personal service request is \$226 for overtime.

The nonpersonal service request is \$0.6 million, or an increase of \$13,348 (2.4%) over current year funding. The increase is attributable to expenditure-based increases in travel and printing and contractual increases in information technology services. Expenditure-based decreases in conferences and training and shipping partially offset the overall nonpersonal service increase.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Town & Village State Operations Program

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$12,000	\$14,328	\$26,328
4th Judicial District	61,870	10,900	72,770
5th Judicial District	332,488	2,374	334,862
6th Judicial District	117,133	16,237	133,370
7th Judicial District	222,797	13,310	236,107
8th Judicial District	73,624	3,729	77,353
9th Judicial District	5,000	0	5,000
Nassau County	96,015	475	96,490
Suffolk County	76,100	0	76,100
Court Support Services	3,048,655	500,025	3,548,680
Total:	<u>\$4,045,682</u>	<u>\$561,378</u>	<u>\$4,607,060</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	4,127,037	3,993,956	(133,081)
PS Temporary	58,050	51,500	(6,550)
PS Overtime	600	226	(374)
Total Personal Service	<u>4,185,687</u>	<u>4,045,682</u>	<u>(140,005)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	44,672	45,517	845
Travel	145,319	158,370	13,051
Equipment Rental and Repairs	47,589	46,589	(1,000)
Conferences and Training	87,500	79,000	(8,500)
Postage and Printing	59,075	58,002	(1,073)
Telecommunications	0	350	350
Information Technology Services	163,375	173,550	10,175
Other Professional Services	500	0	(500)
Total Nonpersonal Service	<u>548,030</u>	<u>561,378</u>	<u>13,348</u>
Grand Total	4,733,717	4,607,060	(126,657)

COURTS OF ORIGINAL JURISDICTION
Public Safety Program

2019-20 Budget Request:	\$395,858,023
Personal Service:	364,051,321
Nonpersonal Service:	31,806,702
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2019-20 Funding Request

The Public Safety All Funds budget request is \$395.9 million, or an increase of \$9.6 million (2.5%) over the current year adjusted appropriation.

The personal service request of \$364.1 million represents an increase of \$10 million (2.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, uniform allowances, location pay and security differential payments (SLED), as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover. Also reflected in the personal service request is \$21.7 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for rifle ranges in several locations and payments to local sheriff and police departments who provide contractual security in certain upstate localities. Funding in other professional services supports the background investigations of NYS Court Officer-Trainees. The request also includes funding for supplies and materials, equipment rental and repairs, travel, conferences and training and information technology services.

The nonpersonal service request is \$31.8 million, or a decrease of \$0.4 million (-1.4%) from current year funding. Reduced funding in contractual security services reflects a lower projected potential for retroactive collective bargaining agreements. There are expenditure-based decreases in building and property services, conferences and training and other general services.

The decrease in travel is primarily attributable to a reduced need in anticipation of the opening of the court officer academy in Brooklyn. These decreases are partially offset by increases in security supplies and printing, primarily for the court officer academies, and an expenditure-based increase in information technology services.

Courts of Original Jurisdiction Budget Summary - All Funds

Public Safety Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$8,008,907	\$16,600	\$8,025,507
NY Supreme Criminal	14,620,632	8,920	14,629,552
Supreme Bronx	21,154,768	58,429	21,213,197
Supreme Kings	21,657,906	151,998	21,809,904
Supreme Queens	16,771,982	9,300	16,781,282
Supreme Richmond	5,698,179	8,712	5,706,891
Family Court	34,826,634	27,000	34,853,634
Surrogate's Court	550,830	0	550,830
Civil Court	25,501,249	18,000	25,519,249
Criminal Court	58,351,661	56,350	58,408,011
Jury	356,606	0	356,606
County Clerks	512,633	0	512,633
NYC Subtotal:	<u>\$208,011,987</u>	<u>\$355,309</u>	<u>\$208,367,296</u>
3rd Judicial District	\$7,990,566	\$4,589,248	\$12,579,814
4th Judicial District	11,308,741	338,103	11,646,844
5th Judicial District	8,428,040	3,521,616	11,949,656
6th Judicial District	10,605,313	121,650	10,726,963
7th Judicial District	0	15,397,931	15,397,931
8th Judicial District	16,266,503	4,954,672	21,221,175
9th Judicial District	24,238,634	50,617	24,289,251
Nassau County	23,963,255	109,005	24,072,260
Suffolk County	25,004,942	120,861	25,125,803
Outside NYC Subtotal:	<u>\$127,805,994</u>	<u>\$29,203,703</u>	<u>\$157,009,697</u>
Court Support Services	\$14,917,430	\$1,693,628	\$16,611,058
Undistributed	\$13,315,910	\$554,062	\$13,869,972
Total:	<u>\$364,051,321</u>	<u>\$31,806,702</u>	<u>\$395,858,023</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	335,835,742	342,328,856	6,493,114
PS Overtime	18,197,851	21,722,465	3,524,614
Total Personal Service	354,033,593	364,051,321	10,017,728
<u>Nonpersonal Service</u>			
Supplies and Materials	650,879	701,573	50,694
Travel	622,321	515,690	(106,631)
Equipment Rental and Repairs	561,493	522,502	(38,991)
Real Estate Rentals	299,500	238,400	(61,100)
Conferences and Training	110,128	66,297	(43,831)
Postage and Printing	28,706	40,808	12,102
Telecommunications	11,983	9,720	(2,263)
Information Technology Services	8,000	108,625	100,625
Records Management Services	300	200	(100)
Other Professional Services	542,603	508,244	(34,359)
Security Services	29,407,643	29,094,643	(313,000)
Total Nonpersonal Service	32,243,556	31,806,702	(436,854)
Grand Total	386,277,149	395,858,023	9,580,874

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	335,507,583	341,820,988	6,313,405
PS Overtime	18,197,851	21,717,700	3,519,849
Total Personal Service	<u>353,705,434</u>	<u>363,538,688</u>	<u>9,833,254</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	650,879	701,573	50,694
Travel	622,321	515,690	(106,631)
Equipment Rental and Repairs	561,493	522,502	(38,991)
Real Estate Rentals	299,500	238,400	(61,100)
Conferences and Training	110,128	66,297	(43,831)
Postage and Printing	28,706	40,808	12,102
Telecommunications	11,983	9,720	(2,263)
Information Technology Services	8,000	108,625	100,625
Records Management Services	300	200	(100)
Other Professional Services	542,603	508,244	(34,359)
Security Services	29,407,643	29,094,643	(313,000)
Total Nonpersonal Service	<u>32,243,556</u>	<u>31,806,702</u>	<u>(436,854)</u>
 Grand Total	 385,948,990	 395,345,390	 9,396,400

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	328,159	507,868	179,709
PS Overtime	0	4,765	4,765
Total Personal Service	<u>328,159</u>	<u>512,633</u>	<u>184,474</u>
 Grand Total	 328,159	 512,633	 184,474

**COURTS OF ORIGINAL JURISDICTION
Maintenance Undistributed Program**

2019-20 Budget Request:	\$71,216,447
Personal Service:	(35,283,553)
Nonpersonal Service:	106,500,000
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This Program includes funding for civil legal services in order to provide counsel to low income New Yorkers in civil cases. In addition, through special revenue funding requests, this program provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives.

Summary of 2019-20 Funding Request

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$71.2 million, or an increase of \$4.2 million (6.2%) over the current year adjusted appropriation.

The undistributed personal service request reflects savings that are generated throughout the year in the trial courts as employees leave service and essential employees are replaced (-\$46.3 million) and funding for lump sum payments associated with employees separating from service (\$11 million).

The nonpersonal service General Fund budget request includes level funding to nonprofit agencies in support of civil legal services for indigent persons (\$85 million). An offset for UCS special committees in travel, supplies and other general services is also noted.

The special revenue funding provides appropriation authority for anticipated federal and other grants (\$21.5 million). This request reflects an increase of \$10 million for projected growth in federal and other aid to support specialty courts, juvenile justice initiatives, the court improvement program and Raise the Age initiatives.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Maintenance Undistributed

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$19,000,000	\$19,000,000
Miscellaneous Special Revenue Fund	0	2,500,000	2,500,000
General Fund - Undistributed	(35,283,553)	85,000,000	49,716,447
Total:	<u>(\$35,283,553)</u>	<u>\$106,500,000</u>	<u>\$71,216,447</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	(29,746,448)	(35,283,553)	(5,537,105)
Total Personal Service	(29,746,448)	(35,283,553)	(5,537,105)
<u>Nonpersonal Service</u>			
Supplies and Materials	15,000	0	(15,000)
Travel	205,000	0	(205,000)
Other Professional Services	96,555,000	106,500,000	9,945,000
Total Nonpersonal Service	96,775,000	106,500,000	9,725,000
 Grand Total	 67,028,552	 71,216,447	 4,187,895

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	(29,746,448)	(35,283,553)	(5,537,105)
Total Personal Service	(29,746,448)	(35,283,553)	(5,537,105)
<u>Nonpersonal Service</u>			
Supplies and Materials	15,000	0	(15,000)
Travel	205,000	0	(205,000)
Other Professional Services	85,055,000	85,000,000	(55,000)
Total Nonpersonal Service	85,275,000	85,000,000	(275,000)
 Grand Total	 55,528,552	 49,716,447	 (5,812,105)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,500,000	2,500,000	1,000,000
Total Nonpersonal Service	1,500,000	2,500,000	1,000,000
 Grand Total	 1,500,000	 2,500,000	 1,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ MAINTENANCE UNDISTRIBUTED
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	4,500,000	9,500,000	5,000,000
Total Nonpersonal Service	4,500,000	9,500,000	5,000,000
 Grand Total	 4,500,000	 9,500,000	 5,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ MAINTENANCE UNDISTRIBUTED
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	<u>5,500,000</u>	<u>9,500,000</u>	<u>4,000,000</u>
Total Nonpersonal Service	<u>5,500,000</u>	<u>9,500,000</u>	<u>4,000,000</u>
 Grand Total	 <u>5,500,000</u>	 <u>9,500,000</u>	 <u>4,000,000</u>

COURTS OF ORIGINAL JURISDICTION
Trial Court Administration

2019-20 Budget Request:	\$21,539,150
Personal Service:	17,997,821
Nonpersonal Service:	3,541,329
Maintenance Undistributed:	0

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations, except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2019-20 Funding Request

The Trial Courts Administration All Funds budget request is \$21.5 million, or an increase of \$0.3 million (1.3%) over the current year adjusted appropriation.

The personal service request of \$18 million represents a decrease of \$0.3 million (-1.8%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$95,509 in temporary service that supports one position within the Fifth Judicial District Administrative Office. Also reflected in the personal service request is \$1,380 for overtime.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include judicial hearing officers, postage and printing, equipment rental and repairs, and supplies and materials. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth and Sixth Judicial Districts.

The nonpersonal service request of \$3.5 million represents an increase of \$0.6 million (20.6%) over the current year adjusted appropriation. This increase is primarily attributable to the centralization and expansion of the judicial hearing officer program within the New York City courts to improve disposition rates and reduce backlogs in furtherance of the Chief Judge's Excellence Initiative. Within the *per diem* interpreters object, the increase reflects centralization of the

budget from the city courts to the Trial Court Administration Program within the Fourth Judicial District. This centralization creates a corresponding decrease in *per diem* interpreters in the City & District Program. Additional smaller increases are noted within supplies and materials and postage and printing. These increases are partially offset by expenditure-based reductions in travel and telephones.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Trial Court Administration Program

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$0	\$700,030	\$700,030
NYC Subtotal:	<u>\$0</u>	<u>\$700,030</u>	<u>\$700,030</u>
3rd Judicial District	\$1,507,897	\$455,490	\$1,963,387
4th Judicial District	1,680,222	611,127	2,291,349
5th Judicial District	2,050,636	432,728	2,483,364
6th Judicial District	1,581,910	350,958	1,932,868
7th Judicial District	1,946,682	170,595	2,117,277
8th Judicial District	2,403,190	221,707	2,624,897
9th Judicial District	2,957,212	229,273	3,186,485
Nassau County	1,943,933	163,664	2,107,597
Suffolk County	1,926,139	205,757	2,131,896
Outside NYC Subtotal:	<u>\$17,997,821</u>	<u>\$2,841,299</u>	<u>\$20,839,120</u>
Total:	<u>\$17,997,821</u>	<u>\$3,541,329</u>	<u>\$21,539,150</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,228,053	17,900,932	(327,121)
PS Temporary	93,636	95,509	1,873
PS Overtime	2,025	1,380	(645)
Total Personal Service	18,323,714	17,997,821	(325,893)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,410	5,410	0
Supplies and Materials	177,674	205,235	27,561
Travel	111,734	102,662	(9,072)
Equipment Rental and Repairs	287,375	289,255	1,880
Real Estate Rentals	876,753	884,713	7,960
Conferences and Training	26,800	28,825	2,025
Postage and Printing	277,045	292,329	15,284
Telecommunications	34,793	28,071	(6,722)
Information Technology Services	37,975	43,050	5,075
Records Management Services	16,187	15,887	(300)
Other Professional Services	65,538	73,772	8,234
In-Part Services	0	132,000	132,000
Judicial Hearing Officers	32,000	452,000	420,000
Equipment	988,120	988,120	0
Total Nonpersonal Service	2,937,404	3,541,329	603,925
Grand Total	21,261,118	21,539,150	278,032

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,562,698	17,263,023	(299,675)
PS Temporary	93,636	95,509	1,873
PS Overtime	1,325	1,380	55
Total Personal Service	<u>17,657,659</u>	<u>17,359,912</u>	<u>(297,747)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,410	5,410	0
Supplies and Materials	177,674	205,235	27,561
Travel	111,734	102,662	(9,072)
Equipment Rental and Repairs	287,375	289,255	1,880
Real Estate Rentals	876,753	884,713	7,960
Conferences and Training	26,800	28,825	2,025
Postage and Printing	277,045	292,329	15,284
Telecommunications	34,793	28,071	(6,722)
Information Technology Services	37,975	43,050	5,075
Records Management Services	16,187	15,887	(300)
Other Professional Services	65,538	73,772	8,234
In-Part Services	0	132,000	132,000
Judicial Hearing Officers	32,000	452,000	420,000
Equipment	988,120	988,120	0
Total Nonpersonal Service	<u>2,937,404</u>	<u>3,541,329</u>	<u>603,925</u>
Grand Total	20,595,063	20,901,241	306,178

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COJ ADMINISTRATION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	665,355	637,909	(27,446)
PS Overtime	700	0	(700)
Total Personal Service	<u>666,055</u>	<u>637,909</u>	<u>(28,146)</u>
 Grand Total	 666,055	 637,909	 (28,146)

MAJOR PURPOSE SUMMARY
Court of Appeals

2019-20 All Funds Budget Request: \$17,699,723	
General Fund:	17,699,723
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges is New York's highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2019-20 Funding Request

The Court of Appeals Major Purpose State Operations General Fund budget request is \$17.7 million, or an increase of \$0.8 million (4.7 %) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$15.9 million represents an increase of \$0.8 million (5.3%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, location pay and security differential payments (SLED), as required by law, for all eligible nonjudicial employees.

Also reflected in the personal service request is \$0.1 million for overtime which supports current levels of operations.

Nonpersonal Service

The nonpersonal service request of \$1.8 million represents a decrease of \$700 (0%) from the current year adjusted appropriation. This reflects a very minimal expenditure-based decrease in legal reference and subscriptions. Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff.

Court of Appeals
Budget Summary - General Fund

<u>Court of Appeals</u>	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$15,853,000	\$1,846,723	\$17,699,723
Total:	<u>\$15,853,000</u>	<u>\$1,846,723</u>	<u>\$17,699,723</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,956,673	15,748,000	791,327
PS Overtime	105,000	105,000	0
Total Personal Service	<u>15,061,673</u>	<u>15,853,000</u>	<u>791,327</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	553,433	552,733	(700)
Supplies and Materials	142,082	142,082	0
Travel	378,610	378,610	0
Equipment Rental and Repairs	145,758	150,758	5,000
Real Estate Rentals	273,000	268,000	(5,000)
Conferences and Training	8,235	8,235	0
Postage and Printing	128,933	128,933	0
Telecommunications	73,414	73,414	0
Information Technology Services	22,000	22,000	0
Other Professional Services	91,958	91,958	0
Transcripts	30,000	30,000	0
Total Nonpersonal Service	<u>1,847,423</u>	<u>1,846,723</u>	<u>(700)</u>
Grand Total	16,909,096	17,699,723	790,627

Court of Appeals 2017 Workload

Court of Appeals

Court of Appeals	2017
Applications Decided [CPL 460.20(3)(b)]	2,244
Records on Appeal Filed	146
Oral Arguments (Includes Submissions)	148
Appeals Decided	142
Motions Decided	1,196
Judicial Conduct Commission Determinations Reviewed	1
Opinions Published	183
Motion Decisions Published	1,196

MAJOR PURPOSE SUMMARY
Appellate Court Operations

2019-20 All Funds Budget Request:	\$89,387,940
General Fund:	89,387,940
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State's four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 17 Justices sitting in the First Department, 21 sitting in the Second Department, 10 sitting in the Third Department, and 11 sitting in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2019-20 Funding Request

The Appellate Court Operations State Operations General Fund budget request is \$89.4 million, or an increase of \$55,699 (0.1%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$84.6 million represents a slight decrease of \$21,856 (0%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding for judicial positions includes salary increases in compliance with the mandate of the Commission on Judicial and Legislative Salaries. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, location pay and security differential payments (SLED), as required by law, for

all eligible nonjudicial employees. The net increase in these funding requirements is offset by a reduction in the number of appellate judges on the bench, as well as savings associated with turnover.

The personal service request also includes \$0.2 million for temporary service in the Second Department in support of ongoing operations. Also reflected in the personal service request is a total of \$0.3 million for overtime to support current levels of operations in all four Judicial Departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including: supplies and materials, legal reference materials and online services, equipment rental and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.8 million reflects an increase of \$77,555 (1.7%) over current year funding. Enhanced funding in contractual security services covers collective bargaining increases in the Fourth Department's contract with the Monroe County Sheriff's Department. The increase in information technology services in the Third Department supports software maintenance for a new case management system. An increase in other court-appointed services is attributable to expanded usage of hearing officers in the Second Department's settlement program. Also included are expenditure-based increases in legal reference, travel and other general services. The overall increase is partially offset by expenditure-based reductions in office supplies, postage and printing.

Appellate Court Operations Budget Summary - General Fund

Appellate Divisions and Appellate Terms

Appellate Division & Appellate Terms	Personal Service	Nonpersonal Service	Total
Appellate Division			
1st Department	\$24,286,177	\$736,488	\$25,022,665
2nd Department	30,126,410	1,169,399	31,295,809
3rd Department	13,061,652	620,522	13,682,174
4th Department	13,712,312	2,068,722	15,781,034
Appellate Division Subtotal:	\$81,186,551	\$4,595,131	\$85,781,682
Appellate Division			
Undistributed	(\$2,954,631)	\$0	(\$2,954,631)
Appellate Term			
1st Department	\$2,510,238	\$8,390	\$2,518,628
2nd Department	3,895,667	146,594	4,042,261
Appellate Term Subtotal:	\$6,405,905	\$154,984	\$6,560,889
Total:	<u>\$84,637,825</u>	<u>\$4,750,115</u>	<u>\$89,387,940</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	84,362,816	84,177,766	(185,050)
PS Temporary	150,765	169,929	19,164
PS Overtime	146,100	290,130	144,030
Total Personal Service	84,659,681	84,637,825	(21,856)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,030,265	2,044,550	14,285
Supplies and Materials	306,882	315,113	8,231
Travel	436,230	453,230	17,000
Equipment Rental and Repairs	285,493	293,806	8,313
Real Estate Rentals	70,023	69,723	(300)
Conferences and Training	9,700	9,900	200
Postage and Printing	311,617	276,810	(34,807)
Telecommunications	93,396	93,396	0
Information Technology Services	113,800	128,800	15,000
Accounting and Auditing Services	1,900	2,300	400
Records Management Services	43,110	42,610	(500)
Other Professional Services	230,884	246,617	15,733
In-Part Services	800	800	0
Judicial Hearing Officers	32,000	32,000	0
Security Services	545,000	579,000	34,000
Equipment	161,460	161,460	0
Total Nonpersonal Service	4,672,560	4,750,115	77,555
Grand Total	89,332,241	89,387,940	55,699

Appellate Court Operations 2017 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,564	3,054
2nd Department	4,340	10,328
3rd Department	1,720	1,579
4th Department	1,316	1,444
Total:	<u>9,940</u>	<u>16,405</u>

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	423	432
2nd Department	2,245	2,928
Total:	<u>2,668</u>	<u>3,360</u>

MAJOR PURPOSE SUMMARY
Appellate Auxiliary Operations

2019-20 All Funds Budget: Request:	\$228,066,875
General Fund:	181,149,534
State Special Revenue Funds:	46,917,341

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2019-20 Funding

The Appellate Auxiliary Operations State Operations All Funds budget request is \$228.1 million or a decrease of \$1.8 million (-0.8%) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$48 million represents an increase of \$1.4 million (3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$41,819 for temporary service to support ongoing operations for the MHLS program. Overtime funding of \$17,200 is also included to support current levels of operations in the Attorney for Child and Candidate Exam Programs.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: attorney for the child representation provided through both contractual providers and panel attorneys; indigent criminal defense funding for defense providers in New York City; assigned counsel costs for attorney representation under section 35 of the Judiciary Law; transcript costs and other professional services (forensic evaluations) required for administrative hearings and court proceedings for the MHLS, Attorney Discipline, Attorney for the Child and Assigned Counsel Programs; and real estate rentals for the NYS Bar Examination testing sites and for the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for travel, equipment rental and repairs, supplies and materials, legal reference materials and online services.

The nonpersonal service request of \$180.1 million reflects a decrease of \$3.2 million (-1.7%) from current year funding. The decrease is primarily attributable to a reduction of \$4.2

million in Attorney for Child panel attorney costs due to lower caseloads, the decline in availability of panel attorneys, and a shift in representation costs for Raise the Age from the Judiciary Budget to the Executive RTA appropriation. A reduction of \$0.5 million in expert witness costs in the Assigned Counsel program reflects a downward adjustment from a projected increase in usage. Expenditure-based decreases are also noted in telephones, transcripts and assigned counsel costs.

The overall decrease is partially offset by cost-of-living adjustments for the Attorney for Child contractual providers (\$1.5 million). An increase in other court-appointed services (\$19,000) in the Attorney Discipline program is due to an expanded use of hearing officers, as well as a rate increase, effective May 2018. An increase in conferences and training in the Attorney for Child program is also noted.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$11,399,380	\$3,541,130	\$14,940,510
2nd Department	20,713,849	17,345,646	38,059,495
3rd Department	6,729,809	14,220,383	20,950,192
4th Department	8,582,962	17,143,511	25,726,473
Attorney for the Child Contracts	0	74,910,396	74,910,396
Court of Appeals Assigned Counsel	0	5,000	5,000
Board of Law Examiners	2,255,691	3,549,700	5,805,391
Indigent Criminal Defense	0	48,000,000	48,000,000
Undistributed	(1,675,841)	1,345,259	-330,582
Total:	<u>\$48,005,850</u>	<u>\$180,061,025</u>	<u>\$228,066,875</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	46,537,909	47,946,831	1,408,922
PS Temporary	66,359	41,819	(24,540)
PS Overtime	17,200	17,200	0
Total Personal Service	46,621,468	48,005,850	1,384,382
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	251,578	247,102	(4,476)
Supplies and Materials	233,950	242,724	8,774
Travel	495,500	493,500	(2,000)
Equipment Rental and Repairs	315,093	312,712	(2,381)
Real Estate Rentals	2,899,095	2,908,208	9,113
Conferences and Training	118,800	129,400	10,600
Postage and Printing	235,100	235,665	565
Telecommunications	110,351	88,951	(21,400)
Information Technology Services	27,904	26,604	(1,300)
Accounting and Auditing Services	116,400	116,400	0
Records Management Services	111,578	106,178	(5,400)
Other Professional Services	5,978,010	5,541,323	(436,687)
Attorney for the Child Representation	122,267,324	119,597,198	(2,670,126)
Assigned Counsel	1,735,000	1,712,000	(23,000)
Indigent Criminal Defense	48,000,000	48,000,000	0
In-Part Services	8,360	8,560	200
Transcripts	336,776	294,500	(42,276)
Total Nonpersonal Service	183,240,819	180,061,025	(3,179,794)
Grand Total	229,862,287	228,066,875	(1,795,412)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	30,246,688	31,417,361	1,170,673
PS Temporary	66,359	41,819	(24,540)
PS Overtime	1,200	1,200	0
Total Personal Service	<u>30,314,247</u>	<u>31,460,380</u>	<u>1,146,133</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	144,026	146,892	2,866
Supplies and Materials	100,396	110,673	10,277
Travel	390,100	390,300	200
Equipment Rental and Repairs	89,582	84,621	(4,961)
Real Estate Rentals	1,283,329	1,286,487	3,158
Conferences and Training	105,100	115,700	10,600
Postage and Printing	60,770	59,635	(1,135)
Telecommunications	59,651	44,751	(14,900)
Information Technology Services	15,104	15,604	500
Records Management Services	14,700	14,200	(500)
Other Professional Services	3,445,960	2,992,933	(453,027)
Attorney for the Child Representation	97,267,324	94,597,198	(2,670,126)
Assigned Counsel	1,735,000	1,712,000	(23,000)
Indigent Criminal Defense	48,000,000	48,000,000	0
In-Part Services	3,360	3,560	200
Transcripts	133,976	114,600	(19,376)
Total Nonpersonal Service	<u>152,848,378</u>	<u>149,689,154</u>	<u>(3,159,224)</u>
Grand Total	183,162,625	181,149,534	(2,013,091)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,291,221	16,529,470	238,249
PS Overtime	16,000	16,000	0
Total Personal Service	<u>16,307,221</u>	<u>16,545,470</u>	<u>238,249</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	107,552	100,210	(7,342)
Supplies and Materials	133,554	132,051	(1,503)
Travel	105,400	103,200	(2,200)
Equipment Rental and Repairs	225,511	228,091	2,580
Real Estate Rentals	1,615,766	1,621,721	5,955
Conferences and Training	13,700	13,700	0
Postage and Printing	174,330	176,030	1,700
Telecommunications	50,700	44,200	(6,500)
Information Technology Services	12,800	11,000	(1,800)
Accounting and Auditing Services	116,400	116,400	0
Records Management Services	96,878	91,978	(4,900)
Other Professional Services	2,532,050	2,548,390	16,340
In-Part Services	5,000	5,000	0
Transcripts	202,800	179,900	(22,900)
Total Nonpersonal Service	<u>5,392,441</u>	<u>5,371,871</u>	<u>(20,570)</u>
Grand Total	21,699,662	21,917,341	217,679

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

APPELLATE AUXILIARY OPERATIONS
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
 Grand Total	 25,000,000	 25,000,000	 0

Appellate Auxiliary Operations 2017 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	6,808	16
Children's Law Center NYC	6,473	48
Children's Rights Society	2,796	0
Citizens Concerned for Children	888	0
Genesee County Public Defender	43	0
Lawyers For Children	5,447	23
Legal Aid Society NYC	35,965	178
Legal Aid Society Rochester	6,665	7
Legal Aid Society Rockland	1,939	6
Legal Aid Society Suffolk	11,711	61
Sullivan Trail Legal Aid	1,985	0
Clinton County	750	0
Essex County	222	0
Franklin County	450	0
Fulton County	350	0
Otsego County	635	0
Schuyler County	150	0
Children's Law Center Erie County	2,360	6
Total:	<u>85,637</u>	<u>345</u>

Appellate Auxiliary Operations 2017 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	58,353	43,955
2nd Department	95,707	192,637
3rd Department	28,976	45,304
4th Department	49,036	55,605
Total:	<u>232,072</u>	<u>337,501</u>

Candidate Examination

State Board of Law Examiners	2017
Candidates for Examination	15,699
Individuals with Disabilities Applicants	382
Applicants for Certification	972
MPRE Scores Transferred In	12,750
Foreign Evaluations	3,400

Appellate Auxiliary Operations 2017 Workload

Candidate Fitness

Department	Applications for Admissions
1st Department	2,568
2nd Department	2,225
3rd Department	3,737
4th Department	280
Total:	<u>8,810</u>

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	2,786	2,500
2nd Department	5,083	4,689
3rd Department	3,032	1,261
4th Department	1,743	1,715
Total:	<u>12,644</u>	<u>10,165</u>

APPELLATE AUXILIARY OPERATIONS
Assigned Counsel Program

2019-20 Budget Request:	\$50,297,000
Personal Service:	0
Nonpersonal Service:	50,297,000
Maintenance Undistributed:	0

Program Description

This Program provides funding for legal services and forensic evaluations required by section 35 of the Judiciary Law.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County-Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2019-20 Funding Request

The Assigned Counsel General Fund budget request is \$50.3 million, a decrease of \$0.3 million (-0.6%) from the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

A reduction in the expert witness category reflects a downward adjustment from a projected increase in usage. In addition, an expenditure-based decrease (-\$23,000) in attorney representation costs is noted.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$270,000	\$270,000
2nd Department	0	800,000	800,000
3rd Department	0	22,000	22,000
4th Department	0	800,000	800,000
Court of Appeals	0	5,000	5,000
Indigent Criminal Defense	0	48,000,000	48,000,000
Undistributed	0	400,000	400,000
Total:	<u>\$0</u>	<u>\$50,297,000</u>	<u>\$50,297,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	850,000	580,000	(270,000)
Assigned Counsel	1,735,000	1,712,000	(23,000)
Indigent Criminal Defense	48,000,000	48,000,000	0
Transcripts	5,000	5,000	0
Total Nonpersonal Service	<u>50,590,000</u>	<u>50,297,000</u>	<u>(293,000)</u>
Grand Total	50,590,000	50,297,000	(293,000)

APPELLATE AUXILIARY OPERATIONS
Attorney for the Child Program

2019-20 Budget Request: \$123,395,844	
Personal Service:	2,459,876
Nonpersonal Service:	120,935,968
Maintenance Undistributed:	0

Program Description

This Program provides funding for legal representation to children in Family Court matters and is administered by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. Also, pursuant to chapter 59 of the Laws of 2017, the age of criminal responsibility for youthful offenders will be raised to 18, which will extend Family Court jurisdiction to 16 and 17-year-old juvenile offenders accused of misdemeanor offenses and certain nonviolent offenses. This is currently being phased-in for 16-year-olds with full implementation by October 2019 for 17-year-olds. The 2019-20 funding needs associated with Raise the Age (RTA) will be requested through the Executive Branch RTA central appropriation.

Summary of 2019-20 Funding Request

The Attorney for the Child All Funds budget request is \$123.4 million or a decrease of \$2.5 million (-2%) from the current year adjusted appropriation.

The personal service request of \$2.5 million represents an increase of \$0.1 million (6.4%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service budget primarily supports payments to legal service providers and individual panel attorneys who provide legal representation to children (Attorney for Child representation) and includes related expenses such as forensic evaluations (other professional services) and transcripts. Funding also includes statutorily mandated training (conferences and training) as well as litigation support and legal reference materials for these attorneys.

The nonpersonal service request of \$120.9 million reflects a decrease of \$2.6 million (-2.1%) from current year funding. The cost for panel attorneys shows a reduction of \$4.2 million due to lower caseloads and the decline in availability of panel attorneys due to the stagnant hourly rate. The decrease also reflects a shift in representation costs for RTA from the Judiciary budget to the Executive RTA appropriation. The decrease is partially offset by cost-of-living adjustments for the contractual providers (\$1.5 million) and an increase in conferences and training needs.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Attorney for the Child Program

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$350,251	\$2,679,340	\$3,029,591
2nd Department	835,650	14,354,326	15,189,976
3rd Department	636,562	13,164,434	13,800,996
4th Department	637,413	14,882,213	15,519,626
Attorney for the Child Contracts	0	74,910,396	74,910,396
Undistributed	0	945,259	945,259
Total:	<u>\$2,459,876</u>	<u>\$120,935,968</u>	<u>\$123,395,844</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,309,952	2,458,676	148,724
PS Overtime	1,200	1,200	0
Total Personal Service	<u>2,311,152</u>	<u>2,459,876</u>	<u>148,724</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,055	4,567	1,512
Supplies and Materials	13,075	19,190	6,115
Travel	33,100	33,300	200
Equipment Rental and Repairs	12,965	13,004	39
Real Estate Rentals	63,609	63,609	0
Conferences and Training	98,500	109,900	11,400
Postage and Printing	9,635	9,100	(535)
Telecommunications	2,700	2,700	0
Information Technology Services	500	1,000	500
Records Management Services	1,400	1,400	0
Other Professional Services	1,018,900	1,019,000	100
Attorney for the Child Representation	122,267,324	119,597,198	(2,670,126)
In-Part Services	1,000	1,000	0
Transcripts	55,957	61,000	5,043
Total Nonpersonal Service	<u>123,581,720</u>	<u>120,935,968</u>	<u>(2,645,752)</u>
Grand Total	125,892,872	123,395,844	(2,497,028)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,309,952	2,458,676	148,724
PS Overtime	1,200	1,200	0
Total Personal Service	2,311,152	2,459,876	148,724
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,055	4,567	1,512
Supplies and Materials	13,075	19,190	6,115
Travel	33,100	33,300	200
Equipment Rental and Repairs	12,965	13,004	39
Real Estate Rentals	63,609	63,609	0
Conferences and Training	98,500	109,900	11,400
Postage and Printing	9,635	9,100	(535)
Telecommunications	2,700	2,700	0
Information Technology Services	500	1,000	500
Records Management Services	1,400	1,400	0
Other Professional Services	1,018,900	1,019,000	100
Attorney for the Child Representation	97,267,324	94,597,198	(2,670,126)
In-Part Services	1,000	1,000	0
Transcripts	55,957	61,000	5,043
Total Nonpersonal Service	98,581,720	95,935,968	(2,645,752)
Grand Total	100,892,872	98,395,844	(2,497,028)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ATTORNEY FOR THE CHILD
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

APPELLATE AUXILIARY OPERATIONS
Mental Hygiene Legal Service Program

2019-20 Budget Request:	\$33,554,944
Personal Service:	30,098,758
Nonpersonal Service:	3,456,186
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2019-20 Funding Request

The MHLS General Fund budget request is \$33.6 million or an increase of \$0.7 million (2.1%) over the current year adjusted appropriation.

The personal service request of \$30.1 million represents an increase of \$0.9 million (3.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$41,819 in temporary service for support staff in the Second and Third Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.5 million reflects a decrease of \$0.2 million (-6%) from the current year funding. The decrease is due in large part to expenditure-based reductions in professional services expert witnesses. Expenditure-based reductions are also noted in telephones and transcripts. Increases in supplies and materials and real estate rentals are completely offset by the decreases attained in contractual services.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Services Program

Mental Hygiene Legal Services	Personal Service	Nonpersonal Service	Total
1st Department	\$5,933,897	\$303,550	\$6,237,447
2nd Department	13,579,091	1,294,102	14,873,193
3rd Department	4,648,871	728,129	5,377,000
4th Department	5,936,899	1,130,405	7,067,304
Total:	<u>\$30,098,758</u>	<u>\$3,456,186</u>	<u>\$33,554,944</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	29,110,949	30,056,939	945,990
PS Temporary	66,359	41,819	(24,540)
Total Personal Service	29,177,308	30,098,758	921,450
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	140,971	142,325	1,354
Supplies and Materials	87,321	91,483	4,162
Travel	357,000	357,000	0
Equipment Rental and Repairs	76,617	71,617	(5,000)
Real Estate Rentals	1,219,720	1,222,878	3,158
Conferences and Training	6,600	5,800	(800)
Postage and Printing	51,135	50,535	(600)
Telecommunications	56,951	42,051	(14,900)
Information Technology Services	14,604	14,604	0
Records Management Services	13,300	12,800	(500)
Other Professional Services	1,577,060	1,393,933	(183,127)
In-Part Services	2,360	2,560	200
Transcripts	73,019	48,600	(24,419)
Total Nonpersonal Service	3,676,658	3,456,186	(220,472)
Grand Total	32,853,966	33,554,944	700,978

APPELLATE AUXILIARY OPERATIONS
Candidate Examination Program

2019-20 Budget Request:	\$5,805,391
Personal Service:	2,255,691
Nonpersonal Service:	3,549,700
Maintenance Undistributed:	0

Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: Applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. Applicants who are admitted to practice in a reciprocal jurisdiction, have graduated from an ABA approved law school and have practiced for five of the preceding seven years, may be admitted on motion without examination.

The State Board of Law Examiners develops and administers the Bar Examination twice annually. Beginning in July 2016, as adopted by the Court of Appeals, the Uniform Bar Examination (UBE) was first administered in New York in place of the Multi-State Bar Exam (MBE). The UBE is a high quality, uniform battery of tests that are administered simultaneously in the UBE jurisdictions. Applicants are also required to complete an online course and pass an online examination on New York law, as a requirement for admission.

The Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness Program.

Summary of 2019-20 Funding Request

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.8 million or a slight increase of \$0.2 million (2.9%) over the current year adjusted appropriation.

The personal service request of \$2.3 million represents an increase of \$0.2 million (8.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments and longevity bonuses, as required by law, for all eligible nonjudicial employees.

The personal service budget also includes \$16,000 for overtime to support current levels of operations.

The nonpersonal service funding supports expenses associated with the administration of the Bar Examination including: other professional services for fees paid to the national association for the UBE exam, consultant fees and security costs, real estate rentals for testing locations and accounting and auditing services for processing credit card payments.

The nonpersonal service request of \$3.5 million reflects a slight decrease of \$15,000 (-0.4%) from the current year adjusted appropriation attributable to expenditure-based decreases in real estate rentals and telephones.

The Candidate Examination Program collects revenue of approximately \$6.9 million annually in Bar Examination fees, which is deposited into the General Fund.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Examination Program

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$2,255,691	\$3,549,700	\$5,805,391
Total:	<u>\$2,255,691</u>	<u>\$3,549,700</u>	<u>\$5,805,391</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,059,345	2,239,691	180,346
PS Overtime	16,000	16,000	0
Total Personal Service	<u>2,075,345</u>	<u>2,255,691</u>	<u>180,346</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	20,700	20,700	0
Travel	47,000	47,000	0
Equipment Rental and Repairs	125,000	125,000	0
Real Estate Rentals	775,000	765,000	(10,000)
Postage and Printing	57,500	57,500	0
Telecommunications	32,000	27,000	(5,000)
Information Technology Services	7,500	7,500	0
Accounting and Auditing Services	115,000	115,000	0
Other Professional Services	2,380,000	2,380,000	0
In-Part Services	5,000	5,000	0
Total Nonpersonal Service	<u>3,564,700</u>	<u>3,549,700</u>	<u>(15,000)</u>
Grand Total	5,640,045	5,805,391	165,346

APPELLATE AUXILIARY OPERATIONS
Candidate Fitness Program

2019-20 Budget Request:	\$1,253,796
Personal Service:	1,068,581
Nonpersonal Service:	185,215
Maintenance Undistributed:	0

Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2019-20 Funding Request

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.3 million, an increase of \$0.1 million (9%) over the current year adjusted appropriation.

The personal service request of \$1.1 million represents an increase of \$0.1 million (11.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, records management services, other professional services and transcripts.

The nonpersonal service request of \$0.2 million reflects a decrease of \$3,230 (-1.7%) from current year funding. The decrease is primarily attributable to expenditure-based decreases in legal reference and subscriptions, records management and other general services totaling \$6,260. Expenditure-based increases in equipment rentals and repairs are offset by the overall decrease.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Fitness Program

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$535,475	\$27,950	\$563,425
2nd Department	533,106	59,385	592,491
3rd Department	0	83,420	83,420
4th Department	0	14,460	14,460
Total:	<u>\$1,068,581</u>	<u>\$185,215</u>	<u>\$1,253,796</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	962,027	1,068,581	106,554
Total Personal Service	962,027	1,068,581	106,554
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,200	2,000	(3,200)
Supplies and Materials	12,065	13,395	1,330
Travel	3,900	3,900	0
Equipment Rental and Repairs	10,572	13,672	3,100
Conferences and Training	5,400	5,400	0
Postage and Printing	46,430	46,430	0
Telecommunications	1,900	1,900	0
Information Technology Services	1,000	500	(500)
Accounting and Auditing Services	1,400	1,400	0
Records Management Services	55,828	53,828	(2,000)
Other Professional Services	19,950	18,890	(1,060)
Transcripts	24,800	23,900	(900)
Total Nonpersonal Service	188,445	185,215	(3,230)
 Grand Total	 1,150,472	 1,253,796	 103,324

APPELLATE AUXILIARY OPERATIONS
Attorney Discipline Program

2019-20 Budget Request: \$15,435,741	
Personal Service:	13,798,785
Nonpersonal Service:	1,636,956
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2019-20 Funding Request

The Attorney Discipline Special Revenue Fund budget request is \$15.4 million or a decrease of \$78,884 (-0.5%) from the current year adjusted appropriation.

The personal service request of \$13.8 million represents a slight decrease of \$76,544 (-0.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline Program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services and supplies and materials.

The nonpersonal service request of \$1.6 million reflects a slight decrease of \$2,340 (-0.1%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in travel, miscellaneous supplies and materials, and transcripts. These decreases are partially offset by increases in real estate rentals due to inflation, and other court-appointed services where there is an expanded use of hearing officers as well as a rate increase effective May 2018.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Attorney Discipline Program

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$4,579,757	\$260,290	\$4,840,047
2nd Department	5,766,002	837,833	6,603,835
3rd Department	1,444,376	222,400	1,666,776
4th Department	2,008,650	316,433	2,325,083
Total:	<u>\$13,798,785</u>	<u>\$1,636,956</u>	<u>\$15,435,741</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,875,329	13,798,785	(76,544)
Total Personal Service	13,875,329	13,798,785	(76,544)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	102,352	98,210	(4,142)
Supplies and Materials	100,789	97,956	(2,833)
Travel	54,500	52,300	(2,200)
Equipment Rental and Repairs	89,939	89,419	(520)
Real Estate Rentals	840,766	856,721	15,955
Conferences and Training	8,300	8,300	0
Postage and Printing	70,400	72,100	1,700
Telecommunications	16,800	15,300	(1,500)
Information Technology Services	4,300	3,000	(1,300)
Records Management Services	41,050	38,150	(2,900)
Other Professional Services	132,100	149,500	17,400
Transcripts	178,000	156,000	(22,000)
Total Nonpersonal Service	1,639,296	1,636,956	(2,340)
 Grand Total	 15,514,625	 15,435,741	 (78,884)

MAJOR PURPOSE SUMMARY
Administration and General Support

2019-20 All Funds Budget Request:		\$ 24,453,840
	General Fund:	21,376,832
	State Special Revenue Funds:	3,077,008

Major Purpose Description

The Administration and General Support Major Purpose requests funding to provide management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, public information and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed among the Lawyer's Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full State assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff who manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2019-20 Funding Request

The Administration and General Support Major Purpose State Operations All Funds budget request is \$24.5 million, or a decrease of \$0.2 million (-0.8%) from the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$20.5 million represents a decrease of \$0.2 million (-0.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance, security differential payments (SLED) and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

Also reflected in the personal service request is \$4,500 for overtime to support current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: supplies and materials, travel, postage and printing, accounting and auditing services and conferences and training.

The nonpersonal service request is \$4 million, which reflects no change from the current year adjusted appropriation. A \$32,869 increase in information technology services supports an upgrade to the Judiciary's web search application. Moderate, expenditure-based increases are reflected in supplies, travel and repairs of equipment. These increases are fully offset by expenditure-based decreases in real estate rentals, conferences and training, postage and shipping, and other professional services.

**Administration and General Support
Budget Summary - All Funds**

Administration & General Support	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$709,463	\$76,000	\$785,463
Public Affairs	374,348	11,500	385,848
Public Information	636,017	10,500	646,517
Counsel Activities	3,074,304	28,065	3,102,369
Deputy Chief Administrative Judges	2,497,558	69,200	2,566,758
Division of Financial Management	2,008,150	44,873	2,053,023
Executive Direction	1,792,523	127,450	1,919,973
Internal Audit Services	1,927,953	126,900	2,054,853
Judicial Institute Education & Training	1,545,909	2,081,750	3,627,659
Jury, Court Statistics & Operations	1,364,166	44,610	1,408,776
HR Civil Service & Labor Administration	2,557,072	195,200	2,752,272
Attorney Registration	893,879	978,750	1,872,629
Court Facilities Administration	1,196,129	8,250	1,204,379
Web Design	525,959	188,715	714,674
Undistributed	(641,353)	0	(641,353)
Total:	<u>\$20,462,077</u>	<u>\$3,991,763</u>	<u>\$24,453,840</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,638,838	20,457,577	(181,261)
PS Overtime	14,700	4,500	(10,200)
Total Personal Service	<u>20,653,538</u>	<u>20,462,077</u>	<u>(191,461)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	23,210	23,350	140
Supplies and Materials	115,135	120,135	5,000
Travel	673,450	674,250	800
Equipment Rental and Repairs	33,409	36,450	3,041
Real Estate Rentals	55,000	46,000	(9,000)
Conferences and Training	1,531,250	1,529,250	(2,000)
Postage and Printing	316,950	311,100	(5,850)
Information Technology Services	216,494	249,363	32,869
Accounting and Auditing Services	700,000	700,000	0
Records Management Services	40,000	40,000	0
Other Professional Services	246,000	221,000	(25,000)
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	35,865	35,865	0
Total Nonpersonal Service	<u>3,991,763</u>	<u>3,991,763</u>	<u>0</u>
Grand Total	24,645,301	24,453,840	(191,461)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,450,915	18,367,569	(83,346)
PS Overtime	4,700	4,500	(200)
Total Personal Service	18,455,615	18,372,069	(83,546)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	23,210	23,350	140
Supplies and Materials	102,985	109,985	7,000
Travel	665,300	671,000	5,700
Equipment Rental and Repairs	29,950	33,450	3,500
Real Estate Rentals	55,000	46,000	(9,000)
Conferences and Training	1,531,250	1,529,250	(2,000)
Postage and Printing	90,850	85,000	(5,850)
Information Technology Services	216,494	244,863	28,369
Other Professional Services	246,000	221,000	(25,000)
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	35,865	35,865	0
Total Nonpersonal Service	3,001,904	3,004,763	2,859
Grand Total	21,457,519	21,376,832	(80,687)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	969,185	893,879	(75,306)
PS Overtime	10,000	0	(10,000)
Total Personal Service	<u>979,185</u>	<u>893,879</u>	<u>(85,306)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	9,000	9,000	0
Travel	750	750	0
Equipment Rental and Repairs	3,459	3,000	(459)
Postage and Printing	226,000	226,000	0
Accounting and Auditing Services	700,000	700,000	0
Records Management Services	40,000	40,000	0
Total Nonpersonal Service	<u>979,209</u>	<u>978,750</u>	<u>(459)</u>
 Grand Total	 1,958,394	 1,872,629	 (85,765)

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,218,738	1,196,129	(22,609)
Total Personal Service	1,218,738	1,196,129	(22,609)
<u>Nonpersonal Service</u>			
Supplies and Materials	3,150	1,150	(2,000)
Travel	7,400	2,500	(4,900)
Postage and Printing	100	100	0
Information Technology Services	0	4,500	4,500
Total Nonpersonal Service	10,650	8,250	(2,400)
 Grand Total	 1,229,388	 1,204,379	 (25,009)

MAJOR PURPOSE SUMMARY
Lawyers' Fund for Client Protection

2019-20 All Funds Budget Request: \$12,746,605	
General Fund:	0
Lawyers' Fund for Client Protection:	\$12,746,605

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection (Lawyer's Fund). The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2019-20 Funding Request: Lawyers' Fund for Client Protection

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$12.7 million, or an increase of \$0.1 million (0.8%) over the current year adjusted appropriation.

Personal Service

The personal service request of \$0.6 million represents an increase of \$0.1 million (21.3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments and longevity bonuses, as required by law, for all eligible nonjudicial employees. The personal service increase is partly attributable to an attorney position in the Department of Law who provides full time support to the Lawyers Fund. The Lawyers' Fund reimburses the Department of Law for the salary costs.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$12.2 million, which reflects no change from the current year funding. An expenditure-based reduction in travel offsets increased costs for printing and award payments.

**Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund**

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$592,535	\$12,154,070	\$12,746,605
Total:	<u>\$592,535</u>	<u>\$12,154,070</u>	<u>\$12,746,605</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	488,675	592,535	103,860
Total Personal Service	488,675	592,535	103,860
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	7,000	7,000	0
Travel	22,500	20,000	(2,500)
Equipment Rental and Repairs	13,000	13,000	0
Real Estate Rentals	45,570	45,570	0
Postage and Printing	17,000	18,500	1,500
Telecommunications	5,000	5,000	0
Other Professional Services	12,034,000	12,035,000	1,000
Total Nonpersonal Service	12,154,070	12,154,070	0
 Grand Total	 12,642,745	 12,746,605	 103,860

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2019-20

Part II
Aid to Localities

MAJOR PURPOSE SUMMARY
Aid To Localities

2019-20 All Funds Budget Request: \$114,871,169	
General Fund:	4,000,000
Court Facilities Incentive Aid Fund:	110,871,169

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2019-20 Funding

The Aid to Localities All Funds budget request is \$114.9 million. This reflects an increase of \$2.6 million (2.3%) over the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$114.9 million, reflecting an increase of \$1.1 million (1.4%) in Cleaning and Repairs, an increase of \$0.5 million (3%) in State Supported Facilities, and an increase of \$0.5 million (3.7%) in Interest Aid. In addition, there is an increase in the General Fund of \$0.5 million (14.3%) over the current year adjusted appropriation to fund local Town and Village judges who are assigned to the Centralized Arraignment Parts.

**Aid to Localities
Budget Summary - All Funds**

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$110,871,169	\$110,871,169
Justice Court Assistance	\$4,000,000	\$4,000,000
Total:	<u>\$114,871,169</u>	<u>\$114,871,169</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,462,307	14,995,811	533,504
State Supported Facilities	15,565,351	16,025,000	459,649
Cleaning and Repairs	78,733,544	79,850,358	1,116,814
Justice Court Assistance Program	3,500,000	4,000,000	500,000
Total Maintenance Undistributed	112,261,202	114,871,169	2,609,967
Grand Total	112,261,202	114,871,169	2,609,967

AID TO LOCALITIES
Court Facilities Incentive Aid Program

2019-20 Budget Request:	\$110,871,169
Personal Service:	0
Nonpersonal Service:	0
Maintenance Undistributed:	110,871,169

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2019-20 Funding Request

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$110.9 million. This is an increase of \$2.1 million (1.9%) over the current year appropriation. An increase of \$1.1 million in Cleaning and Repairs supports rising wage and fringe benefit costs, as well as tenant work. A \$0.5 million increase in State Supported Facilities reflects higher rental costs for Appellate Court facilities; and a \$0.5 million increase is for interest aid subsidies.

The maintenance undistributed request reflects \$15.0 million for interest aid subsidies, \$79.9 million for reimbursement of court cleaning and minor repair expenses, and \$16.0 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$110,871,169	\$110,871,169
Total:	<u>\$110,871,169</u>	<u>\$110,871,169</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,462,307	14,995,811	533,504
State Supported Facilities	15,565,351	16,025,000	459,649
Cleaning and Repairs	78,733,544	79,850,358	1,116,814
Total Maintenance Undistributed	108,761,202	110,871,169	2,109,967
Grand Total	108,761,202	110,871,169	2,109,967

AID TO LOCALITIES
Justice Court Assistance Program

2019-20 Budget Request:	\$4,000,000
Personal Service:	0
Nonpersonal Service:	0
Maintenance Undistributed:	4,000,000

Program Description

This Program provides funding for State assistance to Town and Village Justice Courts.

Justice Court Assistance Program ("JCAP"): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their online legal reference capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually provided to applicant towns and villages.

In the current fiscal year, State assistance to Town and Village Justice Courts was expanded to provide support for Town and Village Judges presiding over arraignments in newly established Centralized Arraignment Parts (CAPs). Pursuant to chapter 492 of the Laws of 2016, Town and Village Judges began an active role in ensuring the delivery of right-to counsel services for indigent criminal defendants through their assignment, on a rotational basis, to a single, central court site to conduct arraignments resulting from off-hours arrests. The law ensures the availability of counsel while also promoting efficiency and reducing the burden on various justice system stakeholders.

In October 2017, Centralized Arraignment Parts (CAPs) for off-hour arrests began operating in four upstate counties – Broome, Oneida, Onondaga and Washington. In 2018, four additional counties began operation – Ontario, Livingston, Seneca and Yates. Planning is currently underway for more counties to begin operating CAP parts in 2019-20

Summary of 2019-20 Funding Request

The Aid to Localities Justice Court Assistance Program budget request is \$4.0 million, or an increase of \$0.5 million (14.3%) over the current adjusted appropriation. There is no change in the \$3.0 million funding level recommended for the JCAP program. The \$0.5 million increase brings total support for Town and Village Judges assigned to CAPs to \$1.0 million as additional parts become operational.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

<u>Justice Court Assistance</u>	<u>Maintenance Undistributed</u>	<u>Total</u>
Justice Court Assistance	\$4,000,000	\$4,000,000
Total:	<u>\$4,000,000</u>	<u>\$4,000,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

AID TO LOCALITIES
LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	3,500,000	4,000,000	500,000
Total Maintenance Undistributed	3,500,000	4,000,000	500,000
Grand Total	3,500,000	4,000,000	500,000

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2019-20

Part III
Capital Projects

CAPITAL PROJECTS

2019-20 Budget Request:	\$24,000,000
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Capital Appropriation Request

The 2019-20 Judiciary Capital budget request of \$24 million reflects an increase of \$6.0 million over the current year adjusted appropriation. This request provides support for capital projects developed to achieve and maintain excellence throughout the court system. The Judiciary Capital plan includes new and continued investments for technology, equipment associated with facility renovations, records management projects and to ensure public safety in and around courthouses as follows:

- The acquisition and development of technology, including equipment, software and services (\$18,000,000).
- Alterations and improvements for health and safety in courthouses, including the acquisition of x-ray machines, magnetometers, security cameras and door access systems (\$3,000,000).
- The creation, management, protection and preservation of the records of the Unified Court System, including digital scanning, shelving and filing systems (\$2,000,000).
- The acquisition of equipment associated with general facility renovations or upgrades (\$1,000,000).

State of New York - Judiciary
Budget Summary for Fiscal Year 2019-2020

CAPITAL PROJECTS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Court Improvements	18,000,000	24,000,000	6,000,000
Total Maintenance Undistributed	18,000,000	24,000,000	6,000,000
 Grand Total	 18,000,000	 24,000,000	 6,000,000