



NEW YORK STATE
Unified Court System

BUDGET

FISCAL YEAR

2017–2018

JANET DIFIORE

CHIEF JUDGE

LAWRENCE K. MARKS

CHIEF ADMINISTRATIVE JUDGE

RONALD P. YOUNKINS

EXECUTIVE DIRECTOR

OFFICE OF COURT ADMINISTRATION

BARRY R. CLARKE

CHIEF OF OPERATIONS

OFFICE OF COURT ADMINISTRATION

MAUREEN H. MCALARY

BUDGET DIRECTOR

*State of New York
Unified Court System*



*Lawrence K. Marks
Chief Administrative Judge*

*25 Beaver Street
New York, N.Y. 10004
(212) 428-2100*

December 1, 2016

To:	Honorable Andrew M. Cuomo	Honorable Carl E. Heastie
	Honorable John J. Flanagan	Honorable Jeffrey D. Klein
	Honorable Andrea Stewart-Cousins	Honorable Brian M. Kolb
	Honorable Catharine M. Young	Honorable Herman D. Farrell, Jr
	Honorable Liz Krueger	Honorable Robert C. Oaks
	Honorable John J. Bonacic	Honorable Helene E. Weinstein

From: Lawrence K. Marks *LM*

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2017. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2016.

The 2017-18 State Operating Funds budget request totals \$2.18 billion, a cash increase of \$42.7 million, or 2 percent, over available current-year funds. Also included is a \$15 million capital appropriation to be used to purchase technology and security equipment in furtherance of the Chief Judge's Excellence Initiative. We believe that this request represents the minimum funding necessary to ensure that the courts have sufficient resources to fulfill their constitutional duty to the people of New York.

CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2017 and that they have been approved by the Court of Appeals.





Janet DiFiore
Chief Judge of the Court of Appeals


Attest: 

Clerk of the Court of Appeals

Date: November 22, 2016

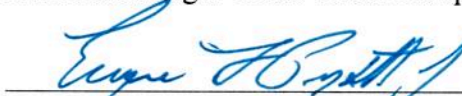
COURT OF APPEALS APPROVAL
ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2017.

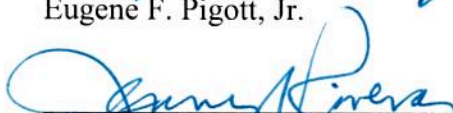


Janet DiFiore
Chief Judge of the Court of Appeals

Associate Judges of the Court of Appeals:



Eugene F. Pigott, Jr.




Jenny Rivera




Sheila Abdus-Salaam



Leslie E. Stein




Eugene M. Fahey



Michael J. Garcia

Attest:



Clerk of the Court of Appeals

Date: November 22, 2016



2017-18 JUDICIARY BUDGET

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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2017-18

Executive Summary

SECTION

1

**2017-18 JUDICIARY BUDGET REQUEST
EXECUTIVE SUMMARY**

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JUDICIARY BUDGET 2017-2018 BUDGET REQUEST EXECUTIVE SUMMARY

Pursuant to Article VII, Section 1 of the State Constitution, the Judiciary respectfully submits the following itemized estimate of its financial needs for Fiscal Year 2017-2018.

At her investiture as New York’s new Chief Judge earlier this year, Janet DiFiore announced, as her highest priority, the Excellence Initiative, a comprehensive and critical evaluation of court operations and administration at every level. As noted by the Chief Judge, this system-wide review is the first step toward a larger purpose--nothing less than “operational and decisional excellence in everything that we do” in the Judiciary.

This budget request reflects the Judiciary’s renewed commitment to and focus on excellence. To ensure that the courts have the resources necessary to fulfill their constitutional mission, this request seeks \$2.18 billion for the State Operations portion of the Judiciary budget. That represents an increase of \$42.7 million, or two percent, over current-year cash funding. In addition, the Judiciary is seeking a capital appropriation of \$15 million, to rebuild the technology and security infrastructure necessary to support the work of the courts. In sum, this request seeks the resources necessary to deliver justice in a manner that reflects the full measure of the State’s commitment to a just society under the rule of law.

The Excellence Initiative: Back to Basics

The initial focus of the Excellence Initiative is on court fundamentals – the Judiciary’s core mission to fairly and promptly adjudicate each of the millions of cases filed in the New York State courts every year. Working closely with our Administrative Judges and local court administrators, and consulting the bar, prosecutors and other partners in the justice community, we have undertaken an extensive examination into the causes of the backlogs, bottlenecks and delays in adjudicating cases. Based on this self-examination, we are designing and implementing solutions – such as restructuring how courts process cases, redeploying judges and nonjudicial employees to fully maximize our resources, and increasing trial capacity – tailored to the needs of individual courts and jurisdictions.

A critical feature of these efforts is obtaining and analyzing timely and accurate data. Consequently, we have devoted substantial efforts to developing new data tools--dashboards that allow us to analyze the court system’s enormous case inventory, in real time, to identify problems earlier and with greater precision. These tools also allow the development of objective metrics and standards which permit swift assessment of management successes and deficiencies.

The data show that we have already made significant progress in addressing delays and backlogs. But there is more that remains to be done, and we will continue to focus on this core issue.

While perhaps the most important aspect of the Excellence Initiative, the timely resolution of disputes is only one of its many concerns. In the months ahead, we will examine each aspect of court operations to assess what works, what doesn't, and what we can improve. Among the areas we will examine are the jury system, records management, court interpreting, back office operations, training of judges and court employees, and ensuring access to persons with disabilities.

The Judiciary's 2017-2018 Budget Request

The Judiciary's budget request seeks the funds necessary to support this commitment to excellence.

This request must be understood within the context of the Judiciary's budget over the past seven years. Over that time the Judiciary faced significant cost increases, mostly nondiscretionary, without corresponding increases in funding. In Fiscal Year 2009-2010, the State Operations portion of the Judiciary budget was \$2.012 billion. Seven years later, that amount is \$2.132 billion, an increase of only \$120 million, or about nine-tenths of one percent annually. Yet in that period of extremely modest growth in funding, the Judiciary was required to pay out hundreds of millions of dollars in new, unfunded costs.

During those years the Judiciary demonstrated its commitment to being a good partner with its co-equal branches to address the State's fiscal challenges, not only by seeking to reduce costs where possible, but more fundamentally by seeking better and more efficient ways to serve the justice needs of New Yorkers. Our primary objective was to minimize the impact of these difficult budgets on the public. In this regard we cannot overstate the extraordinary efforts of our judges and employees to maintain a high level of service in the face of a reduction in resources. Despite those efforts, service to the public did suffer. During Fiscal Years 2009-2010 to 2013-2014, the only way for the court system to meet its financial obligations was to reduce the size of its workforce by not refilling positions as employees left service. As a result of these attrition-based budgets, the staffing of the courts fell by more than 2,000 employees. The loss of back office staff led to delays in processing cases. In many courthouses, the loss of court officers and other courtroom staff caused delays in opening court parts.

The budgets for Fiscal Years 2014-2015 to 2016-2017 provided increases to offset some new costs, so that we were able to avoid further reduction of our workforce and to hire a limited number of new employees in operationally critical positions in the courts. As a result, we are currently about 250 employees above the low point reached in 2014.

A. State Operations: Supporting the Courts' Core Mission

The requested two percent increase in our operating budget will be dedicated to providing the courts with the resources they need to improve our core operations, toward the goal of achieving excellence. With the requested increase, we will be able to continue our efforts to ensure adequate court staffing, especially in clerk, court officer, interpreter, court reporter, and other courtroom and back office positions that are critical to providing a high level of service to the

public. With the requested increase, we will be able to increase staffing by 200 positions over the current level.

We would also be able to start incrementally restoring support to a number of programs that were cut back beginning in 2009. For example, the requested funding will allow us to increase evening hours for small claims court, and reduce the time between the initial filing and the first appearance in a case. We will also provide additional funding for the Justice Court Assistance Program (JCAP), which has played such a critical role in improving the operations of the more than 1,200 Town and Village Courts across the State.

In addition, we would be able to provide increased funding for such programs as the Community Dispute Resolution Centers, which recruit, train and supervise volunteers who provide free and low-cost mediation and other Alternatives to Dispute Resolution services in civil, criminal and family court matters for parties who are unable to afford these services; and the Court Appointed Special Advocate (“CASA”) program, which recruits, trains and supervises volunteers who are appointed by the Family Courts to advocate for abused, neglected or at-risk children.

In summary, the requested funds will be carefully targeted to those purposes that will enhance service to the public and help the Judiciary achieve excellence in everything that it does.

B. Capital Appropriation Request: Building the Infrastructure for Excellence

The New York courts have made significant strides in harnessing the power of technology to better serve New Yorkers, and to improve efficiencies and productivity. These innovations played a key role in allowing the courts to maintain services in the face of very challenging budgets and resulting reductions in the workforce. Many of these innovations have also benefitted state and local agencies, and our other partners in the justice system. The principal objectives of our technology efforts include the following:

- Promote Access to Justice Automation has enormous potential to help ensure access to justice for every New Yorker. Among the many ways that we are using technology to enhance access to justice are:
 - ▶ Bilingual Orders of Protection Recent enhancements of our automated case management systems allow the production of bilingual orders of protection, which enhance victim safety by helping to ensure that the order is clearly understood by all parties. Since the inception of the program in April 2015, judges have issued more than 6,000 bilingual orders in Family Court and Integrated Domestic Violence Court cases. In December, we will introduce bilingual orders in Criminal Courts.
 - ▶ Remote Orders of Protection In December of this year we will initiate a program that allows a victim of domestic violence to seek an ex parte order of protection remotely, by video, thus promoting victim safety by not requiring a trip to the courthouse if it would pose a danger or impose an undue burden.

- Support Efficient Case Processing Technology is essential to managing the millions of cases filed in the New York courts each year.
 - ▶ Automated Case Management Systems We are continuously upgrading and improving our automated case management systems, which serve as the repository for legally mandated case information, are the source for court calendars and data shared with justice agencies, and are the foundation of our ability to utilize modern case management techniques.
 - ▶ E-filing We are rapidly expanding e-filing, which improves court operations and offers significant savings and convenience to the courts, County Clerks, attorneys, and litigants. In 2015, the court system achieved a significant milestone in this program—more than one million cases have now been e-filed in the New York courts.
- Facilitate Inter-Agency Transmission of Data We are partnering with a variety of agencies, at both the state and local levels, to improve the transmission of data, and thereby promote efficiency and accuracy, while also reducing costs and eliminating the need for each agency to separately enter the same data in its systems. For example, a new tablet-based system gives public defenders access to the accusatory instrument for defendants who appear in the Summons parts in New York City.
- Improve Oversight and Fiscal Monitoring Automated programs have enhanced our ability to evaluate the performance of court-related programs and to monitor the expenditure of public funds. For example, enhancements to the web-based Attorney for the Child voucher system have improved oversight of this program, and help to ensure the accuracy of billing and payments totaling \$42 million a year.
- Streamline Administrative Processes We have also employed technology to streamline and improve a variety of administrative processes. For example, criminal history searches can now be ordered online, providing greater service to the public while streamlining the administration of this program, which annually collects more than \$140 million for the State Treasury.

We will continue these and similar efforts to leverage technology. But there is a critical need to invest in the infrastructure that makes these initiatives possible. Under the difficult budgetary constraints of recent years, the court system did not have sufficient funds to invest in maintaining and strengthening its technology infrastructure. The Judiciary is therefore seeking an \$15 million capital appropriation, to be used primarily to purchase the equipment and systems essential to the court system's automation efforts.

The requested capital appropriation will support a number of automation initiatives, including the following:

- Modernization of the Judiciary's Wide Area Network The Judiciary has a secure, high-speed area network - CourtNet - that connects to every courthouse and court office in the state - approximately 300 locations. CourtNet consists of fiber

and copper lines running throughout the state connected to thousands of network switches located both in major data centers and on every floor in every court facility throughout the state.

Much of this critical equipment is reaching the end of its expected life. A portion of the requested capital appropriation would be used for the replacement of the thousands of “closet” switches in our local courthouses that connect the network to end user computer equipment. Failure of these switches would cause major disruption to court operations—judges and court employees rely heavily on computer technology, from the more than 18,000 IP telephones that run over our data lines, to the programs that produce court calendars and generate court orders, to the systems that summon jurors for service.

- Acquisition of the Justice Court Case Management System More than 95 percent of the Town and Village Courts in New York use an automated case management system developed by Service Education, Inc. (“SEi”). In addition to an initial subscription charge, Justice Courts pay SEi an annual license fee, currently totaling \$1.5 million collectively.

The Judiciary proposes that \$4.5 million of the requested capital appropriation be designated for the purchase of the SEi system. The UCS would maintain the system, and provide it to the Justice Courts at no cost. The acquisition of the SEi case management system would have a number of important benefits, including:

- ▶ Enhanced Security Currently, the sensitive data in Justice Court case files are on private servers maintained by SEi. After acquisition, the data would be stored on state-owned and managed servers.
- ▶ Improved Data Transmission State ownership would facilitate modifications and upgrades to the system to improve data transfers, including transmission of case disposition data to the Division of Criminal Justice Services and the Department of Motor Vehicles, transmission of information about fine and surcharge collections to the State Comptroller, and transmission of data about criminal cases transferred to State-paid courts after arraignment in a Justice Court.
- ▶ Savings in Perpetuity While the primary reasons for the State acquisition of the SEi system are operational, there is also a significant financial benefit to local governments – a collective savings of \$1.5 million in license fees each year, in perpetuity.

In addition to the need to rebuild our technology infrastructure, there is also a need to invest in the security infrastructure necessary to ensure that our judges, employees, and the public are safe in our courthouses. Accordingly, a portion of the requested capital appropriation would be used to meet the following security needs:

- Acquisition of Bullet Proof Vests The vast majority of the bullet proof vests that the UCS provides to its court officers are nearing the end of their expected five-year

life. The requested capital appropriation would fund this critical need to ensure the safety of our officers.

- Replacement of Magnetometers and X-Ray Scanning Machines The appropriation would be used to replace magnetometers and other security screening devices that have reached the end of their expected life.

Conclusion

This budget submission is the product of the careful balancing of the Judiciary's commitment to be a faithful steward of public monies and its fundamental duty to meet the justice needs of New Yorkers to the best of our ability. It seeks only the funding essential to ensure that the courts have the resources they need to fulfill their constitutional obligations.

**Unified Court System
2017-18 Budget Request
All Funds Disbursement Requirements
(Millions \$)**

Category/Fund	<u>2016-17 Adjusted</u>	<u>2017-18 Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	1,914.1	1,956.0	41.9
Special Revenue - Federal	7.5	7.5	0.0
NYC County Clerks' Operations Offset Fund	23.7	23.1	(0.6)
Judiciary Data Processing Offset Fund	25.2	24.7	(0.5)
Miscellaneous Special Revenue Fund	25.2	24.4	(0.8)
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.6	1.8	0.2
Court & Agency Operations - All Funds Total	2,022.3	2,062.5	40.2
<hr/>			
Lawyers' Fund for Client Protection	10.7	10.7	0.0
<hr/>			
<u>Aid to Localities</u>			
General Fund	2.4	3.0	0.6
Court Facilities Incentive Aid Fund	104.9	106.8	1.9
Aid to Localities - All Funds Total	107.3	109.8	2.5
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Capital Projects	14.8	24.4	9.6
<hr/>			
General Fund Total	1,916.5	1,959.0	42.5
<hr/>			
State Operating Funds Total	2,132.8	2,175.5	42.7
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**Unified Court System
2017-18 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

Court and Agency Operations	2016-17	2017-18	
General Fund	<u>Available</u>	<u>Requested</u>	<u>Change</u>
Courts of Original Jurisdiction	\$1,626,898,276	\$1,644,847,224	\$17,948,948
Court of Appeals	\$15,682,446	\$16,269,760	\$587,314
Appellate Court Operations	\$79,843,703	\$83,690,480	\$3,846,777
Appellate Auxiliary Operations	\$184,364,951	\$186,451,746	\$2,086,795
Administration and General Support	\$18,398,626	\$19,604,138	\$1,205,512
General Fund Total	\$1,925,188,002	\$1,950,863,348	\$25,675,346
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Federal Funds	\$8,500,000	\$8,500,000	\$0
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Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$23,434,144	\$23,077,855	(\$356,289)
Judiciary Data Processing Offset Fund	\$24,629,081	\$24,612,871	(\$16,210)
Miscellaneous Special Revenue Fund	\$1,000,000	\$3,000,000	\$2,000,000
Attorney Licensing Fund	\$24,442,425	\$24,360,468	(\$81,957)
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,732,213	\$1,783,772	\$51,559
Lawyers' Fund for Client Protection	\$10,805,861	\$10,821,554	\$15,693
Special Revenue Funds - Total	\$111,043,724	\$112,656,520	\$1,612,796
<hr/>			
Court and Agency Operations - All Funds Total	\$2,044,731,726	\$2,072,019,868	\$27,288,142
<hr/>			
Aid to Localities			
General Fund	\$2,445,584	\$3,000,000	\$554,416
Court Facilities Incentive Aid	\$104,949,035	\$106,838,118	\$1,889,083
Aid to Localities - All Funds Total	\$107,394,619	\$109,838,118	\$2,443,499
<hr/>			
Capital Projects	\$0	\$15,000,000	\$15,000,000
<hr/>			
State Operating Funds - Total	\$2,143,626,345	\$2,173,357,986	\$29,731,641
<hr/>			

**Unified Court System
2017-18 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2016-17 Available</u>	<u>2017-18 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,626,898,276	\$1,644,847,224	\$17,948,948
Special Revenue Funds	\$50,651,976	\$52,324,087	\$1,672,111
Federal Funds	\$8,500,000	\$8,500,000	\$0
Total	\$1,686,050,252	\$1,705,671,311	\$19,621,059
Court of Appeals			
General Fund	\$15,682,446	\$16,269,760	\$587,314
Special Revenue Funds	\$0	\$0	\$0
Total	\$15,682,446	\$16,269,760	\$587,314
Appellate Court Operations			
General Fund	\$79,843,703	\$83,690,480	\$3,846,777
Special Revenue Funds	\$0	\$0	\$0
Total	\$79,843,703	\$83,690,480	\$3,846,777
Appellate Auxiliary Operations			
General Fund	\$184,364,951	\$186,451,746	\$2,086,795
Special Revenue Funds	\$46,521,894	\$46,641,920	\$120,026
Total	\$230,886,845	\$233,093,666	\$2,206,821
Administration & General Support			
General Fund	\$18,398,626	\$19,604,138	\$1,205,512
Special Revenue Funds	\$3,063,993	\$2,868,959	(\$195,034)
Total	\$21,462,619	\$22,473,097	\$1,010,478
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$10,805,861	\$10,821,554	\$15,693
Total	\$10,805,861	\$10,821,554	\$15,693
Aid to Localities			
General Fund	\$2,445,584	\$3,000,000	\$554,416
Special Revenue Funds	\$104,949,035	\$106,838,118	\$1,889,083
Total	\$107,394,619	\$109,838,118	\$2,443,499
Capital Projects			
Capital Projects Funds	\$0	\$15,000,000	\$15,000,000
Total	\$0	\$15,000,000	\$15,000,000

**Fiscal Year 2017-18
IOLA Support**

Appropriation Requirements

	<u>2016-17 Available</u>	<u>2017-18 Requested</u>	<u>Change</u>
Aid to Localities			
General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
Aid to Localities - General Fund Total	\$15,000,000	\$15,000,000	\$0

**Disbursement Requirements
(Million \$)**

	<u>2016-17 Planned</u>	<u>2017-18 Required</u>	<u>Change</u>
Aid to Localities			
General Fund - IOLA	15.0	15.0	0.0
Aid to Localities - General Fund Total	15.0	15.0	0.0

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2017.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2017-18

	Appropriations	Reappropriations
General Fund - State and Local.....	1,953,863,348	-
Special Revenue Funds - Federal.....	8,500,000	13,150,000
Special Revenue Funds - Other	219,494,638	42,000,000
Capital Projects Funds - Other	15,000,000	29,000,000
	<hr/>	<hr/>
All Funds	2,196,857,986	84,150,000
	<hr/>	<hr/>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	1,950,863,348	3,000,000	-	1,953,863,348
SR - Federal	8,500,000	-	-	8,500,000
SR - Other	112,656,520	106,838,118	-	219,494,638
Capital Projects Funds	-	-	15,000,000	15,000,000
	<hr/>	<hr/>	<hr/>	<hr/>
All Funds	2,072,019,868	109,838,118	15,000,000	2,196,857,986
	<hr/>	<hr/>	<hr/>	<hr/>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION **1,705,671,311**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,366,751,552
Personal service - temporary	1,080,113
Personal service - holiday / overtime compensation	22,173,986
	<hr/>
Amount available for personal service	1,390,005,651
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	22,766,206
Travel	2,371,768
Contractual Services	227,006,156
Equipment	2,697,443
	<hr/>
Amount available for nonpersonal service	254,841,573
	<hr/>
Program account subtotal	1,644,847,224
	<hr/>

Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	19,737,055
	<hr/>
Amount available for personal service	19,737,055
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	256,450
Travel	6,400
Contractual Services	3,077,950
	<hr/>
Amount available for nonpersonal service	3,340,800
	<hr/>
Program fund subtotal	23,077,855
	<hr/>

Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	18,492,871
Personal service - holiday / overtime compensation	120,000
	<hr/>
Amount available for personal service	18,612,871
	<hr/>

NONPERSONAL SERVICE

Contractual Services	6,000,000
	<hr/>
Amount available for nonpersonal service	6,000,000
	<hr/>
Program fund subtotal	24,612,871
	<hr/>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	611,982
Personal service - holiday / overtime compensation	700
	<hr/>
Program fund subtotal	612,682
	<hr/>

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017	3,500,000
	<hr/>
Program account subtotal	3,500,000
	<hr/>

**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017	5,000,000
	<hr/>
Program account subtotal	5,000,000
	<hr/>

**Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund**

PERSONAL SERVICE

Personal service - regular	979,801
	<hr/>
Amount available for personal service	979,801
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	1,500
Travel	1,500
Contractual Services	3,037,878
	<hr/>
Amount available for nonpersonal service	3,040,878
	<hr/>
Program fund subtotal	4,020,679
	<hr/>

COURT OF APPEALS	<u>16,269,760</u>
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**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	14,301,549
Personal service - holiday / overtime compensation	<u>105,000</u>
Amount available for personal service	<u>14,406,549</u>

NONPERSONAL SERVICE

Supplies and Materials	986,236
Travel	378,610
Contractual Services	<u>498,365</u>
Amount available for nonpersonal service	<u>1,863,211</u>
Program account subtotal	<u><u>16,269,760</u></u>

APPELLATE COURT OPERATIONS	<u>83,690,480</u>
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**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	78,838,123
Personal service - temporary	93,000
Personal service - holiday / overtime compensation	<u>132,100</u>
Amount available for personal service	<u>79,063,223</u>

NONPERSONAL SERVICE

Supplies and Materials	2,460,355
Travel	425,200
Contractual Services	1,580,242
Equipment	<u>161,460</u>
Amount available for nonpersonal service	<u>4,627,257</u>
Program account subtotal	<u><u>83,690,480</u></u>

APPELLATE AUXILLIARY OPERATIONS**233,093,666****General Fund / State Operations
State Purposes Account****PERSONAL SERVICE**

Personal service - regular	30,243,814
Personal service - temporary	121,761
Personal service - holiday / overtime compensation	<u>1,200</u>
Amount available for personal service	<u>30,366,775</u>

NONPERSONAL SERVICE

Supplies and Materials	350,836
Travel	394,100
Contractual Services	<u>155,340,035</u>
Amount available for nonpersonal service	<u>156,084,971</u>
Program account subtotal	<u><u>186,451,746</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	15,876,068
Personal service - temporary	386,123
Personal service - holiday / overtime compensation	<u>16,000</u>
Amount available for personal service	<u>16,278,191</u>

NONPERSONAL SERVICE

Supplies and Materials	328,297
Travel	107,200
Contractual Services	<u>4,928,232</u>
Amount available for nonpersonal service	<u>5,363,729</u>
Program fund subtotal	<u><u>21,641,920</u></u>

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of the state finance law.

NONPERSONAL SERVICE

Contractual Services	25,000,000
Amount available for nonpersonal service	25,000,000
Program fund subtotal	<u>25,000,000</u>

ADMINISTRATION AND GENERAL SUPPORT 22,473,097

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	17,378,146
Personal service - holiday / overtime compensation	7,600
Amount available for personal service	<u>17,385,746</u>

NONPERSONAL SERVICE

Supplies and Materials	146,060
Travel	667,600
Contractual Services	1,404,732
Amount available for nonpersonal service	<u>2,218,392</u>
Program account subtotal	<u>19,604,138</u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	1,160,090
Amount available for personal service	<u>1,160,090</u>

NONPERSONAL SERVICE

Supplies and Materials	3,500
Travel	7,500
Amount available for nonpersonal service	<u>11,000</u>
Program fund subtotal	<u>1,171,090</u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	789,160
Personal service - holiday / overtime compensation	10,000
	<hr/>
Amount available for personal service	799,160
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	86,000
Travel	750
Contractual Services	811,959
	<hr/>
Amount available for nonpersonal service	898,709
	<hr/>
Program fund subtotal	1,697,869
	<hr/>

LAWYERS' CLIENT PROTECTION	10,821,554
	<hr/>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2018.

PERSONAL SERVICE

Personal service - regular	519,984
	<hr/>
Amount available for personal service	519,984
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	25,000
Travel	25,000
Contractual Services	10,251,570
	<hr/>
Amount available for nonpersonal service	10,301,570
	<hr/>
Program fund subtotal	10,821,554
	<hr/>

AID TO LOCALITIES	<u>109,838,118</u>
--------------------------------	---------------------------

**General Fund / Aid to Localities
Local Assistance Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court assistance program	<u>3,000,000</u>
Program account subtotal	<u>3,000,000</u>

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2018.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities incentive aid program	<u>106,838,118</u>
Program fund subtotal	<u>106,838,118</u>

CAPITAL PROJECTS 2017-18

For the comprehensive construction programs, purposes and projects as herein specified in accordance with the following schedule:

	Appropriations	
Capital Projects Funds - Other.....	15,000,000	
All Funds	15,000,000	
IT AND SECURITY INITIATIVE PROGRAM (CCP)		15,000,000
Capital Projects Funds - Other		
Capital Projects Fund		
Program Improvement/Change Purpose		
For services and expenses related to the acquisition and development of technology, including but not limited to equipment, software and services, and including the expenditure of \$4.5 million for the purchase of the SEI case management system for use by the justice courts at no cost to local governments (00000000)		10,000,000
Capital Projects Funds - Other		
Capital Projects Fund		
Health and Safety Purpose		
For services and expenses related to alterations and improvements for health and safety in courthouses (00000000)		5,000,000

NEW YORK INTEREST ON LAWYER ACCOUNT 2017-18

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	<u>15,000,000</u>
All Funds	<u><u>15,000,000</u></u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
All Funds	-	15,000,000	-	<u><u>15,000,000</u></u>

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
---------------------------	--------------------------

General Fund / Aid to Localities Local Assistance Account

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
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THE JUDICIARY

GENERAL STATE CHARGES 2017-18

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2017 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	734,726,867
Special Revenue Funds - Other	<u>29,612,143</u>
All Funds	<u><u>764,339,010</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	734,726,867	-	-	734,726,867
SR - Other	29,612,143	-	-	29,612,143
All Funds	764,339,010	-	-	<u><u>764,339,010</u></u>

SCHEDULE

GENERAL STATE CHARGES **764,339,010**

General Fund / State Operations State Purposes Account

FRINGE BENEFITS

For Fringe Benefits	<u>734,726,867</u>
Program account subtotal	<u><u>734,726,867</u></u>

Special Revenue Funds - Other / State Operations Attorney Licensing Fund

FRINGE BENEFITS

For Fringe Benefits	<u>8,352,791</u>
Program fund subtotal	<u><u>8,352,791</u></u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>839,013</u>
Program fund subtotal	<u>839,013</u>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits	<u>242,642</u>
Program fund subtotal	<u>242,642</u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>11,371,034</u>
Program fund subtotal	<u>11,371,034</u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>8,806,663</u>
Program fund subtotal	<u>8,806,663</u>

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2017.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2017-2018

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	2,500,000	(re.	2,500,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated
by chapter 51, section 3, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	2,500,000	(re.	1,300,000)
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By chapter 51, section 2, of the laws of 2014, as reappropriated
by chapter 51, section 3, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014	3,000,000	(re.	700,000)
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By chapter 51, section 2, of the laws of 2013, as reappropriated
by chapter 51, section 3, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013	3,500,000	(re.	700,000)
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By chapter 51, section 2, of the laws of 2012, as reappropriated
by chapter 51, section 3, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012	5,000,000	(re.	100,000)
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**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

By chapter 51, section 2, of the laws of 2016:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	6,000,000	(re.	6,000,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated by chapter 51, section 3, of the laws of 2016: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015			
	5,500,000	(re.	1,300,000)
By chapter 51, section 2, of the laws of 2014, as reappropriated by chapter 51, section 3, of the laws of 2016: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015			
	4,500,000	(re.	400,000)
By chapter 51, section 2, of the laws of 2013, as reappropriated by chapter 51, section 3, of the laws of 2016: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013			
	5,500,000	(re.	150,000)

**Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund**

By chapter 51, section 2, of the laws of 2016:

Contractual Services	1,037,878	(re.	1,000,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated
by chapter 51, section 3, laws of 2016:

Contractual Services	1,037,878	(re.	1,000,000)
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AID TO LOCALITIES

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

By chapter 51, section 2, of the laws of 2016:

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2017.

For services and expenses associated with the court facilities incentive aid program	104,949,035	(re.	20,000,000)
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By chapter 51, section 2, of the laws of 2015, as reappropriated by chapter 51, section 3, of the laws of 2016

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2016.

For services and expenses associated with the court facilities

incentive aid program	104,200,000(re.	20,000,000)
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Total reappropriations for state operations and aid to localities.....	\$	<u>55,350,000</u>
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CAPITAL PROJECTS - REAPPROPRIATIONS 2017-2018

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund

Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2016:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training
of court security personnel (52JT0707)

33,700,000(re.	26,000,000)
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By chapter 51, section 2, of the laws of 2007, as amended by
chapter 51, section 3, of the laws of 2012, as reappropriated by
chapter 51, section 3, of the laws of 2016:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training of court
security personnel
(52JT0707)

24,200,000(re.	3,000,000)
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Total reappropriations for capital projects.....	\$	<u>29,000,000</u>
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NEW YORK INTEREST ON LAWYER ACCOUNT - REAPPROPRIATIONS 2017-2018

IOLA SUPPORT

General Fund / Aid to Localities

Local Assistance Account

By chapter 51, section 2, of the laws of 2015:

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	15,000,000(re.	15,000,000)
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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2017-18

SECTION

2

2017-18 JUDICIARY BUDGET REQUEST

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Judiciary

2017-18 Budget Request

Introduction

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the state, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions), and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge) who is responsible for supervising the day-to-day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrative Judge designates Deputy Chief Administrative Judges for the courts within and outside New York City. On behalf of the Chief Administrative Judge, and together with a corps of Administrative Judges, these designees supervise court operations in the State's Judicial Districts.

By statute and by direction of the Chief Judge, the Chief Administrative Judge also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrative Judge by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the Towns and Villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

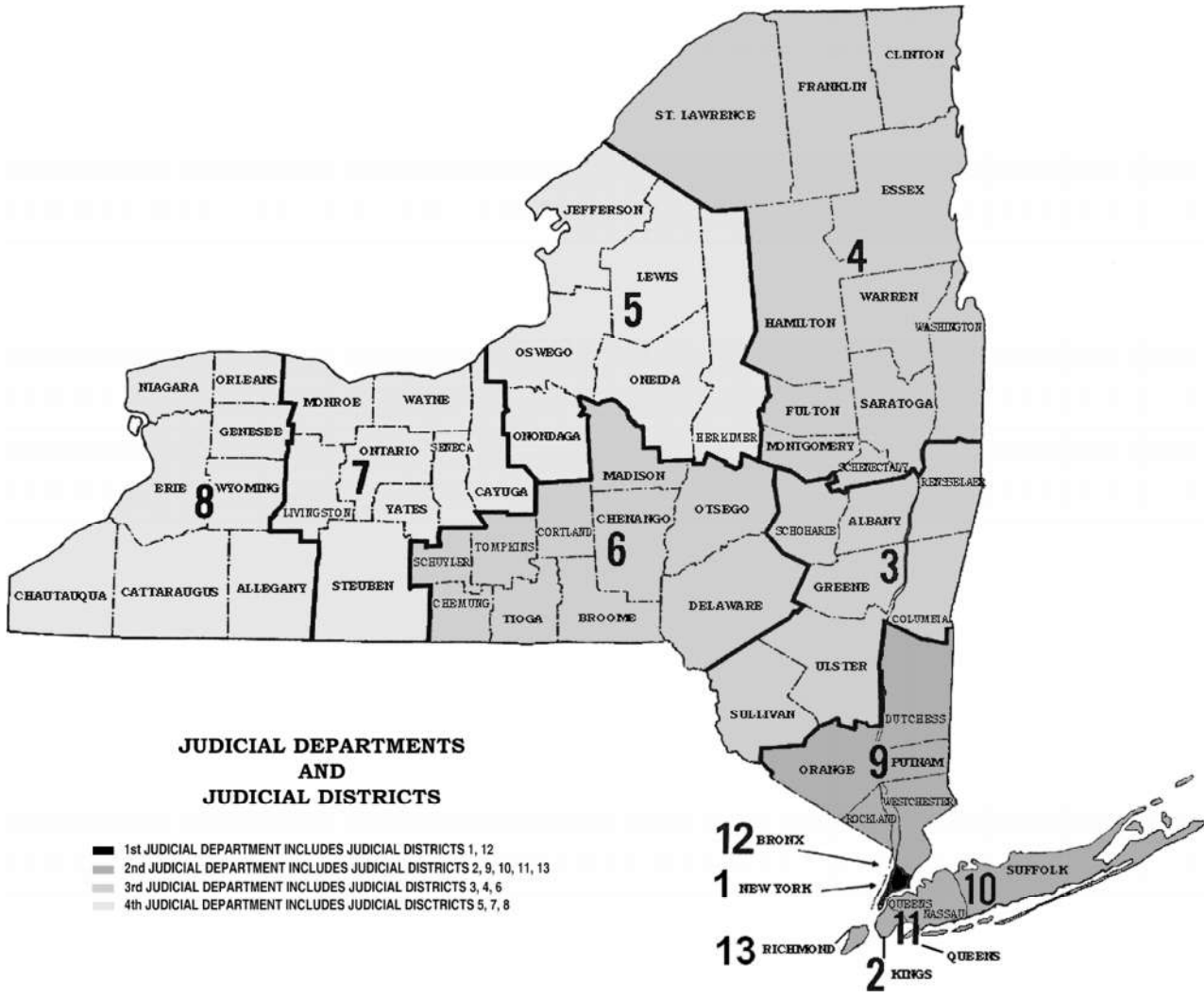
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

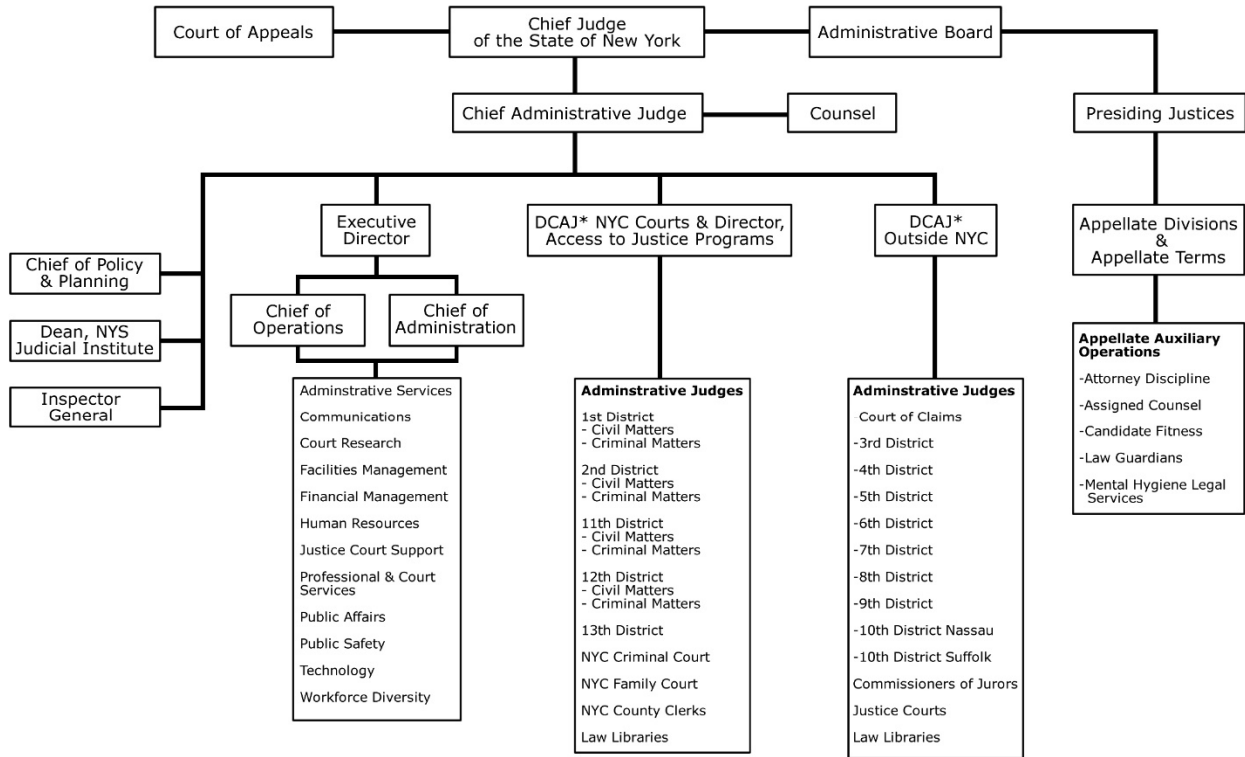
TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



Unified Court System Administrative Structure



*DCAJ - Deputy Chief Administrative Judge

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2017-18

Part I

State Operations

Court and Court-Related Agency Operations

MAJOR PURPOSE SUMMARY
Courts of Original Jurisdiction

2017-18 All Funds Budget Request:		\$1,705,671,311
	General Fund:	1,644,847,224
	State Special Revenue Funds:	52,324,087
	Federal Special Revenue Funds:	8,500,000

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate's Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2017-18 Funding

The COJ Major Purpose State Operations All Funds budget request is \$1.71 billion, or an increase of \$19.6 million (1.2%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.4 billion represents an increase of \$17.0 million (1.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Funding is also reflected for an additional 200 nonjudicial positions in support of trial court operations. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request includes \$1.1 million for temporary service in support of ongoing operations. This includes funding for Acting City, Town and Village Justices as well as legal and clerical support in various trial courts. Also reflected in the personal service request is \$22.3 million for overtime to support current levels of operation and to increase evening hours for small claims court in New York City.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies and materials; legal reference materials and online research services; telecommunications; equipment rental and repairs; real estate rentals for office and training space; maintenance agreements for hardware, software and office equipment; payments for jury *per diems*, lodging and meals; *per diem* interpreter and court reporter costs; ADR contracts; transcript payments; and contractual security

services provided in some upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which federal and other grants are realized.

The nonpersonal service request is \$275.7 million, or an increase of \$2.6 million (1.0%) over current year funding. Increases in other professional services will enable the Judiciary to receive additional grants from the Casey Foundation and the City of New York as well as allow the Judiciary to incrementally increase support to programs such as the Community Dispute Resolution Centers and Court Appointed Special Advocates (CASA). Contractual increases are reflected for legal reference materials (both print and digital), computer assisted legal research (CALR), and records management services. An increase in accounting and auditing services is related to the expansion of electronic filing. Expenditure-based increases are noted in supplies, travel and transcripts.

These increases are partially offset by the continuation of cost savings measures in telecommunications and postage and printing. A decrease in real estate rentals, which is related to the termination of certain leased court officer academy space and a decrease in the request for facility-related equipment funds also contribute to the offset. A decrease in funding for in-part services is also noted and reflects the hiring of additional full-time UCS court interpreters in the NYC citywide courts.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,470,655	\$3,718,984	\$17,189,639
New York City	718,209,057	32,793,231	751,002,288
3rd Judicial District	48,558,592	7,377,819	55,936,411
4th Judicial District	50,759,671	3,013,880	53,773,551
5th Judicial District	56,829,733	6,530,480	63,360,213
6th Judicial District	41,630,137	2,167,096	43,797,233
7th Judicial District	54,624,694	18,182,698	72,807,392
8th Judicial District	86,458,499	7,954,875	94,413,374
9th Judicial District	107,576,557	3,794,076	111,370,633
Nassau County	98,169,376	3,912,243	102,081,619
Suffolk County	98,197,551	3,921,248	102,118,799
Alternative Dispute Resolution	1,392,062	8,278,487	9,670,549
Court Support Services	57,125,873	69,824,878	126,950,751
Undistributed	(3,054,397)	104,253,256	101,198,859
Total:	<u>\$1,429,948,060</u>	<u>\$275,723,251</u>	<u>\$1,705,671,311</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,393,164,271	1,406,573,261	13,408,990
PS Temporary	1,072,139	1,080,113	7,974
PS Overtime	18,712,550	22,294,686	3,582,136
Total Personal Service	1,412,948,960	1,429,948,060	16,999,100
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,227,794	21,117,672	889,878
Supplies and Materials	7,243,447	7,526,657	283,210
Travel	2,028,240	2,379,668	351,428
Equipment Rental and Repairs	7,624,366	7,645,699	21,333
Real Estate Rentals	19,393,313	19,097,218	(296,095)
Conferences and Training	474,789	548,666	73,877
Postage and Printing	8,750,204	8,721,145	(29,059)
Telecommunications	8,632,131	8,410,306	(221,825)
Information Technology Services	13,044,794	13,083,820	39,026
Accounting and Auditing Services	2,110,637	2,309,801	199,164
Records Management Services	3,847,714	4,028,150	180,436
Other Professional Services	106,906,756	110,099,413	3,192,657
In-Part Services	5,046,980	4,837,080	(209,900)
ADR/SCAR/Arbitration	6,409,258	6,545,999	136,741
Judicial Hearing Officers	1,880,300	1,983,800	103,500
Jury Fees	19,176,736	19,149,283	(27,453)
Security Services	29,407,643	29,407,643	0
Transcripts	5,996,836	6,133,788	136,952
Equipment	4,899,354	2,697,443	(2,201,911)
Total Nonpersonal Service	273,101,292	275,723,251	2,621,959
Grand Total	1,686,050,252	1,705,671,311	19,621,059

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,352,981,175	1,366,751,552	13,770,377
PS Temporary	1,072,139	1,080,113	7,974
PS Overtime	18,596,688	22,173,986	3,577,298
Total Personal Service	1,372,650,002	1,390,005,651	17,355,649
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,227,794	21,117,672	889,878
Supplies and Materials	7,066,347	7,331,957	265,610
Travel	2,019,990	2,371,768	351,778
Equipment Rental and Repairs	7,474,866	7,513,399	38,533
Real Estate Rentals	19,393,313	19,097,218	(296,095)
Conferences and Training	474,789	548,666	73,877
Postage and Printing	8,631,976	8,607,717	(24,259)
Telecommunications	8,571,091	8,377,206	(193,885)
Information Technology Services	8,003,544	8,036,520	32,976
Accounting and Auditing Services	1,202,137	1,344,801	142,664
Records Management Services	1,962,714	2,143,150	180,436
Other Professional Services	96,402,606	97,596,463	1,193,857
In-Part Services	5,046,980	4,837,080	(209,900)
ADR/SCAR/Arbitration	6,409,258	6,545,999	136,741
Judicial Hearing Officers	1,880,300	1,983,800	103,500
Jury Fees	19,176,736	19,149,283	(27,453)
Security Services	29,407,643	29,407,643	0
Transcripts	5,996,836	6,133,788	136,952
Equipment	4,899,354	2,697,443	(2,201,911)
Total Nonpersonal Service	254,248,274	254,841,573	593,299
Grand Total	1,626,898,276	1,644,847,224	17,948,948

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,047,151	979,801	(67,350)
Total Personal Service	1,047,151	979,801	(67,350)
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	1,000,000	3,000,000	2,000,000
Total Nonpersonal Service	1,040,878	3,040,878	2,000,000
 Grand Total	 2,088,029	 4,020,679	 1,932,650

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	500,722	611,982	111,260
PS Overtime	0	700	700
Total Personal Service	<u>500,722</u>	<u>612,682</u>	<u>111,960</u>
Grand Total	500,722	612,682	111,960

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,122,004	19,737,055	(384,949)
Total Personal Service	20,122,004	19,737,055	(384,949)
<u>Nonpersonal Service</u>			
Supplies and Materials	175,600	193,200	17,600
Travel	6,750	6,400	(350)
Equipment Rental and Repairs	149,500	132,300	(17,200)
Postage and Printing	115,350	110,550	(4,800)
Telecommunications	61,040	33,100	(27,940)
Information Technology Services	6,250	12,300	6,050
Accounting and Auditing Services	908,500	965,000	56,500
Records Management Services	1,885,000	1,885,000	0
Other Professional Services	4,150	2,950	(1,200)
Total Nonpersonal Service	3,312,140	3,340,800	28,660
 Grand Total	 23,434,144	 23,077,855	 (356,289)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,513,219	18,492,871	(20,348)
PS Overtime	115,862	120,000	4,138
Total Personal Service	<u>18,629,081</u>	<u>18,612,871</u>	<u>(16,210)</u>
<u>Nonpersonal Service</u>			
Information Technology Services	5,000,000	5,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>6,000,000</u>	<u>6,000,000</u>	<u>0</u>
 Grand Total	 24,629,081	 24,612,871	 (16,210)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	3,500,000	1,000,000
Total Nonpersonal Service	2,500,000	3,500,000	1,000,000
 Grand Total	 2,500,000	 3,500,000	 1,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	6,000,000	5,000,000	(1,000,000)
Total Nonpersonal Service	6,000,000	5,000,000	(1,000,000)
 Grand Total	 6,000,000	 5,000,000	 (1,000,000)

**Courts of Original Jurisdiction
2015 Statewide Workload by Court Type**

COURT	Filings	Dispositions
Criminal:		
Supreme & County Courts ^a	45,655	47,274
NYC Criminal Court		
Arrest Cases	311,086	313,647
Summons Cases ^b	311,644	336,574
City and District Courts Outside NYC		
Criminal Cases	248,039	234,629
Uniform Traffic Tickets ^b	394,832	351,126
Parking Tickets ^b	100,059	74,556
Criminal Subtotal:	1,411,315	1,357,806
Civil:		
Supreme Courts		
New Cases (RJI's)	193,950	194,581
Ex Parte Applications	240,411	240,411
Uncontested Matrimonials	47,358	45,988
NYC Civil Court		
New Civil Actions	237,537	138,044
Housing Cases	264,285	239,978
Small Claims	20,557	21,943
Commercial Claims	5,680	6,224
City and District Courts Outside NYC		
New Civil Actions	79,102	127,131 ^c
Housing Cases	82,429	83,479
Small Claims	20,599	21,452
Commercial Claims	8,047	8,527
County Courts ^a	61,617 ^d	62,362 ^d
Court of Claims	1,894	1,334
Arbitration Program (CPLR 3405)	16,774 ^e	20,702
Small Claims Assessment Review	55,568	56,092
Civil Subtotal:	1,319,034	1,268,248
Family ^a	640,658 ^f	635,697 ^f
Surrogate's ^a	139,341	113,672 ^g
2015 Total:	3,510,348	3,375,423

^a Also reflects Multi-Bench Matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex parte applications.

^e Shown for reference only; not included in totals; reflected as intake in civil court filings above.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

COURTS OF ORIGINAL JURISDICTION
Supreme and County Courts Program

2017-18 Budget Request:	\$447,506,798
Personal Service:	434,702,164
Nonpersonal Service:	12,804,634
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a Judicial District to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2017-18 Funding Request

The Supreme and County Courts General Fund budget request is \$447.5 million, or an increase of \$2.4 million (0.5%) over the current year adjusted appropriation.

The personal service request of \$434.7 million represents an increase of \$2.1 million (0.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request includes \$0.6 million for temporary service, primarily supporting six commercial division law clerks in NY Supreme Civil Court. Also reflected in the personal service request is \$0.3 million for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, equipment rental and repairs, postage and printing, telecommunications, accounting and auditing services, in-part services and transcripts. Accounting and auditing services consist of the fees associated with electronic filing and the processing of credit card payments.

The nonpersonal service request is \$12.8 million, or an increase of \$0.3 million (2.2%) over current year funding. An increase in accounting and auditing services is attributable to expanded use of electronic filing. A workload-driven increase in judicial hearing officers' days of service is included. Expenditure-based increases are reflected in supplies and materials, postage and printing, transcripts and other professional services. Within other professional services, the increase in other general services primarily supports psychiatric evaluations for the newly opened veterans court in Richmond County. These increases are partially offset by expenditure-based reductions in equipment rental and repairs and in-part services. There are continued savings in telephones within telecommunications as a result of the implementation of IP phones.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$45,073,631	\$592,375	\$45,666,006
NY Supreme Criminal	24,855,457	1,603,960	26,459,417
Supreme Bronx	35,595,196	974,580	36,569,776
Supreme Kings	61,531,203	1,872,926	63,404,129
Supreme Queens	49,075,879	1,186,410	50,262,289
Supreme Richmond	9,559,174	311,125	9,870,299
NYC Subtotal:	<u>\$225,690,540</u>	<u>\$6,541,376</u>	<u>\$232,231,916</u>
3rd Judicial District	\$13,934,423	\$555,197	\$14,489,620
4th Judicial District	11,641,954	517,514	12,159,468
5th Judicial District	17,369,330	494,830	17,864,160
6th Judicial District	6,593,401	187,703	6,781,104
7th Judicial District	17,242,422	480,443	17,722,865
8th Judicial District	25,980,568	678,681	26,659,249
9th Judicial District	39,256,692	1,120,623	40,377,315
Nassau County	41,231,116	1,122,933	42,354,049
Suffolk County	35,761,718	1,105,334	36,867,052
Outside NYC Subtotal:	<u>\$209,011,624</u>	<u>\$6,263,258</u>	<u>\$215,274,882</u>
Total:	<u>\$434,702,164</u>	<u>\$12,804,634</u>	<u>\$447,506,798</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	431,825,396	433,811,302	1,985,906
PS Temporary	553,429	559,695	6,266
PS Overtime	241,550	331,167	89,617
Total Personal Service	432,620,375	434,702,164	2,081,789
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,446	5,895	449
Supplies and Materials	1,265,559	1,304,405	38,846
Travel	435,487	426,879	(8,608)
Equipment Rental and Repairs	982,013	929,777	(52,236)
Real Estate Rentals	250	200	(50)
Conferences and Training	3,300	3,800	500
Postage and Printing	731,713	776,391	44,678
Telecommunications	394,174	346,808	(47,366)
Information Technology Services	4,800	4,300	(500)
Accounting and Auditing Services	573,100	710,900	137,800
Records Management Services	152,878	153,476	598
Other Professional Services	454,860	503,914	49,054
In-Part Services	1,293,138	1,227,976	(65,162)
ADR/SCAR/Arbitration	418,100	419,200	1,100
Judicial Hearing Officers	850,300	896,500	46,200
Transcripts	4,627,318	4,755,468	128,150
Equipment	338,745	338,745	0
Total Nonpersonal Service	12,531,181	12,804,634	273,453
Grand Total	445,151,556	447,506,798	2,355,242

COURTS OF ORIGINAL JURISDICTION
Family Courts Program

2017-18 Budget Request: \$176,592,649	
Personal Service:	170,935,923
Nonpersonal Service:	5,656,726
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters.

Summary of 2017-18 Funding Request

The Family Courts General Fund budget request of \$176.6 million reflects an increase of \$3.5 million (2.0%) over the current year adjusted appropriation.

The personal service request of \$170.9 million represents an increase of \$3.4 million (2.0%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Also reflected in the personal service request is \$89,094 for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: in-part services, supplies and materials, postage and printing, transcripts, records management, equipment rental and repairs, telecommunications and judicial hearing officers.

The nonpersonal service request of \$5.7 million reflects an increase of \$87,144 (1.6%) over current year funding. The increase is due to enhanced use of ADR (mediation services) and Judicial Hearing Officers in a strategic effort to reduce case backlogs and shorten time to case disposition. The use of mediators also reduces court appearances particularly in custody and visitation matters. An expenditure-based increase in postage and printing is also noted. The overall increase is partially offset by expenditure-based decreases in telecommunications, equipment rental and repairs and transcripts.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Family Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$70,952,736	\$2,706,320	\$73,659,056
NYC Subtotal:	<u>\$70,952,736</u>	<u>\$2,706,320</u>	<u>\$73,659,056</u>
3rd Judicial District	\$9,118,825	\$304,287	\$9,423,112
4th Judicial District	9,495,076	367,140	9,862,216
5th Judicial District	13,250,394	314,551	13,564,945
6th Judicial District	5,588,856	205,042	5,793,898
7th Judicial District	9,515,991	205,713	9,721,704
8th Judicial District	14,429,485	517,116	14,946,601
9th Judicial District	16,680,244	386,312	17,066,556
Nassau County	9,229,322	278,461	9,507,783
Suffolk County	12,674,994	371,784	13,046,778
Outside NYC Subtotal:	<u>\$99,983,187</u>	<u>\$2,950,406</u>	<u>\$102,933,593</u>
Total:	<u>\$170,935,923</u>	<u>\$5,656,726</u>	<u>\$176,592,649</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	167,439,212	170,846,829	3,407,617
PS Overtime	81,475	89,094	7,619
Total Personal Service	<u>167,520,687</u>	<u>170,935,923</u>	<u>3,415,236</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	498	498	0
Supplies and Materials	800,061	821,803	21,742
Travel	173,155	187,754	14,599
Equipment Rental and Repairs	693,618	664,678	(28,940)
Conferences and Training	1,000	1,000	0
Postage and Printing	938,161	1,005,271	67,110
Telecommunications	162,892	120,614	(42,278)
Information Technology Services	1,000	1,000	0
Records Management Services	455,186	455,186	0
Other Professional Services	46,780	46,870	90
In-Part Services	1,189,486	1,169,057	(20,429)
ADR/SCAR/Arbitration	50,000	75,000	25,000
Judicial Hearing Officers	308,500	383,400	74,900
Transcripts	625,000	600,350	(24,650)
Equipment	124,245	124,245	0
Total Nonpersonal Service	<u>5,569,582</u>	<u>5,656,726</u>	<u>87,144</u>
Grand Total	173,090,269	176,592,649	3,502,380

COURTS OF ORIGINAL JURISDICTION
Surrogate's Courts Program

2017-18 Budget Request: \$49,382,137	
Personal Service:	48,158,245
Nonpersonal Service:	1,223,892
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in Surrogate's Courts.

Surrogate's Court: There is a Surrogate's Court established in each of the State's 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate's Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate's Courts exercise jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary of 2017-18 Funding Request

The Surrogate's Courts General Fund budget request is \$49.4 million, or an increase of \$1.9 million (4.0%) over the current year adjusted appropriation.

The personal service request of \$48.2 million represents an increase of \$1.9 million (4.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate's Court, including records management services, equipment rental and repairs, supplies and materials, postage and printing, accounting and auditing services and other professional services.

The nonpersonal service request of \$1.2 million represents an increase of \$10,392 (0.9%) over the current year adjusted appropriation. This increase is attributable to higher expenditures in supplies and materials, and auditing and accounting services. These increased costs are partially offset by expenditure-based reductions in telecommunications and other general services within the other professional services category.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate's Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$6,314,699	\$120,750	\$6,435,449
Bronx Surrogate	3,794,609	67,900	3,862,509
Kings Surrogate	4,918,301	78,090	4,996,391
Queens Surrogate	3,838,721	77,825	3,916,546
Richmond Surrogate	2,530,771	52,519	2,583,290
NYC Subtotal:	<u>\$21,397,101</u>	<u>\$397,084</u>	<u>\$21,794,185</u>
3rd Judicial District	\$1,910,336	\$101,907	\$2,012,243
4th Judicial District	3,045,553	46,187	3,091,740
5th Judicial District	2,854,896	87,202	2,942,098
6th Judicial District	718,895	11,250	730,145
7th Judicial District	3,363,548	87,344	3,450,892
8th Judicial District	4,009,712	188,545	4,198,257
9th Judicial District	4,996,846	119,249	5,116,095
Nassau County	3,111,564	97,573	3,209,137
Suffolk County	2,749,794	87,551	2,837,345
Outside NYC Subtotal:	<u>\$26,761,144</u>	<u>\$826,808</u>	<u>\$27,587,952</u>
Total:	<u>\$48,158,245</u>	<u>\$1,223,892</u>	<u>\$49,382,137</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	46,269,371	48,115,085	1,845,714
PS Temporary	0	41,485	41,485
PS Overtime	175	1,675	1,500
Total Personal Service	46,269,546	48,158,245	1,888,699
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,824	1,324	(500)
Supplies and Materials	187,496	203,585	16,089
Travel	13,240	16,999	3,759
Equipment Rental and Repairs	213,308	217,069	3,761
Real Estate Rentals	100	50	(50)
Postage and Printing	201,591	200,232	(1,359)
Telecommunications	45,054	34,409	(10,645)
Information Technology Services	500	600	100
Accounting and Auditing Services	88,845	93,975	5,130
Records Management Services	384,272	383,859	(413)
Other Professional Services	56,670	47,910	(8,760)
In-Part Services	13,075	14,705	1,630
Transcripts	7,525	9,175	1,650
Total Nonpersonal Service	1,213,500	1,223,892	10,392
Grand Total	47,483,046	49,382,137	1,899,091

COURTS OF ORIGINAL JURISDICTION
Multi-Bench Courts Program

2017-18 Budget Request: \$46,417,118	
Personal Service:	44,477,812
Nonpersonal Service:	1,939,306
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many counties outside New York City, there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate's Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Essex, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schoharie, Schuyler, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben counties. Those having combined County and Surrogate's Courts only include: Chemung, Clinton, Delaware, Franklin, Fulton, Genesee, Herkimer, Sullivan and Warren counties.

Summary of 2017-18 Funding Request

The Multi-Bench Courts General Fund budget request is \$46.4 million, or an increase of \$0.5 million (1.2%) over the current year adjusted appropriation.

The personal service request of \$44.5 million represents an increase of \$0.5 million (1.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$7,690 for temporary service and \$4,885 for overtime. The temporary service funding supports two part-time positions in the trial courts in support of ongoing operations; the overtime request supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Multi-Bench Courts, including transcript costs, postage and printing, equipment rental and repairs, supplies and materials, records management services and telecommunications.

The nonpersonal service request of \$1.9 million represents an increase of \$54,903 (2.9%) over the current year adjusted appropriation. This increase is attributable to higher expenditures in records management services, transcripts, and other general services within the other professional services category. These increases are partially offset by expenditure-based reductions in telecommunications, judicial hearing officers, and equipment rental and repairs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$5,667,206	\$274,510	\$5,941,716
4th Judicial District	6,904,719	314,056	7,218,775
5th Judicial District	1,421,133	75,683	1,496,816
6th Judicial District	11,341,584	576,561	11,918,145
7th Judicial District	10,857,609	386,598	11,244,207
8th Judicial District	6,368,968	233,022	6,601,990
9th Judicial District	1,916,593	78,876	1,995,469
	<u>\$44,477,812</u>	<u>\$1,939,306</u>	<u>\$46,417,118</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	43,981,576	44,465,237	483,661
PS Temporary	7,690	7,690	0
PS Overtime	4,650	4,885	235
Total Personal Service	43,993,916	44,477,812	483,896
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,453	6,072	619
Supplies and Materials	247,373	266,294	18,921
Travel	91,353	94,776	3,423
Equipment Rental and Repairs	314,219	300,052	(14,167)
Postage and Printing	320,289	325,179	4,890
Telecommunications	148,897	113,578	(35,319)
Accounting and Auditing Services	46,550	42,912	(3,638)
Records Management Services	89,963	122,820	32,857
Other Professional Services	49,646	73,153	23,507
In-Part Services	67,450	85,060	17,610
Judicial Hearing Officers	81,900	58,300	(23,600)
Transcripts	421,310	451,110	29,800
Total Nonpersonal Service	1,884,403	1,939,306	54,903
Grand Total	45,878,319	46,417,118	538,799

COURTS OF ORIGINAL JURISDICTION
City and District Courts Program

2017-18 Budget Request: \$255,901,271	
Personal Service:	248,357,480
Nonpersonal Service:	7,543,791
Maintenance Undistributed:	0

Program Description

This Program provides funding for operations in City Courts outside New York City, the Nassau and Suffolk County District Courts, the New York City Civil Court, the New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 23 are comprised solely of full-time judges, 24 are comprised of a mix of full and part-time judges, and 14 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this Program but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2017-18 Funding Request

The City and District Courts General Fund budget request is \$255.9 million, or an increase of \$10.3 million (4.2%) over the current year adjusted appropriation.

The personal service request of \$248.4 million represents an increase of \$10.3 million (4.3%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$0.2 million in temporary service for acting city court judges; part-time legal staff who share a position with an individual in a different title within the legal series; and, clerical positions to support ongoing court operations. The request also includes \$6.8 million in overtime which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the City & District Courts, including in-part services such as *per diem* interpreters and court reporters, postage and printing, supplies and materials, equipment rental and repairs, judicial hearing officers and records management services.

The nonpersonal service request of \$7.5 million represents an increase of \$19,887 (0.3%) over the current year adjusted appropriation. The increase is primarily attributable to an expenditure-based increase for records management services and supplies and materials. These increases are partially offset by reductions in equipment rental and repairs, and ongoing savings from telecommunications due to the continued implementation of IP telephones. A decrease in the use of *per diem* interpreters in the in-part services category reflects the hiring of additional full-time UCS court interpreters in the NYC citywide courts.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

City and District Courts Program

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$59,151,550	\$1,447,750	\$60,599,300
NYC Criminal Court	81,727,096	2,271,475	83,998,571
NYC Subtotal:	<u>\$140,878,646</u>	<u>\$3,719,225</u>	<u>\$144,597,871</u>
3rd Judicial District	\$6,679,742	\$217,578	\$6,897,320
4th Judicial District	5,843,840	204,465	6,048,305
5th Judicial District	9,467,930	265,344	9,733,274
6th Judicial District	5,351,017	178,238	5,529,255
7th Judicial District	8,826,437	209,472	9,035,909
8th Judicial District	15,565,875	457,374	16,023,249
9th Judicial District	17,294,666	552,274	17,846,940
Nassau County	18,887,708	993,245	19,880,953
Suffolk County	19,561,619	746,576	20,308,195
Outside NYC Subtotal:	<u>\$107,478,834</u>	<u>\$3,824,566</u>	<u>\$111,303,400</u>
Total:	<u>\$248,357,480</u>	<u>\$7,543,791</u>	<u>\$255,901,271</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	232,866,079	241,409,765	8,543,686
PS Temporary	250,762	173,022	(77,740)
PS Overtime	4,913,862	6,774,693	1,860,831
Total Personal Service	238,030,703	248,357,480	10,326,777
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,886	3,090	204
Supplies and Materials	833,970	884,219	50,249
Travel	203,017	212,044	9,027
Equipment Rental and Repairs	710,743	702,581	(8,162)
Real Estate Rentals	640	375	(265)
Conferences and Training	200	200	0
Postage and Printing	1,141,781	1,137,509	(4,272)
Telecommunications	285,173	241,400	(43,773)
Information Technology Services	18,000	20,200	2,200
Accounting and Auditing Services	417,542	420,914	3,372
Records Management Services	451,368	598,647	147,279
Other Professional Services	29,500	41,175	11,675
In-Part Services	1,842,251	1,688,702	(153,549)
ADR/SCAR/Arbitration	453,875	454,775	900
Judicial Hearing Officers	624,600	624,600	0
Transcripts	281,483	286,485	5,002
Equipment	226,875	226,875	0
Total Nonpersonal Service	7,523,904	7,543,791	19,887
Grand Total	245,554,607	255,901,271	10,346,664

COURTS OF ORIGINAL JURISDICTION
New York City Housing Court Program

2017-18 Budget Request:		\$32,770,260
Personal Service:	31,928,342	
Nonpersonal Service:	841,918	
Maintenance Undistributed:	0	

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act §110) also established a corps of quasi-judicial hearing officers designated by the Chief Administrative Judge, and now known as Housing Judges to preside in the Housing Part.

Summary of 2017-18 Funding Request

The New York City Housing Court General Fund budget request is \$32.8 million, or an increase of \$1.2 million (3.8%) over the current year adjusted appropriation.

The personal service request of \$31.9 million represents an increase of \$1.2 million (3.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$45,841 for temporary service to support two part-time court attorneys, each of whom shares a position with an individual in a different title in the legal series. Funding of \$9,725 is included for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including supplies and materials, postage and printing and equipment rental and repairs. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and owners in each borough's Housing Court.

The nonpersonal service request of \$0.8 million is a decrease of \$6,520 (-0.8%) from current year funding. The decrease is primarily due to expenditure-based decreases in equipment rental and repairs, other professional services and transcripts. These decreases are partially offset by an expenditure-based increase in printing within postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$31,928,342	\$841,918	\$32,770,260
Total:	<u>\$31,928,342</u>	<u>\$841,918</u>	<u>\$32,770,260</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	30,678,349	31,872,776	1,194,427
PS Temporary	37,206	45,841	8,635
PS Overtime	7,575	9,725	2,150
Total Personal Service	30,723,130	31,928,342	1,205,212
<u>Nonpersonal Service</u>			
Supplies and Materials	96,500	96,980	480
Travel	3,303	3,303	0
Equipment Rental and Repairs	84,000	79,000	(5,000)
Postage and Printing	200,500	205,500	5,000
Telecommunications	2,000	1,000	(1,000)
Information Technology Services	500	500	0
Other Professional Services	382,000	378,000	(4,000)
Transcripts	27,000	25,000	(2,000)
Equipment	52,635	52,635	0
Total Nonpersonal Service	848,438	841,918	(6,520)
Grand Total	31,571,568	32,770,260	1,198,692

COURTS OF ORIGINAL JURISDICTION
Community Courts Program

2017-18 Budget Request:	\$5,543,440
Personal Service:	2,500,242
Nonpersonal Service:	3,043,198
Maintenance Undistributed:	0

Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan's Midtown Community Court and Harlem Community Justice Center; Brooklyn's Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx's Community Solutions.

Summary of 2017-18 Funding Request

The Community Courts General Fund budget request is \$5.5 million, or an increase of \$0.3 million (5.3%) over the current year adjusted appropriation.

The personal service request of \$2.5 million represents an increase of \$0.3 million (11.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The personal service request also includes \$0.2 million for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, equipment rental and repairs, postage and printing and *per diem* court interpreters within in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3.0 million, or an increase of \$19,019 (0.6%) over current year funding. Cost-of-living increases in contracts with the Fund for the City of New York account for additional funding in other professional services. This increase is partially offset by an expenditure-based decrease in *per diem* court interpreters within in-part services. Rentals of equipment within equipment rental and repairs and telephones within telecommunications have decreased as copier leases and telephone charges are now charged to the host courts (NYC Civil and NYC Criminal Courts).

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Community Courts Program

New York City	Personal Service	Nonpersonal Service	Total
Midtown Community Court	\$551,756	\$616,983	\$1,168,739
Red Hook Community Court	879,892	864,012	1,743,904
Harlem Community Justice Center	1,068,594	519,893	1,588,487
Bronx Community Solutions	0	771,003	771,003
Brownsville Community Court	0	271,307	271,307
Total:	<u>\$2,500,242</u>	<u>\$3,043,198</u>	<u>\$5,543,440</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,130,018	2,332,192	202,174
PS Overtime	110,800	168,050	57,250
Total Personal Service	<u>2,240,818</u>	<u>2,500,242</u>	<u>259,424</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	12,500	11,300	(1,200)
Equipment Rental and Repairs	20,500	9,800	(10,700)
Postage and Printing	2,850	2,850	0
Telecommunications	800	0	(800)
Other Professional Services	2,889,329	2,947,048	57,719
In-Part Services	98,000	72,000	(26,000)
Transcripts	200	200	0
Total Nonpersonal Service	<u>3,024,179</u>	<u>3,043,198</u>	<u>19,019</u>
 Grand Total	 5,264,997	 5,543,440	 278,443

COURTS OF ORIGINAL JURISDICTION
Drug Treatment Courts Program

2017-18 Budget Request: \$15,629,253	
Personal Service:	14,390,385
Nonpersonal Service:	1,238,868
Maintenance Undistributed:	0

Program Description

This Program provides the non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Summary of 2017-18 Funding Request

The Drug Treatment Courts General Fund budget request is \$15.6 million, or a decrease of \$34,134 (-0.2%) from the current adjusted appropriation.

The personal service request of \$14.4 million represents a decrease of \$0.1 million (-0.8%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover.

Included in the personal service request is \$98,738 for essential overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, other professional services, travel, and conferences and training for both judicial and nonjudicial employees.

The nonpersonal service request of \$1.2 million represents an increase of \$85,320 (7.4%) over the current year adjusted appropriation. This increase is attributable to expenditure-based increases in supplies and materials, primarily for drug testing supplies. There is also a significant increase in the other professional services category which will allow the Rochester Drug Treatment Court to hire part-time drug screeners to meet workload demands. These increases are partially offset by an expenditure-based reduction in rentals of equipment.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,357,965	\$27,576	\$1,385,541
Bronx	1,177,105	15,720	1,192,825
Kings	2,352,619	160,586	2,513,205
Queens	1,238,240	25,400	1,263,640
Richmond	133,128	11,350	144,478
NYC Subtotal:	<u>\$6,259,057</u>	<u>\$240,632</u>	<u>\$6,499,689</u>
3rd Judicial District	\$876,593	\$89,860	\$966,453
4th Judicial District	905,360	50,399	955,759
5th Judicial District	1,024,763	30,008	1,054,771
6th Judicial District	616,396	92,774	709,170
7th Judicial District	1,085,233	134,021	1,219,254
8th Judicial District	1,904,674	86,786	1,991,460
9th Judicial District	890,846	59,088	949,934
Nassau County	167,915	97,539	265,454
Suffolk County	659,548	64,665	724,213
Outside NYC Subtotal:	<u>\$8,131,328</u>	<u>\$705,140</u>	<u>\$8,836,468</u>
Undistributed	<u>\$0</u>	<u>\$293,096</u>	<u>\$293,096</u>
Total:	<u>\$14,390,385</u>	<u>\$1,238,868</u>	<u>\$15,629,253</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,441,564	14,291,647	(149,917)
PS Overtime	68,275	98,738	30,463
Total Personal Service	14,509,839	14,390,385	(119,454)
<u>Nonpersonal Service</u>			
Supplies and Materials	718,283	747,125	28,842
Travel	44,462	50,965	6,503
Equipment Rental and Repairs	26,935	13,126	(13,809)
Conferences and Training	88,447	90,216	1,769
Postage and Printing	4,660	7,345	2,685
Telecommunications	4,034	1,361	(2,673)
Information Technology Services	3,800	3,800	0
Other Professional Services	262,927	324,930	62,003
Total Nonpersonal Service	1,153,548	1,238,868	85,320
Grand Total	15,663,387	15,629,253	(34,134)

COURTS OF ORIGINAL JURISDICTION
Court of Claims Program

2017-18 Budget Request:		\$17,189,639
Personal Service:	13,470,655	
Nonpersonal Service:	3,718,984	
Maintenance Undistributed:	0	

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities such as the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State's power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2017-18 Funding Request

The Court of Claims General Fund budget request is \$17.2 million, or an increase of \$0.1 million (0.7%) over the current year adjusted appropriation.

The personal service request of \$13.5 million represents an increase of \$88,151 (0.7%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, equipment rental and repairs, postage and printing and telecommunications. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$3.7 million, or an increase of \$33,220 (0.9%) over current year funding. The increase is primarily attributable to increased real estate rental costs as well as expenditure-based increases in supplies and materials, travel and repairs of equipment within equipment rental and repairs. This increase is partially offset by a modest expenditure-based decrease in postage within postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Court of Claims

<u>Court of Claims</u>	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,470,655	\$3,718,984	\$17,189,639
Total:	<u>\$13,470,655</u>	<u>\$3,718,984</u>	<u>\$17,189,639</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,381,504	13,469,355	87,851
PS Overtime	1,000	1,300	300
Total Personal Service	<u>13,382,504</u>	<u>13,470,655</u>	<u>88,151</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	11,025	10,825	(200)
Supplies and Materials	53,500	57,300	3,800
Travel	50,000	55,000	5,000
Equipment Rental and Repairs	105,000	111,000	6,000
Real Estate Rentals	3,255,080	3,273,000	17,920
Conferences and Training	50,000	50,000	0
Postage and Printing	42,300	41,000	(1,300)
Telecommunications	71,000	72,000	1,000
Information Technology Services	500	500	0
Accounting and Auditing Services	1,100	1,100	0
Records Management Services	10,200	11,200	1,000
Other Professional Services	4,300	4,300	0
In-Part Services	2,500	2,500	0
Transcripts	6,000	6,000	0
Equipment	23,259	23,259	0
Total Nonpersonal Service	<u>3,685,764</u>	<u>3,718,984</u>	<u>33,220</u>
Grand Total	17,068,268	17,189,639	121,371

COURTS OF ORIGINAL JURISDICTION
Jury Program

2017-18 Budget Request: \$43,662,273	
Personal Service:	19,089,029
Nonpersonal Service:	24,573,244
Maintenance Undistributed:	0

Program Description

This Program provides funding for the cost of operating the jury system for New York's courts.

The Jury System: The State Constitution guarantees litigants before New York's courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner's functions are discharged by the jury divisions of the County Clerk's office in each of the City's five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2017-18 Funding Request

The Jury Systems Operations General Fund budget request is \$43.7 million, or a decrease of \$0.8 million (-1.8%) from the current year adjusted appropriation.

The personal service request of \$19.1 million represents a decrease of \$0.7 million (-3.8%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. The net increase in these funding requirements is offset by turnover savings.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, telecommunications, other professional services, supplies and materials, and equipment rental and repairs.

The nonpersonal service request of \$24.6 million represents a decrease of \$31,852 (-0.1%) from the current year adjusted appropriation. The decrease is primarily attributable to reductions in equipment rental and repairs as well as reduced usage of *per diem* jurors. These reductions are partially offset by an expenditure-based increase for supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Jury Program

Locality	Personal Service	Nonpersonal Service	Total
New York	\$3,146,009	\$3,599,381	\$6,745,390
Bronx	1,823,567	2,397,034	4,220,601
Kings	2,254,503	2,934,347	5,188,850
Queens	1,921,862	2,012,551	3,934,413
Richmond	446,069	386,145	832,214
NYC Subtotal:	<u>\$9,592,010</u>	<u>\$11,329,458</u>	<u>\$20,921,468</u>
3rd Judicial District	\$1,173,383	\$761,337	\$1,934,720
4th Judicial District	1,021,189	682,623	1,703,812
5th Judicial District	1,050,792	660,165	1,710,957
6th Judicial District	506,155	431,879	938,034
7th Judicial District	1,040,529	898,312	1,938,841
8th Judicial District	1,145,298	816,631	1,961,929
9th Judicial District	1,442,543	1,190,715	2,633,258
Nassau County	1,099,482	1,033,893	2,133,375
Suffolk County	1,017,648	1,191,017	2,208,665
Outside NYC Subtotal:	<u>\$9,497,019</u>	<u>\$7,666,572</u>	<u>\$17,163,591</u>
Undistributed	<u>\$0</u>	<u>\$5,577,214</u>	<u>\$5,577,214</u>
Total:	<u>\$19,089,029</u>	<u>\$24,573,244</u>	<u>\$43,662,273</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,833,253	19,087,408	(745,845)
PS Overtime	1,625	1,621	(4)
Total Personal Service	19,834,878	19,089,029	(745,849)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	856	856	0
Supplies and Materials	367,292	380,684	13,392
Travel	13,450	17,353	3,903
Equipment Rental and Repairs	303,245	276,598	(26,647)
Real Estate Rentals	350	300	(50)
Postage and Printing	3,996,508	4,001,641	5,133
Telecommunications	404,576	409,292	4,716
Records Management Services	22,821	17,905	(4,916)
Other Professional Services	314,882	316,202	1,320
In-Part Services	4,380	3,130	(1,250)
Jury Fees	19,176,736	19,149,283	(27,453)
Total Nonpersonal Service	24,605,096	24,573,244	(31,852)
Grand Total	44,439,974	43,662,273	(777,701)

COURTS OF ORIGINAL JURISDICTION
New York City County Clerks Program

2017-18 Budget Request:		\$22,704,710
Personal Service:	19,363,910	
Nonpersonal Service:	3,340,800	
Maintenance Undistributed:	0	

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City, as well as reimbursements from the City of New York for county clerk operations. Jury operations are funded through the Jury System Operations Program.

Summary of 2017-18 Funding Request

The New York City County Clerks Special Revenue Fund budget request is \$22.7 million, or a decrease of \$0.4 million (-1.9%) from the current year adjusted appropriation.

The personal service request of \$19.4 million represents a decrease of \$0.5 million (-2.4%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with county clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services and records management services. Accounting and auditing services consist of the fees associated with electronic filing and the payment of various fees by credit cards. Records management services include records storage, shredding of documents and digitization or microfilming of paper records.

The nonpersonal service request is \$3.3 million, or an increase of \$28,860 (0.9%) over current year funding. The most significant increase, in accounting and auditing services, reflects a marked expansion in the use of electronic filing. Increases in supplies and materials and information technology services are expenditure-based. These increases are partially offset by a decrease in telephones within telecommunications due to the elimination of an automated answering system in Bronx County. There are also expenditure-based decreases in equipment rental and repairs, printing within postage and printing and other professional services.

Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund

New York City County Clerks Program

New York City	Personal Service	Nonpersonal Service	Total
New York	\$5,189,288	\$906,900	\$6,096,188
Bronx	3,662,101	577,600	4,239,701
Kings	4,367,928	789,100	5,157,028
Queens	3,900,446	470,550	4,370,996
Richmond	2,587,465	421,650	3,009,115
Undistributed	(343,318)	175,000	(168,318)
	<u>\$19,363,910</u>	<u>\$3,340,800</u>	<u>\$22,704,710</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,833,193	19,363,910	(469,283)
Total Personal Service	19,833,193	19,363,910	(469,283)
<u>Nonpersonal Service</u>			
Supplies and Materials	175,600	193,200	17,600
Travel	6,750	6,400	(350)
Equipment Rental and Repairs	149,500	132,300	(17,200)
Postage and Printing	115,350	110,550	(4,800)
Telecommunications	61,040	33,100	(27,940)
Information Technology Services	6,250	12,300	6,050
Accounting and Auditing Services	908,500	965,000	56,500
Records Management Services	1,885,000	1,885,000	0
Other Professional Services	3,950	2,950	(1,000)
Total Nonpersonal Service	3,311,940	3,340,800	28,860
 Grand Total	 23,145,133	 22,704,710	 (440,423)

COURTS OF ORIGINAL JURISDICTION

Supreme and County Court

Law Library Program

2017-18 Budget Request:	\$4,983,144
Personal Service:	4,789,173
Nonpersonal Service:	193,971
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2017-18 Funding Request

The Supreme Court Law Libraries General Fund budget request is \$5.0 million, or a decrease of \$0.1 million (-2.2%) from the current year adjusted appropriation.

The personal service request of \$4.8 million represents a decrease of \$0.1 million (-2.1%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. The net increase in these funding requirements is offset by turnover savings.

The personal service request also includes \$98,380 for temporary service. This funding includes several part-time positions to support ongoing law library operations.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. It should be noted, however, that the acquisition of most legal reference materials and computer assisted legal reference (CALR) access are provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$193,971 represents a decrease of \$9,790 (-4.8%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in legal reference materials and subscriptions, telecommunications and equipment rental and repairs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$107,220	\$1,250	\$108,470
New York Criminal	120,669	4,000	124,669
Bronx	94,855	2,000	96,855
Kings	323,158	3,000	326,158
Queens	368,539	18,000	386,539
Richmond	81,327	1,000	82,327
NYC Subtotal:	<u>\$1,095,768</u>	<u>\$29,250</u>	<u>\$1,125,018</u>
3rd Judicial District	\$424,195	\$20,741	\$444,936
4th Judicial District	302,782	9,355	312,137
5th Judicial District	1,004,506	41,254	1,045,760
6th Judicial District	236,436	19,068	255,504
7th Judicial District	309,829	12,756	322,585
8th Judicial District	275,093	20,413	295,506
9th Judicial District	556,749	5,120	561,869
Nassau County	169,660	12,171	181,831
Suffolk County	414,155	23,843	437,998
Outside NYC Subtotal:	<u>\$3,693,405</u>	<u>\$164,721</u>	<u>\$3,858,126</u>
Total:	<u>\$4,789,173</u>	<u>\$193,971</u>	<u>\$4,983,144</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	4,795,283	4,690,793	(104,490)
PS Temporary	97,379	98,380	1,001
Total Personal Service	4,892,662	4,789,173	(103,489)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	94,403	85,838	(8,565)
Supplies and Materials	35,208	38,805	3,597
Travel	12,678	14,082	1,404
Equipment Rental and Repairs	42,538	39,561	(2,977)
Postage and Printing	7,210	6,718	(492)
Telecommunications	6,627	2,199	(4,428)
Records Management Services	0	1,538	1,538
Other Professional Services	4,897	5,030	133
In-Part Services	200	200	0
Total Nonpersonal Service	203,761	193,971	(9,790)
Grand Total	5,096,423	4,983,144	(113,279)

COURTS OF ORIGINAL JURISDICTION
Alternative Dispute Resolution and
Court Improvement Programs

2017-18 Budget Request:	\$9,670,549
Personal Service:	1,392,062
Nonpersonal Service:	8,278,487
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected Alternative Dispute Resolution (ADR) programs in selected courts, Children's Centers and Court Appointed Special Advocates (CASA).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate's Court and housing and small claims disputes in City and District Courts. The Children's Centers provide child-care services for young children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2017-18 Funding Request

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$9.7 million, or an increase of \$0.1 million (1.2%) from the current year adjusted appropriation.

The personal service request of \$1.4 million represents a decrease of \$45,349 (-3.2%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by turnover savings.

The nonpersonal service request of \$8.3 million includes contractual funding for statewide dispute resolution programs, children's centers located primarily in Family Courts and CASA programs throughout the State. The request represents an increase of \$162,365 (2.0%) over the current year adjusted appropriation, attributable to cost of living adjustments for service providers.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution and Court Improvement Programs

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,392,062	\$8,278,487	\$9,670,549
Total:	<u>\$1,392,062</u>	<u>\$8,278,487</u>	<u>\$9,670,549</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,437,411	1,392,062	(45,349)
Total Personal Service	1,437,411	1,392,062	(45,349)
<u>Nonpersonal Service</u>			
Supplies and Materials	3,853	2,900	(953)
Travel	20,618	25,150	4,532
Conferences and Training	62,742	48,400	(14,342)
Postage and Printing	2,710	500	(2,210)
Information Technology Services	35,700	49,750	14,050
Other Professional Services	2,503,216	2,554,763	51,547
ADR/SCAR/Arbitration	5,487,283	5,597,024	109,741
Total Nonpersonal Service	8,116,122	8,278,487	162,365
 Grand Total	 9,553,533	 9,670,549	 117,016

COURTS OF ORIGINAL JURISDICTION
Court Support Services Program

2017-18 Budget Request:	\$115,512,243
Personal Service:	48,825,170
Nonpersonal Service:	66,687,073
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, criminal disposition reconciliation reporting and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2017-18 Funding Request

The Court Support Services All Funds budget request is \$115.5 million, or an increase of \$4.6 million (4.1%) over the current year adjusted appropriation.

The personal service request of \$48.8 million represents an increase of \$2.3 million (4.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$90,000 for temporary service and \$282,618 for overtime in support of ongoing operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rental and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation, the preservation of historic records, and grants for lawyer assistance services throughout New York State is also included in the Court Support Services program.

The nonpersonal service request of \$66.7 million is an increase of \$2.3 million (3.6%) over current year funding. Significant contractual increases are noted in legal reference materials, both print and digital. The increase in real estate rentals reflects additional lease and utility costs for upstate court officer training space. The majority of the increase in other professional services will allow the Judiciary to incrementally increase support to programs such as the Community Dispute Resolution Centers and Court Appointed Special Advocates (CASA). In addition, expenditure-based increases are noted in travel and conferences and training. These increases are partially offset by expenditure-based reductions in equipment rental and repairs and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Court Support Services

Court Support Services	Personal Service	Nonpersonal Service	Total
Administrative Services	\$4,752,677	\$15,018,448	\$19,771,125
Continuing Legal Education	979,801	40,878	1,020,679
Court Interpreter Operations	3,436,693	595,062	4,031,755
Criminal Disposition Reconciliation	447,588	5,840	453,428
Data Processing & Network Support	26,730,304	24,127,666	50,857,970
Div. of Professional & Court Services	1,792,522	3,087,245	4,879,767
Human Resources Administration	4,535,425	959,000	5,494,425
Office of the Inspector General	1,277,012	20,150	1,297,162
Legal Resources	360,500	21,056,545	21,417,045
NYS Courts Access to Justice	189,727	85,801	275,528
Office of Policy and Planning	912,826	163,775	1,076,601
Payroll Operations	2,188,147	229,250	2,417,397
Records Management	878,074	322,918	1,200,992
Workforce Diversity	343,874	38,131	382,005
Undistributed	0	936,364	936,364
Total:	<u>\$48,825,170</u>	<u>\$66,687,073</u>	<u>\$115,512,243</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	46,127,425	48,452,552	2,325,127
PS Temporary	90,000	90,000	0
PS Overtime	316,337	282,618	(33,719)
Total Personal Service	46,533,762	48,825,170	2,291,408
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,104,074	20,997,961	893,887
Supplies and Materials	1,322,113	1,337,101	14,988
Travel	336,357	460,572	124,215
Equipment Rental and Repairs	3,277,705	3,257,775	(19,930)
Real Estate Rentals	13,108,542	13,631,617	523,075
Conferences and Training	126,000	219,500	93,500
Postage and Printing	679,878	532,128	(147,750)
Telecommunications	6,970,000	6,972,000	2,000
Information Technology Services	12,578,794	12,596,690	17,896
Accounting and Auditing Services	75,000	75,000	0
Records Management Services	373,500	382,885	9,385
Other Professional Services	4,896,593	5,650,094	753,501
In-Part Services	536,500	573,750	37,250
Total Nonpersonal Service	64,385,056	66,687,073	2,302,017
Grand Total	110,918,818	115,512,243	4,593,425

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	26,567,055	28,979,880	2,412,825
PS Temporary	90,000	90,000	0
PS Overtime	200,475	162,618	(37,857)
Total Personal Service	<u>26,857,530</u>	<u>29,232,498</u>	<u>2,374,968</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,104,074	20,997,961	893,887
Supplies and Materials	1,320,613	1,335,601	14,988
Travel	334,857	459,072	124,215
Equipment Rental and Repairs	3,277,705	3,257,775	(19,930)
Real Estate Rentals	13,108,542	13,631,617	523,075
Conferences and Training	126,000	219,500	93,500
Postage and Printing	677,000	529,250	(147,750)
Telecommunications	6,970,000	6,972,000	2,000
Information Technology Services	7,543,794	7,561,690	17,896
Accounting and Auditing Services	75,000	75,000	0
Records Management Services	373,500	382,885	9,385
Other Professional Services	3,896,593	4,650,094	753,501
In-Part Services	536,500	573,750	37,250
Total Nonpersonal Service	<u>58,344,178</u>	<u>60,646,195</u>	<u>2,302,017</u>
Grand Total	85,201,708	89,878,693	4,676,985

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,047,151	979,801	(67,350)
Total Personal Service	1,047,151	979,801	(67,350)
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Total Nonpersonal Service	40,878	40,878	0
 Grand Total	 1,088,029	 1,020,679	 (67,350)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,513,219	18,492,871	(20,348)
PS Overtime	115,862	120,000	4,138
Total Personal Service	<u>18,629,081</u>	<u>18,612,871</u>	<u>(16,210)</u>
<u>Nonpersonal Service</u>			
Information Technology Services	5,000,000	5,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>6,000,000</u>	<u>6,000,000</u>	<u>0</u>
 Grand Total	 24,629,081	 24,612,871	 (16,210)

COURTS OF ORIGINAL JURISDICTION
Town and Village Program - State Operations

2017-18 Budget Request:		\$4,527,651
Personal Service:	3,969,994	
Nonpersonal Service:	557,657	
Maintenance Undistributed:	0	

Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program.

Summary of 2017-18 Funding Request

The Town and Village State Operations General Fund budget request is \$4.5 million, or a decrease of \$0.1 million (-2.9%) from the current year adjusted appropriation.

The personal service request of \$4.0 million represents a decrease of \$0.1 million (-2.8%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$64,000 in temporary service for acting town and village justices in support of ongoing operations.

The nonpersonal service request is \$0.6 million or a decrease of \$20,344 (-3.5%) from current year funding. The decrease is attributable to expenditure-based cuts throughout the program.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Town & Village State Operations Program

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$107,577	\$15,178	\$122,755
4th Judicial District	17,500	6,000	23,500
5th Judicial District	306,619	3,000	309,619
6th Judicial District	143,264	4,407	147,671
7th Judicial District	257,854	8,795	266,649
8th Judicial District	67,792	4,227	72,019
9th Judicial District	5,000	0	5,000
Nassau County	87,966	750	88,716
Suffolk County	120,303	0	120,303
Court Support Services	2,856,119	515,300	3,371,419
Total:	<u>\$3,969,994</u>	<u>\$557,657</u>	<u>\$4,527,651</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	4,043,043	3,899,894	(143,149)
PS Temporary	35,673	64,000	28,327
PS Overtime	4,300	6,100	1,800
Total Personal Service	<u>4,083,016</u>	<u>3,969,994</u>	<u>(113,022)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	49,381	49,759	378
Travel	153,674	149,865	(3,809)
Equipment Rental and Repairs	48,419	47,258	(1,161)
Conferences and Training	102,050	87,500	(14,550)
Postage and Printing	61,477	57,775	(3,702)
Information Technology Services	162,500	165,000	2,500
Other Professional Services	500	500	0
Total Nonpersonal Service	<u>578,001</u>	<u>557,657</u>	<u>(20,344)</u>
Grand Total	4,661,017	4,527,651	(133,366)

COURTS OF ORIGINAL JURISDICTION
Public Safety Program

2017-18 Budget Request:	\$343,346,949
Personal Service:	309,730,021
Nonpersonal Service:	33,616,928
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2017-18 Funding Request

The Public Safety All Funds budget request is \$343.3 million, or an increase of \$5.2 million (1.5%) over the current year adjusted appropriation.

The personal service request of \$309.7 million represents an increase of \$5.4 million (1.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

Also reflected in the personal service request is \$14.5 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for the court officer training academies and payments to local sheriff and police departments who provide contractual security in certain upstate localities. Funding in medical services within other professional services supports the background investigations of NYS Court Officer-Trainees. The request also includes funding for supplies and materials, equipment rental and repairs, travel and information technology services.

The nonpersonal service request is \$33.6 million, or a decrease of \$0.2 million (-0.6%) from current year funding. The most significant component of the decrease is in real estate rentals and is related to the termination of certain leased court officer academy space. These decreases are partially offset by expenditure-based increases in travel, supplies and materials and in medical services within other professional services. The increase in repairs of equipment within equipment rental and repairs is primarily attributable to new service agreements for access control systems in Kings and Bronx Counties.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Public Safety Program

Locality	Personal Service	Nonpersonal Service	Total
NY Supreme Civil	\$6,901,456	\$7,860	\$6,909,316
NY Supreme Criminal	14,014,089	9,000	14,023,089
Supreme Bronx	18,732,377	49,500	18,781,877
Supreme Kings	21,369,945	159,680	21,529,625
Supreme Queens	15,883,341	9,800	15,893,141
Supreme Richmond	4,462,531	2,500	4,465,031
Family Court	30,740,237	34,000	30,774,237
Surrogate's Court	401,984	0	401,984
Civil Court	21,662,004	17,300	21,679,304
Criminal Court	51,646,700	35,000	51,681,700
Jury	458,443	0	458,443
County Clerks	373,145	0	373,145
NYC Subtotal:	<u>\$186,646,252</u>	<u>\$324,640</u>	<u>\$186,970,892</u>
3rd Judicial District	\$7,030,069	\$4,618,311	\$11,648,380
4th Judicial District	9,809,328	380,915	10,190,243
5th Judicial District	7,200,626	3,883,148	11,083,774
6th Judicial District	9,073,068	113,867	9,186,935
7th Judicial District	0	15,284,948	15,284,948
8th Judicial District	14,513,992	4,740,570	19,254,562
9th Judicial District	21,775,888	51,364	21,827,252
Nassau County	21,532,174	107,164	21,639,338
Suffolk County	23,267,347	120,052	23,387,399
Outside NYC Subtotal:	<u>\$114,202,492</u>	<u>\$29,300,339</u>	<u>\$143,502,831</u>
Court Support Services	\$8,881,277	\$3,217,567	\$12,098,844
Undistributed	\$0	\$774,382	\$774,382
Total:	<u>\$309,730,021</u>	<u>\$33,616,928</u>	<u>\$343,346,949</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	293,245,613	295,207,564	1,961,951
PS Overtime	11,106,338	14,522,457	3,416,119
Total Personal Service	304,351,951	309,730,021	5,378,070
<u>Nonpersonal Service</u>			
Supplies and Materials	870,927	917,232	46,305
Travel	240,405	410,196	169,791
Equipment Rental and Repairs	395,914	605,616	209,702
Real Estate Rentals	2,178,930	1,327,257	(851,673)
Conferences and Training	32,500	39,500	7,000
Postage and Printing	37,250	41,050	3,800
Telecommunications	29,244	27,635	(1,609)
Information Technology Services	200,000	200,000	0
Records Management Services	0	300	300
Other Professional Services	440,108	640,499	200,391
Security Services	29,407,643	29,407,643	0
Total Nonpersonal Service	33,832,921	33,616,928	(215,993)
Grand Total	338,184,872	343,346,949	5,162,077

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	292,956,802	294,834,419	1,877,617
PS Overtime	11,106,338	14,522,457	3,416,119
Total Personal Service	304,063,140	309,356,876	5,293,736
<u>Nonpersonal Service</u>			
Supplies and Materials	870,927	917,232	46,305
Travel	240,405	410,196	169,791
Equipment Rental and Repairs	395,914	605,616	209,702
Real Estate Rentals	2,178,930	1,327,257	(851,673)
Conferences and Training	32,500	39,500	7,000
Postage and Printing	37,250	41,050	3,800
Telecommunications	29,244	27,635	(1,609)
Information Technology Services	200,000	200,000	0
Records Management Services	0	300	300
Other Professional Services	439,908	640,499	200,591
Security Services	29,407,643	29,407,643	0
Total Nonpersonal Service	33,832,721	33,616,928	(215,793)
Grand Total	337,895,861	342,973,804	5,077,943

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	288,811	373,145	84,334
Total Personal Service	288,811	373,145	84,334
<u>Nonpersonal Service</u>			
Other Professional Services	200	0	(200)
Total Nonpersonal Service	200	0	(200)
 Grand Total	 289,011	 373,145	 84,134

**COURTS OF ORIGINAL JURISDICTION
Maintenance Undistributed Program**

2017-18 Budget Request: \$94,554,167	
Personal Service:	(3,054,397)
Nonpersonal Service:	97,608,564
Maintenance Undistributed:	0

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This Program includes funding for civil legal services in order to provide counsel to low income New Yorkers in civil cases. In addition, through special revenue funding requests, this program provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives.

Summary of 2017-18 Funding Request

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$94.6 million, or a decrease of \$8.8 million (-8.5%) from the current year adjusted appropriation.

The undistributed personal service request reflects savings that are generated throughout the year in the trial courts as employees leave service and are replaced (-\$24.0 million); funding for lump sum payments associated with employees separating from service (\$8.0 million); and, funding for an additional 200 nonjudicial positions in support of court operations (\$12.9 million).

The nonpersonal service request will provide funding to nonprofit agencies in support of civil legal services for indigent persons (\$85.0 million). The special revenue funding provides appropriation authority for anticipated federal and other grants (\$11.5 million). The increase in this category will enable the Judiciary to receive additional grants from the Casey Foundation and the City of New York. The equipment funding is for new and replacement equipment needed for courts undergoing renovations (\$0.9 million), and the request for travel and supplies will support Judiciary commission meetings (\$0.2 million).

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Maintenance Undistributed

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$8,500,000	\$8,500,000
Miscellaneous Special Revenue Fund	0	3,000,000	3,000,000
General Fund - Undistributed	(3,054,397)	86,108,564	83,054,167
Total:	<u>(\$3,054,397)</u>	<u>\$97,608,564</u>	<u>\$94,554,167</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,725,549	(3,054,397)	(6,779,946)
PS Overtime	1,848,938	0	(1,848,938)
Total Personal Service	<u>5,574,487</u>	<u>(3,054,397)</u>	<u>(8,628,884)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	0	15,000	15,000
Travel	150,000	150,000	0
Other Professional Services	94,500,000	96,500,000	2,000,000
Equipment	3,145,475	943,564	(2,201,911)
Total Nonpersonal Service	<u>97,795,475</u>	<u>97,608,564</u>	<u>(186,911)</u>
 Grand Total	 103,369,962	 94,554,167	 (8,815,795)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,725,549	(3,054,397)	(6,779,946)
PS Overtime	1,848,938	0	(1,848,938)
Total Personal Service	<u>5,574,487</u>	<u>(3,054,397)</u>	<u>(8,628,884)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	0	15,000	15,000
Travel	150,000	150,000	0
Other Professional Services	85,000,000	85,000,000	0
Equipment	3,145,475	943,564	(2,201,911)
Total Nonpersonal Service	<u>88,295,475</u>	<u>86,108,564</u>	<u>(2,186,911)</u>
 Grand Total	 93,869,962	 83,054,167	 (10,815,795)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,000,000	3,000,000	2,000,000
Total Nonpersonal Service	1,000,000	3,000,000	2,000,000
 Grand Total	 1,000,000	 3,000,000	 2,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ MAINTENANCE UNDISTRIBUTED
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	3,500,000	1,000,000
Total Nonpersonal Service	2,500,000	3,500,000	1,000,000
 Grand Total	 2,500,000	 3,500,000	 1,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ MAINTENANCE UNDISTRIBUTED
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	6,000,000	5,000,000	(1,000,000)
Total Nonpersonal Service	6,000,000	5,000,000	(1,000,000)
 Grand Total	 6,000,000	 5,000,000	 (1,000,000)

COURTS OF ORIGINAL JURISDICTION
Trial Court Administration

2017-18 Budget Request:	\$19,777,060
Personal Service:	16,921,850
Nonpersonal Service:	2,855,210
Maintenance Undistributed:	0

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations, except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2017-18 Funding Request

The Trial Courts Administration All Funds budget request is \$19.8 million, or a decrease of \$0.2 million (-0.9%) from the current year adjusted appropriation.

The personal service request of \$16.9 million represents a decrease of \$0.2 million (-1.1%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include postage and printing, equipment rental and repairs, supplies and materials, travel, and other professional services. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth and Sixth Judicial Districts.

The nonpersonal service request of \$2.9 million represents an increase of \$16,789 (0.6%) over the current year adjusted appropriation. This increase is attributable to higher expenditures in travel and real estate rentals, which are partially offset by expenditure-based reductions in telecommunications and records management services.

Courts of Original Jurisdiction Budget Summary - All Funds

Trial Court Administration Program

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$509,220	\$279,180	\$788,400
NYC Subtotal:	<u>\$509,220</u>	<u>\$279,180</u>	<u>\$788,400</u>
3rd Judicial District	\$1,429,517	\$414,513	\$1,844,030
4th Judicial District	1,772,370	435,226	2,207,596
5th Judicial District	1,878,744	408,545	2,287,289
6th Judicial District	1,461,065	346,307	1,807,372
7th Judicial District	1,764,212	155,296	1,919,508
8th Judicial District	1,967,375	211,510	2,178,885
9th Judicial District	2,456,450	227,886	2,684,336
Nassau County	1,806,455	166,321	1,972,776
Suffolk County	1,876,442	210,426	2,086,868
Outside NYC Subtotal:	<u>\$16,412,630</u>	<u>\$2,576,030</u>	<u>\$18,988,660</u>
Total:	<u>\$16,921,850</u>	<u>\$2,855,210</u>	<u>\$19,777,060</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,110,432	16,919,287	(191,145)
PS Overtime	5,650	2,563	(3,087)
Total Personal Service	17,116,082	16,921,850	(194,232)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,329	5,313	3,984
Supplies and Materials	203,831	198,965	(4,866)
Travel	80,291	98,330	18,039
Equipment Rental and Repairs	256,709	259,508	2,799
Real Estate Rentals	849,421	864,419	14,998
Conferences and Training	8,550	8,550	0
Postage and Printing	265,976	269,506	3,530
Telecommunications	46,620	34,910	(11,710)
Information Technology Services	32,450	29,180	(3,270)
Records Management Services	22,526	15,334	(7,192)
Other Professional Services	66,598	62,075	(4,523)
Judicial Hearing Officers	15,000	21,000	6,000
Transcripts	1,000	0	(1,000)
Equipment	988,120	988,120	0
Total Nonpersonal Service	2,838,421	2,855,210	16,789
Grand Total	19,954,503	19,777,060	(177,443)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,609,710	16,307,305	(302,405)
PS Overtime	5,650	1,863	(3,787)
Total Personal Service	16,615,360	16,309,168	(306,192)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,329	5,313	3,984
Supplies and Materials	203,831	198,965	(4,866)
Travel	80,291	98,330	18,039
Equipment Rental and Repairs	256,709	259,508	2,799
Real Estate Rentals	849,421	864,419	14,998
Conferences and Training	8,550	8,550	0
Postage and Printing	265,976	269,506	3,530
Telecommunications	46,620	34,910	(11,710)
Information Technology Services	32,450	29,180	(3,270)
Records Management Services	22,526	15,334	(7,192)
Other Professional Services	66,598	62,075	(4,523)
Judicial Hearing Officers	15,000	21,000	6,000
Transcripts	1,000	0	(1,000)
Equipment	988,120	988,120	0
Total Nonpersonal Service	2,838,421	2,855,210	16,789
Grand Total	19,453,781	19,164,378	(289,403)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COJ ADMINISTRATION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	500,722	611,982	111,260
PS Overtime	0	700	700
Total Personal Service	<u>500,722</u>	<u>612,682</u>	<u>111,960</u>
 Grand Total	 500,722	 612,682	 111,960

MAJOR PURPOSE SUMMARY
Court of Appeals

2017-18 All Funds Budget Request: \$16,269,760	
General Fund:	16,269,760
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges is New York's highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2017-18 Funding Request

The Court of Appeals Major Purpose State Operations General Fund budget request is \$16.3 million, or an increase of \$0.6 million (3.7 %) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$14.4 million represents an increase of \$0.6 million (4.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

Also reflected in the personal service request is \$105,000 for overtime which supports current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff. The nonpersonal service request of \$1.9 million reflects an increase of \$10,000 (0.5%) over current year funding. The increase is attributable to an expenditure-based increase in legal reference materials partially offset by an expenditure-based decrease in real estate rentals.

Court of Appeals
Budget Summary - General Fund

<u>Court of Appeals</u>	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$14,406,549	\$1,863,211	\$16,269,760
Total:	<u>\$14,406,549</u>	<u>\$1,863,211</u>	<u>\$16,269,760</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,723,235	14,301,549	578,314
PS Overtime	106,000	105,000	(1,000)
Total Personal Service	<u>13,829,235</u>	<u>14,406,549</u>	<u>577,314</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	445,528	553,433	107,905
Supplies and Materials	154,595	157,870	3,275
Travel	378,610	378,610	0
Equipment Rental and Repairs	139,763	145,758	5,995
Real Estate Rentals	372,500	273,000	(99,500)
Conferences and Training	8,235	8,235	0
Postage and Printing	136,608	128,933	(7,675)
Telecommunications	73,414	73,414	0
Information Technology Services	22,000	22,000	0
Other Professional Services	91,958	91,958	0
Transcripts	30,000	30,000	0
Total Nonpersonal Service	<u>1,853,211</u>	<u>1,863,211</u>	<u>10,000</u>
Grand Total	15,682,446	16,269,760	587,314

Court of Appeals 2015 Workload

Court of Appeals

Court of Appeals	2015
Applications Decided [CPL 460.20(3)(b)]	2,201
Records on Appeal Filed	322
Oral Arguments (Includes Submissions)	203
Appeals Decided	202
Motions Decided	1,378
Judicial Conduct Commission	
Determinations Reviewed	0
Opinions Published	16,916
Motion Decisions Published	38,281

MAJOR PURPOSE SUMMARY

Appellate Court Operations

2017-18 All Funds Budget Request: \$83,690,480	
General Fund:	83,690,480
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State's four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 17 Justices sitting in the First Department, 21 sitting in the Second Department, 10 sitting in the Third Department and 11 sitting in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2017-18 Funding Request

The Appellate Court Operations State Operations General Fund budget request is \$83.7 million, or an increase of \$3.8 million (4.8%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$79.1 million represents an increase of \$3.8 million (5.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additional appellate judicial appointments made in the current year also contribute to the increase in personal service. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$93,000 for temporary service in the Second Department in support of ongoing operations. Also reflected in the personal service request is a total of \$132,100 for overtime to support current levels of operations in all four Judicial Departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including: supplies and materials, legal reference materials and online services, equipment rental and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.6 million reflects an increase of \$21,558 (0.5%) over current year funding. Expenditure-based increases in travel and postage and printing contribute to the growth. The increase is partially offset by expenditure-based reductions in records management and other general services.

Appellate Court Operations Budget Summary - General Fund

Appellate Divisions and Appellate Terms

Appellate Division & Appellate Terms	Personal Service	Nonpersonal Service	Total
Appellate Division			
1st Department	\$21,663,729	\$740,095	\$22,403,824
2nd Department	27,680,690	1,110,407	28,791,097
3rd Department	12,401,136	609,791	13,010,927
4th Department	12,779,452	2,016,322	14,795,774
Appellate Division Subtotal:	\$74,525,007	\$4,476,615	\$79,001,622
Appellate Division Undistributed			
	(\$1,431,104)	\$0	(\$1,431,104)
Appellate Term			
1st Department	\$2,098,143	\$8,500	\$2,106,643
2nd Department	3,871,177	142,142	4,013,319
Appellate Term Subtotal:	\$5,969,320	\$150,642	\$6,119,962
Total:	<u>\$79,063,223</u>	<u>\$4,627,257</u>	<u>\$83,690,480</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	75,011,512	78,838,123	3,826,611
PS Temporary	108,992	93,000	(15,992)
PS Overtime	117,500	132,100	14,600
Total Personal Service	75,238,004	79,063,223	3,825,219
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,022,347	2,023,447	1,100
Supplies and Materials	314,981	316,161	1,180
Travel	388,100	425,200	37,100
Equipment Rental and Repairs	296,271	296,093	(178)
Real Estate Rentals	70,023	70,023	0
Conferences and Training	11,700	9,700	(2,000)
Postage and Printing	289,111	307,817	18,706
Telecommunications	94,396	93,396	(1,000)
Information Technology Services	113,800	113,800	0
Records Management Services	71,010	48,510	(22,500)
Other Professional Services	66,700	58,850	(7,850)
In-Part Services	800	800	0
Judicial Hearing Officers	160,000	157,000	(3,000)
Security Services	545,000	545,000	0
Equipment	161,460	161,460	0
Total Nonpersonal Service	4,605,699	4,627,257	21,558
Grand Total	79,843,703	83,690,480	3,846,777

Appellate Court Operations 2015 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,444	3,072
2nd Department	3,787	11,600
3rd Department	1,832	1,632
4th Department	1,338	1,636
Total:	<u>2,401</u>	<u>17,940</u>

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	455	420
2nd Department	2,902	2,954
Total:	<u>3,357</u>	<u>3,374</u>

MAJOR PURPOSE SUMMARY
Appellate Auxiliary Operations

2017-18 All Funds Budget: Request:		\$233,093,666
	General Fund:	186,451,746
	State Special Revenue Funds:	46,641,920

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2017-18 Funding

The Appellate Auxiliary Operations State Operations All Funds budget request is \$233.1 million or an increase of \$2.2 million (1.0%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$46.6 million represents an increase of \$0.5 million (1.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The personal service request also reflects an increase of \$0.5 million for payments to proctors for the bar exam with a corresponding offset to the nonpersonal service category. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$0.5 million for temporary service to support ongoing operations for the MHLS Program (\$0.1 million) and the Attorney Discipline Program (\$0.4 million). Overtime funding is also included to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: attorney for the child representation provided through both contractual providers and panel attorneys; indigent criminal defense funding for defense providers in New York City; assigned counsel costs for attorney representation under section 35 of the Judiciary Law; transcript costs and other professional services (forensic evaluations) required for administrative hearings and court proceedings for the MHLS, Attorney Discipline, Attorney for the Child and Assigned Counsel Programs; and real estate rentals for the NYS Bar Examination testing sites and for the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for travel, equipment rental and repairs, supplies and materials, legal reference materials and online services.

The nonpersonal service request of \$186.4 million reflects an increase of \$1.7 million (0.9%) over current year funding. The increase supports cost of living adjustments to contractual providers in the attorney for child representation and indigent criminal defense categories. The increase is partially offset by a decrease of \$0.5 million in other general services within the other professional services category for payments to proctors in the Candidate Exam program. This funding requirement is now reflected in the personal service request. Other expenditure-based reductions in legal reference materials and online services, transcripts, telephones within the telecommunications category, as well as expert witness and forensic evaluation costs within the other professional services category are also noted.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$11,157,213	\$4,215,500	\$15,372,713
2nd Department	19,788,256	18,280,747	38,069,003
3rd Department	6,245,083	14,467,081	20,712,164
4th Department	8,203,288	17,037,238	25,240,526
Attorney for the Child Contracts	0	71,826,991	71,826,991
Court of Appeals Assigned Counsel	0	5,000	5,000
Board of Law Examiners	2,084,982	3,567,000	5,651,982
Indigent Criminal Defense	0	54,133,471	54,133,471
Undistributed	(833,856)	2,915,672	2,081,816
Total:	<u>\$46,644,966</u>	<u>\$186,448,700</u>	<u>\$233,093,666</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	45,647,378	46,119,882	472,504
PS Temporary	474,925	507,884	32,959
PS Overtime	17,000	17,200	200
Total Personal Service	46,139,303	46,644,966	505,663
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	274,111	260,445	(13,666)
Supplies and Materials	254,082	256,672	2,590
Travel	501,700	501,300	(400)
Equipment Rental and Repairs	314,935	314,584	(351)
Real Estate Rentals	2,736,072	2,755,266	19,194
Conferences and Training	122,200	127,500	5,300
Postage and Printing	239,549	238,865	(684)
Telecommunications	139,201	118,651	(20,550)
Information Technology Services	27,904	27,404	(500)
Accounting and Auditing Services	115,000	115,000	0
Records Management Services	113,150	127,450	14,300
Other Professional Services	5,754,092	5,177,692	(576,400)
Attorney for the Child Representation	117,419,078	118,593,722	1,174,644
Assigned Counsel	1,882,000	1,882,000	0
Indigent Criminal Defense	54,506,689	55,619,070	1,112,381
In-Part Services	11,560	10,560	(1,000)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	323,219	309,519	(13,700)
Total Nonpersonal Service	184,747,542	186,448,700	1,701,158
Grand Total	230,886,845	233,093,666	2,206,821

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	30,366,869	30,243,814	(123,055)
PS Temporary	119,717	121,761	2,044
PS Overtime	1,000	1,200	200
Total Personal Service	30,487,586	30,366,775	(120,811)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	158,502	147,986	(10,516)
Supplies and Materials	107,879	110,984	3,105
Travel	390,000	394,100	4,100
Equipment Rental and Repairs	90,816	94,965	4,149
Real Estate Rentals	1,164,628	1,160,333	(4,295)
Conferences and Training	109,500	113,500	4,000
Postage and Printing	70,797	64,035	(6,762)
Telecommunications	83,651	66,951	(16,700)
Information Technology Services	15,004	14,604	(400)
Records Management Services	28,100	25,700	(2,400)
Other Professional Services	2,718,742	2,666,742	(52,000)
Attorney for the Child Representation	92,419,078	93,593,722	1,174,644
Assigned Counsel	1,882,000	1,882,000	0
Indigent Criminal Defense	54,506,689	55,619,070	1,112,381
In-Part Services	4,560	3,560	(1,000)
Transcripts	127,419	126,719	(700)
Total Nonpersonal Service	153,877,365	156,084,971	2,207,606
Grand Total	184,364,951	186,451,746	2,086,795

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	15,280,509	15,876,068	595,559
PS Temporary	355,208	386,123	30,915
PS Overtime	16,000	16,000	0
Total Personal Service	15,651,717	16,278,191	626,474
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	115,609	112,459	(3,150)
Supplies and Materials	146,203	145,688	(515)
Travel	111,700	107,200	(4,500)
Equipment Rental and Repairs	224,119	219,619	(4,500)
Real Estate Rentals	1,571,444	1,594,933	23,489
Conferences and Training	12,700	14,000	1,300
Postage and Printing	168,752	174,830	6,078
Telecommunications	55,550	51,700	(3,850)
Information Technology Services	12,900	12,800	(100)
Accounting and Auditing Services	115,000	115,000	0
Records Management Services	85,050	101,750	16,700
Other Professional Services	3,035,350	2,510,950	(524,400)
In-Part Services	7,000	7,000	0
Judicial Hearing Officers	13,000	13,000	0
Transcripts	195,800	182,800	(13,000)
Total Nonpersonal Service	5,870,177	5,363,729	(506,448)
Grand Total	21,521,894	21,641,920	120,026

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

APPELLATE AUXILIARY OPERATIONS
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations 2015 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	7,683	12
Children's Law Center NYC	6,744	49
Children's Rights Society	2,541	0
Citizens Concerned for Childre	795	0
Genesee County Public Defend	191	0
Lawyers For Children	5,381	0
Legal Aid Society NYC	32,729	193
Legal Aid Society Rochester	5,097	7
Legal Aid Society Rockland	1,850	8
Legal Aid Society Suffolk	12,044	47
Sullivan Trail Legal Aid	2,358	1
Clinton County	750	0
Franklin County	450	0
Fulton County	350	0
Otsego County	635	0
Schuyler County	105	0
Children's Law Center Erie Co	2,584	8
Total:	<u>82,287</u>	<u>325</u>

Appellate Auxiliary Operations 2015 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	50,836	44,820
2nd Department	99,950	191,490
3rd Department	29,514	43,812
4th Department	50,750	62,749
Total:	<u>231,050</u>	<u>342,871</u>

Candidate Examination

State Board of Law Examiners	2015
Candidates for Examination	16,273
Individuals with Disabilities Applicants	421
Applicants for Certification	831
MPRE Scores Transferred In	12,250
Foreign Evaluations	3,205

Appellate Auxiliary Operations 2015 Workload

Candidate Fitness

Department	Application for Admissions
1st Department	2,552
2nd Department	2,351
3rd Department	3,602
4th Department	364
Total:	<u>8,869</u>

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	2,709	3,146
2nd Department	4,245	4,966
3rd Department	3,068	1,267
4th Department	1,660	1,973
Total:	<u>11,682</u>	<u>11,352</u>

APPELLATE AUXILIARY OPERATIONS
Assigned Counsel Program

2017-18 Budget Request:	\$57,656,570
Personal Service:	0
Nonpersonal Service:	57,656,570
Maintenance Undistributed:	0

Program Description

This Program provides funding for legal services and forensic evaluations required by section 35 of the Judiciary Law.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County-Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2017-18 Funding Request

The Assigned Counsel General Fund budget request is \$57.7 million or an increase of \$1.1 million (2.0%) over the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

The increase of \$1.1 million is attributable to cost of living adjustments for indigent criminal defense providers in New York City and for an expenditure-based increase in forensic evaluation costs in matters related to section 35 of the Judiciary Law.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$250,000	\$250,000
2nd Department	0	700,000	700,000
3rd Department	0	25,000	25,000
4th Department	0	800,000	800,000
Court of Appeals	0	5,000	5,000
Indigent Criminal Defense	0	54,133,471	54,133,471
Undistributed	0	1,743,099	1,743,099
Total:	<u>\$0</u>	<u>\$57,656,570</u>	<u>\$57,656,570</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Travel	0	2,500	2,500
Other Professional Services	130,000	150,000	20,000
Assigned Counsel	1,882,000	1,882,000	0
Indigent Criminal Defense	54,506,689	55,619,070	1,112,381
Transcripts	3,000	3,000	0
Total Nonpersonal Service	<u>56,521,689</u>	<u>57,656,570</u>	<u>1,134,881</u>
Grand Total	56,521,689	57,656,570	1,134,881

APPELLATE AUXILIARY OPERATIONS
Attorney for the Child Program

2017-18 Budget Request: \$122,245,951	
Personal Service:	2,314,915
Nonpersonal Service:	119,931,036
Maintenance Undistributed:	0

Program Description

This Program provides funding for legal representation to children in Family Court matters.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. This legal representation is provided through the Attorney for the Child Program with oversight by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Summary of 2017-18 Funding Request

The Attorney for the Child All Funds budget request is \$122.2 million or an increase of \$1.1 million (0.9%) over the current year adjusted appropriation.

The personal service request of \$2.3 million represents an increase of \$26,743 (1.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The nonpersonal service budget primarily supports payments to legal service providers and individual panel attorneys who provide legal representation to children (Attorney for Child Representation), and includes related expenses such as forensic evaluations (other professional services) and transcripts. Funding also includes statutorily mandated training (conferences and training) as well as litigation support and legal reference materials for these attorneys.

The nonpersonal service request of \$119.9 million reflects an increase of \$1.1 million (0.9%) over current year funding. The increase is primarily attributable to cost of living adjustments for contractual providers. Also noted are expenditure-based increases in conferences and training and travel. These increases are partially offset by expenditure-based reductions in transcripts, postage and printing, and other professional services for expert witness costs.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Attorney for the Child Program

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$320,912	\$3,290,100	\$3,611,012
2nd Department	773,657	15,361,100	16,134,757
3rd Department	638,344	13,514,101	14,152,445
4th Department	582,002	14,766,171	15,348,173
Attorney for the Child Contracts	0	71,826,991	71,826,991
Undistributed	0	1,172,573	1,172,573
Total:	<u>\$2,314,915</u>	<u>\$119,931,036</u>	<u>\$122,245,951</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,287,172	2,313,715	26,543
PS Overtime	1,000	1,200	200
Total Personal Service	2,288,172	2,314,915	26,743
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,300	3,055	(245)
Supplies and Materials	13,250	14,050	800
Travel	26,500	31,600	5,100
Equipment Rental and Repairs	9,045	10,900	1,855
Real Estate Rentals	62,712	63,609	897
Conferences and Training	106,000	108,500	2,500
Postage and Printing	14,684	10,100	(4,584)
Telecommunications	3,700	3,000	(700)
Information Technology Services	900	500	(400)
Records Management Services	1,000	1,400	400
Other Professional Services	1,117,900	1,038,900	(79,000)
Attorney for the Child Representation	117,419,078	118,593,722	1,174,644
In-Part Services	1,000	1,000	0
Transcripts	56,000	50,700	(5,300)
Total Nonpersonal Service	118,835,069	119,931,036	1,095,967
Grand Total	121,123,241	122,245,951	1,122,710

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,287,172	2,313,715	26,543
PS Overtime	1,000	1,200	200
Total Personal Service	<u>2,288,172</u>	<u>2,314,915</u>	<u>26,743</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,300	3,055	(245)
Supplies and Materials	13,250	14,050	800
Travel	26,500	31,600	5,100
Equipment Rental and Repairs	9,045	10,900	1,855
Real Estate Rentals	62,712	63,609	897
Conferences and Training	106,000	108,500	2,500
Postage and Printing	14,684	10,100	(4,584)
Telecommunications	3,700	3,000	(700)
Information Technology Services	900	500	(400)
Records Management Services	1,000	1,400	400
Other Professional Services	1,117,900	1,038,900	(79,000)
Attorney for the Child Representation	92,419,078	93,593,722	1,174,644
In-Part Services	1,000	1,000	0
Transcripts	56,000	50,700	(5,300)
Total Nonpersonal Service	<u>93,835,069</u>	<u>94,931,036</u>	<u>1,095,967</u>
Grand Total	96,123,241	97,245,951	1,122,710

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ATTORNEY FOR THE CHILD
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	<u>25,000,000</u>	<u>25,000,000</u>	<u>0</u>
Total Nonpersonal Service	25,000,000	25,000,000	0
 Grand Total	 25,000,000	 25,000,000	 0

APPELLATE AUXILIARY OPERATIONS
Mental Hygiene Legal Service Program

2017-18 Budget Request:	\$32,100,688
Personal Service:	28,603,323
Nonpersonal Service:	3,497,365
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2017-18 Funding Request

The MHLS General Fund budget request is \$32.1 million or a decrease of \$26,571 (-0.1%) from the current year adjusted appropriation.

The personal service request of \$28.6 million represents a slight decrease \$3,329 from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$121,761 in temporary service for attorneys and support staff in the Second and Third Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.5 million reflects a decrease of \$23,242 (-0.7%) from current year funding. The decrease is attributable to cost-based decreases in real estate leases and legal reference materials as well as expenditure-based decreases in travel, printing and telephones within the telecommunications category. The decrease is offset by a growing need for expert witnesses and transcripts related to sex offender civil confinement litigation.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Services Program

Mental Hygiene Legal Services	Personal Service	Nonpersonal Service	Total
1st Department	\$6,070,908	\$389,700	\$6,460,608
2nd Department	12,510,574	1,332,639	13,843,213
3rd Department	4,409,469	635,095	5,044,564
4th Department	5,612,372	1,139,931	6,752,303
Total:	<u>\$28,603,323</u>	<u>\$3,497,365</u>	<u>\$32,100,688</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	28,486,935	28,481,562	(5,373)
PS Temporary	119,717	121,761	2,044
Total Personal Service	28,606,652	28,603,323	(3,329)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	155,202	144,931	(10,271)
Supplies and Materials	94,629	96,934	2,305
Travel	363,500	360,000	(3,500)
Equipment Rental and Repairs	81,771	84,065	2,294
Real Estate Rentals	1,101,916	1,096,724	(5,192)
Conferences and Training	3,500	5,000	1,500
Postage and Printing	56,113	53,935	(2,178)
Telecommunications	79,951	63,951	(16,000)
Information Technology Services	14,104	14,104	0
Records Management Services	27,100	24,300	(2,800)
Other Professional Services	1,470,842	1,477,842	7,000
In-Part Services	3,560	2,560	(1,000)
Transcripts	68,419	73,019	4,600
Total Nonpersonal Service	3,520,607	3,497,365	(23,242)
Grand Total	32,127,259	32,100,688	(26,571)

APPELLATE AUXILIARY OPERATIONS
Candidate Examination Program

2017-18 Budget Request:	\$5,651,982
Personal Service:	2,084,982
Nonpersonal Service:	3,567,000
Maintenance Undistributed:	0

Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: Applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. Applicants who are admitted to practice in a reciprocal jurisdiction, have graduated from an ABA approved law school and have practiced for five of the preceding seven years may be admitted on motion without examination.

The State Board of Law Examiners develops and administers the bar examination twice annually. Beginning in July 2016, as adopted by the Court of Appeals, the Uniform Bar Examination (UBE) was first administered in New York in place of the Multi-State Bar Exam (MBE). The UBE is a high quality, uniform battery of tests that are administered simultaneously in the UBE jurisdictions. Applicants are also required to complete an online course and pass an online examination on New York law, as a requirement for admission.

The Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness program.

Summary of 2017-18 Funding Request

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.7 million or a slight decrease of \$36,168 (-0.6%) from the current year adjusted appropriation.

The personal service request of \$2.1 million represents an increase of \$0.5 million (28.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments and longevity bonuses, as required by law, for all eligible employees. The personal service request also reflects an increase of \$0.5 million for payments to examination proctors with a corresponding offset to the other professional services category.

The personal service budget also includes \$16,000 for overtime to support current levels of operations.

The nonpersonal service funding supports expenses associated with the administration of the Bar Examination including: other professional services for fees paid to the national association for the UBE exam, consultant fees and security costs; real estate rentals for testing locations and accounting and auditing services for processing credit card payments.

The nonpersonal service request of \$3.6 million reflects a decrease of \$0.5 million (-12.3%) in the other general services category for payments to proctors. This funding requirement is now reflected in the personal service request. The remaining nonpersonal service request is flat.

The Candidate Examination program collects revenue of approximately \$6.9 million annually in Bar Examination fees which is deposited into the General Fund.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Examination Program

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$2,084,982	\$3,567,000	\$5,651,982
Total:	<u>\$2,084,982</u>	<u>\$3,567,000</u>	<u>\$5,651,982</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,605,150	2,068,982	463,832
PS Overtime	16,000	16,000	0
Total Personal Service	1,621,150	2,084,982	463,832
<u>Nonpersonal Service</u>			
Supplies and Materials	23,000	23,000	0
Travel	47,000	47,000	0
Equipment Rental and Repairs	125,000	125,000	0
Real Estate Rentals	775,000	775,000	0
Postage and Printing	57,500	57,500	0
Telecommunications	32,000	32,000	0
Information Technology Services	7,500	7,500	0
Accounting and Auditing Services	115,000	115,000	0
Other Professional Services	2,880,000	2,380,000	(500,000)
In-Part Services	5,000	5,000	0
Total Nonpersonal Service	4,067,000	3,567,000	(500,000)
Grand Total	5,688,150	5,651,982	(36,168)

APPELLATE AUXILIARY OPERATIONS
Candidate Fitness Program

2017-18 Budget Request:	\$1,194,257
Personal Service:	998,427
Nonpersonal Service:	195,830
Maintenance Undistributed:	0

Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2017-18 Funding Request

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.2 million or a slight increase of \$9,334 (0.8%) over the current year adjusted appropriation.

The personal service request of \$1.0 million represents an increase of \$18,454 (1.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, records management services, other professional services and transcripts.

The nonpersonal service request of \$0.2 million reflects a decrease of \$9,120 (-4.4%) from current year funding. The decrease is primarily attributable to cost saving measures in other general services within the other professional services category for rental space for admissions ceremonies. Expenditure-based reductions in transcripts and telephones within the telecommunications category are also noted. Expenditure-based increases in printing and records management services partially offset the overall decrease.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Candidate Fitness Program

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$437,915	\$36,200	\$474,115
2nd Department	560,512	62,150	622,662
3rd Department	0	84,300	84,300
4th Department	0	13,180	13,180
Total:	<u>\$998,427</u>	<u>\$195,830</u>	<u>\$1,194,257</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	979,973	998,427	18,454
Total Personal Service	979,973	998,427	18,454
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	8,350	6,200	(2,150)
Supplies and Materials	13,500	13,050	(450)
Travel	4,400	3,900	(500)
Equipment Rental and Repairs	8,200	7,100	(1,100)
Real Estate Rentals	135	0	(135)
Conferences and Training	4,300	5,600	1,300
Postage and Printing	49,515	51,630	2,115
Telecommunications	3,500	1,900	(1,600)
Information Technology Services	600	1,000	400
Records Management Services	51,300	60,700	9,400
Other Professional Services	34,350	19,950	(14,400)
Transcripts	26,800	24,800	(2,000)
Total Nonpersonal Service	204,950	195,830	(9,120)
 Grand Total	 1,184,923	 1,194,257	 9,334

APPELLATE AUXILIARY OPERATIONS
Attorney Discipline Program

2017-18 Budget Request: \$15,078,074	
Personal Service:	13,477,175
Nonpersonal Service:	1,600,899
Maintenance Undistributed:	0

Program Description

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2017-18 Funding Request

The Attorney Discipline Special Revenue Fund budget request is \$15.1 million or an increase of \$0.2 million (1.4%) over the current year adjusted appropriation.

The personal service request of \$13.5 million represents an increase of \$0.2 million (1.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$0.4 million for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services and supplies and materials.

The nonpersonal service request of \$1.6 million reflects a slight increase of \$2,672 (0.2%) over current year funding. The increase is attributable to cost-based increases in real estate leases and records management as well as an expenditure-based increase in postage. The overall increase is offset by expenditure-based decreases in transcripts, equipment rental and repairs and clerical service support and court appointed evaluations within the other professional services category.

Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund

Attorney Discipline Program

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$4,327,478	\$249,500	\$4,576,978
2nd Department	5,943,513	824,858	6,768,371
3rd Department	1,197,270	208,585	1,405,855
4th Department	2,008,914	317,956	2,326,870
Total:	<u>\$13,477,175</u>	<u>\$1,600,899</u>	<u>\$15,078,074</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,915,725	13,091,052	175,327
PS Temporary	355,208	386,123	30,915
Total Personal Service	13,270,933	13,477,175	206,242
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	107,259	106,259	(1,000)
Supplies and Materials	109,703	109,638	(65)
Travel	60,300	56,300	(4,000)
Equipment Rental and Repairs	90,919	87,519	(3,400)
Real Estate Rentals	796,309	819,933	23,624
Conferences and Training	8,400	8,400	0
Postage and Printing	61,737	65,700	3,963
Telecommunications	20,050	17,800	(2,250)
Information Technology Services	4,800	4,300	(500)
Records Management Services	33,750	41,050	7,300
Other Professional Services	121,000	111,000	(10,000)
In-Part Services	2,000	2,000	0
Judicial Hearing Officers	13,000	13,000	0
Transcripts	169,000	158,000	(11,000)
Total Nonpersonal Service	1,598,227	1,600,899	2,672
Grand Total	14,869,160	15,078,074	208,914

MAJOR PURPOSE SUMMARY
Administration and General Support

2017-18 All Funds Budget Request:	\$22,473,097
<i>General Fund:</i>	19,604,138
<i>State Special Revenue Funds:</i>	2,868,959

Major Purpose Description

The Administration and General Support Major Purpose requests funding to provide management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and inter-governmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, media relations and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed among the Lawyer's Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full State assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff who manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2017-18 Funding Request

The Administration and General Support Major Purpose State Operations All Funds budget request is \$22.5 million, or an increase of \$1.0 million (4.7%) over the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$19.3 million represents an increase of \$0.4 million (2.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Also reflected in the personal service request is \$17,600 for overtime to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: supplies and materials, travel, postage and printing, and conferences and training. Also reflected in accounting and auditing services is the payment of credit card fees associated with online payment of attorney registration fees.

The nonpersonal service request is \$3.1 million, or an increase of \$0.6 million (23.1%) over current year funding. Significant increases are reflected in conferences and training, accounting and auditing services and travel. The increase in conferences and training will allow the Judiciary to start incrementally restoring training programs that were eliminated due to budget cuts. The increase in accounting and auditing services relates to the continued increase in credit card usage by attorneys paying attorney registration fees. An expenditure-based increase in travel is also noted.

**Administration and General Support
Budget Summary - All Funds**

Administration & General Support	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$748,541	\$120,400	\$868,941
Communications	651,552	11,360	662,912
Counsel Activities	3,138,888	31,215	3,170,103
Deputy Chief Administrative Judges	1,916,873	48,500	1,965,373
Division of Financial Management	1,938,106	45,338	1,983,444
Executive Direction	2,145,411	163,000	2,308,411
Internal Audit Services	1,903,334	191,669	2,095,003
Judicial Institute Education & Training	1,238,047	1,199,000	2,437,047
Jury, Court Statistics & Operations	1,215,314	46,610	1,261,924
HR Civil Service & Labor Administration	2,315,408	208,250	2,523,658
Office for Family Violence Cases	0	95,250	95,250
Attorney Registration	799,160	898,709	1,697,869
Court Facilities Administration	1,160,090	11,000	1,171,090
Web Design	487,529	57,800	545,329
Undistributed	(313,257)	0	(313,257)
Total:	<u>\$19,344,996</u>	<u>\$3,128,101</u>	<u>\$22,473,097</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,905,160	19,327,396	422,236
PS Overtime	16,550	17,600	1,050
Total Personal Service	18,921,710	19,344,996	423,286
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	26,435	23,210	(3,225)
Supplies and Materials	116,415	118,100	1,685
Travel	613,500	675,850	62,350
Equipment Rental and Repairs	25,509	23,409	(2,100)
Real Estate Rentals	83,000	83,500	500
Conferences and Training	273,100	754,635	481,535
Postage and Printing	312,750	325,650	12,900
Information Technology Services	101,335	121,882	20,547
Accounting and Auditing Services	630,000	665,000	35,000
Records Management Services	50,000	40,000	(10,000)
Other Professional Services	265,000	256,000	(9,000)
ADR/SCAR/Arbitration	10,000	5,000	(5,000)
Transcripts	33,865	35,865	2,000
Total Nonpersonal Service	2,540,909	3,128,101	587,192
Grand Total	21,462,619	22,473,097	1,010,478

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,745,776	17,378,146	632,370
PS Overtime	6,550	7,600	1,050
Total Personal Service	16,752,326	17,385,746	633,420
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	26,435	23,210	(3,225)
Supplies and Materials	102,915	104,600	1,685
Travel	605,250	667,600	62,350
Equipment Rental and Repairs	22,050	19,950	(2,100)
Real Estate Rentals	83,000	83,500	500
Conferences and Training	273,100	754,635	481,535
Postage and Printing	103,350	106,150	2,800
Information Technology Services	101,335	121,882	20,547
Accounting and Auditing Services	20,000	40,000	20,000
Other Professional Services	265,000	256,000	(9,000)
ADR/SCAR/Arbitration	10,000	5,000	(5,000)
Transcripts	33,865	35,865	2,000
Total Nonpersonal Service	1,646,300	2,218,392	572,092
Grand Total	18,398,626	19,604,138	1,205,512

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	938,893	789,160	(149,733)
PS Overtime	10,000	10,000	0
Total Personal Service	<u>948,893</u>	<u>799,160</u>	<u>(149,733)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	10,000	10,000	0
Travel	750	750	0
Equipment Rental and Repairs	3,459	3,459	0
Postage and Printing	209,400	219,500	10,100
Accounting and Auditing Services	610,000	625,000	15,000
Records Management Services	50,000	40,000	(10,000)
Total Nonpersonal Service	<u>883,609</u>	<u>898,709</u>	<u>15,100</u>
 Grand Total	 1,832,502	 1,697,869	 (134,633)

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,220,491	1,160,090	(60,401)
Total Personal Service	1,220,491	1,160,090	(60,401)
<u>Nonpersonal Service</u>			
Supplies and Materials	3,500	3,500	0
Travel	7,500	7,500	0
Total Nonpersonal Service	11,000	11,000	0
 Grand Total	 1,231,491	 1,171,090	 (60,401)

MAJOR PURPOSE SUMMARY
Lawyers' Fund for Client Protection

2017-18 All Funds Budget Request: \$10,821,554	
General Fund:	0
Lawyers' Fund for Client Protection:	10,821,554

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection. The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2017-18 Funding Request: Lawyers' Fund for Client Protection

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$10.8 million, or an increase of \$15,693 (0.1%) over the current year adjusted appropriation.

Personal Service

The personal service request of \$0.5 million represents an increase of \$12,568 (2.5%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for the payment of longevity bonuses for eligible employees, as approved by the Board of Trustees.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$10.3 million, or an increase of \$3,125 (0.03%) over current year funding. This inflationary increase supports current levels of operation.

**Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund**

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$519,984	\$10,301,570	\$10,821,554
Total:	<u>\$519,984</u>	<u>\$10,301,570</u>	<u>\$10,821,554</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	507,416	519,984	12,568
Total Personal Service	507,416	519,984	12,568
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	8,000	7,000	(1,000)
Travel	25,000	25,000	0
Equipment Rental and Repairs	13,000	13,000	0
Real Estate Rentals	44,605	45,570	965
Postage and Printing	18,000	17,000	(1,000)
Telecommunications	6,000	6,000	0
Other Professional Services	10,173,840	10,178,000	4,160
Pension	98,496	97,715	(781)
Social Security and Medicare	34,898	36,947	2,049
Health, Dental, Vision and Life	98,270	107,980	9,710
Total Nonpersonal Service	10,530,109	10,544,212	14,103
 Grand Total	 11,037,525	 11,064,196	 26,671

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2017-18

Part II

Aid to Localities

MAJOR PURPOSE SUMMARY

Aid to Localities

2017-18 All Funds Budget Request: \$109,838,118	
General Fund:	3,000,000
Court Facilities Incentive Aid Fund:	106,838,118

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2017-18 Funding

The Aid to Localities All Funds budget request is \$109.8 million. This reflects an increase of \$2.4 million (2.3%) over the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$109.8 million, reflecting an increase of \$1.4 million in Cleaning and Minor Repairs, an increase of \$0.4 million in State Supported Facilities, a small increase of \$59,878 in Interest Aid and an increase of \$0.6 million to allow for partial restoration of the Justice Court Assistance Program.

**Aid to Localities
Budget Summary - All Funds**

<u>Aid to Localities</u>	<u>Maintenance Undistributed</u>	<u>Total</u>
Court Facilities Incentive Aid	\$106,838,118	\$106,838,118
Justice Court Assistance	\$3,000,000	\$3,000,000
Total:	<u>\$109,838,118</u>	<u>\$109,838,118</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,260,354	14,320,232	59,878
State Supported Facilities	14,686,489	15,106,411	419,922
Cleaning and Repairs	76,002,192	77,411,475	1,409,283
Justice Court Assistance Program	2,445,584	3,000,000	554,416
Total Maintenance Undistributed	107,394,619	109,838,118	2,443,499
 Grand Total	 107,394,619	 109,838,118	 2,443,499

AID TO LOCALITIES
Court Facilities Incentive Aid Program

2017-18 Budget Request:	\$106,838,118
Personal Service:	0
Nonpersonal Service:	0
Maintenance Undistributed:	106,838,118

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2017-18 Funding Request

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$106.8 million. This is an increase of \$1.9 million (1.8%) over the current year appropriation. An increase of \$1.4 million in Cleaning and Repairs supports rising wage and fringe benefit costs. A \$0.4 million increase in State Supported Facilities is for higher rental costs for Appellate Court facilities; and a \$59,878 increase is for interest aid subsidies.

The maintenance undistributed request reflects \$14.3 million for interest aid subsidies, \$77.4 million for reimbursement of court cleaning and minor repair expenses, and \$15.1 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$106,838,118	\$106,838,118
Total:	<u>\$106,838,118</u>	<u>\$106,838,118</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,260,354	14,320,232	59,878
State Supported Facilities	14,686,489	15,106,411	419,922
Cleaning and Repairs	76,002,192	77,411,475	1,409,283
Total Maintenance Undistributed	104,949,035	106,838,118	1,889,083
Grand Total	104,949,035	106,838,118	1,889,083

AID TO LOCALITIES
Justice Court Assistance Program

2017-18 Budget Request:	\$3,000,000
Personal Service:	0
Nonpersonal Service:	0
Maintenance Undistributed:	3,000,000

Program Description

This Program provides funding for State assistance to Town and Village Justice courts.

Justice Court Assistance Program ("JCAP"): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their online legal reference capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually provided to applicant towns and villages.

Summary of 2017-18 Funding Request

The Aid to Localities Justice Court Assistance Program budget request is \$3.0 million, or an increase of \$0.6 million (22.7%) over the current adjusted appropriation. This increase will allow the Judiciary to partially restore funding to the JCAP program. Funding was reduced during fiscal year 2011-12 when budget cuts were required.

The maintenance undistributed request of \$3.0 million will be distributed to local justice courts through this program.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

<u>Justice Court Assistance</u>	<u>Maintenance Undistributed</u>	<u>Total</u>
Justice Court Assistance	\$3,000,000	\$3,000,000
Total:	<u>\$3,000,000</u>	<u>\$3,000,000</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2017-2018

AID TO LOCALITIES
LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	2,445,584	3,000,000	554,416
Total Maintenance Undistributed	2,445,584	3,000,000	554,416
Grand Total	2,445,584	3,000,000	554,416

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2017-18

Part III
Capital Projects

Capital Appropriation Request: Building the Infrastructure for Excellence

The New York courts have made significant strides in harnessing the power of technology to better serve New Yorkers, and to improve efficiencies and productivity. These innovations played a key role in allowing the courts to maintain services in the face of very challenging budgets and resulting reductions in the workforce. Many of these innovations have also benefited state and local agencies, and our other partners in the justice system. The principal objectives of our technology efforts include the following:

- Promote Access to Justice
- Support Efficient Case Processing
- Facilitate Inter-Agency Transmission of Data
- Improve Oversight and Fiscal Monitoring
- Streamline Administrative Processes

We will continue these and similar efforts to leverage technology. But there is a critical need to invest in the infrastructure that makes these initiatives possible. Under the difficult budgetary constraints of recent years, the court system did not have sufficient funds to invest in maintaining and strengthening its technology infrastructure. The Judiciary is therefore seeking a \$15 million capital appropriation, to be used primarily to purchase the equipment and systems essential to the court system's automation efforts.

The requested capital appropriation will support a number of automation initiatives, including the following:

- Modernization of the Judiciary's Wide Area Network: The Judiciary has a secure, high-speed area network - CourtNet - that connects to every courthouse and court office in the state - approximately 300 locations. CourtNet consists of fiber and copper lines running throughout the state connected to thousands of network switches located both in major data centers and on every floor in every court facility throughout the state.

Much of this critical equipment is reaching the end of its expected life. A portion of the requested capital appropriation would be used for the replacement of the thousands of "closet" switches in our local courthouses that connect the network to end user computer equipment. Failure of these switches would cause major disruption to court operations - judges and court employees rely heavily on computer technology, from the more than 18,000 IP telephones that run over our data lines, to the programs that produce court calendars and generate court orders, to the systems that summon jurors for service.

- Acquisition of the Justice Court Case Management System More than 95 percent of the Town and Village Courts in New York use an automated case management system developed by Service Education, Inc. (“SEi”). In addition to an initial subscription charge, Justice Courts pay SEi an annual license fee, currently totaling \$1.5 million collectively.

The Judiciary proposes that \$4.5 million of the requested capital appropriation be designated for the purchase of the SEi system. The UCS would maintain the system, and provide it to the Justice Courts at no cost. The acquisition of the SEi case management system would have a number of important benefits, including:

- ▶ Enhanced Security Currently, the sensitive data in Justice Court case files are on private servers maintained by SEi. After acquisition, the data would be stored on state-owned and managed servers.
- ▶ Improved Data Transmission State ownership would facilitate modifications and upgrades to the system to improve data transfers, including transmission of case disposition data to the Division of Criminal Justice Services and the Department of Motor Vehicles, transmission of information about fine and surcharge collections to the State Comptroller, and transmission of data about criminal cases transferred to State-paid courts after arraignment in a Justice Court.
- ▶ Savings in Perpetuity While the primary reasons for the State acquisition of the SEi system are operational, there is also a significant financial benefit to local governments – a collective savings of \$1.5 million in license fees each year, in perpetuity.

In addition to the need to rebuild our technology infrastructure, there is also a need to invest in the security infrastructure necessary to ensure that our judges, employees, and the public are safe in our courthouses. Accordingly, a portion of the requested capital appropriation would be used to meet the following security needs:

- Acquisition of Bullet Proof Vests The vast majority of the bullet proof vests that the UCS provides to its court officers are nearing the end of their expected five-year life. The requested capital appropriation would fund this critical need to ensure the safety of our officers.
- Replacement of Magnetometers and X-Ray Scanning Machines The appropriation would be used to replace magnetometers and other security screening devices that have reached the end of their expected life.