The background of the entire page is a large, light-colored watermark of the Seal of the New York State Unified Court System. The seal features two figures, Liberty and Justice, flanking a central shield with a scale of justice. Above the shield is a sunburst and a banner with the word 'EXCELSIOR'.

**NEW YORK STATE
UNIFIED COURT SYSTEM**

FISCAL YEAR 2016-2017

BUDGET

Jonathan Lippman

CHIEF JUDGE

Lawrence K. Marks

CHIEF ADMINISTRATIVE JUDGE

Ronald P. Younkins

EXECUTIVE DIRECTOR
OFFICE OF COURT ADMINISTRATION

Barry R. Clarke

CHIEF OF OPERATIONS
OFFICE OF COURT ADMINISTRATION

Maureen H. McAlary

BUDGET DIRECTOR

EXCELSIOR

State of New York
Unified Court System



Lawrence K. Marks
Chief Administrative Judge

25 Beaver Street
New York, N.Y. 10004
(212) 428-2100

December 1, 2015

To: Honorable Andrew M. Cuomo Honorable Carl E. Heastie
 Honorable John J. Flanagan Honorable Jeffrey D. Klein
 Honorable Andrea Stewart-Cousins Honorable Brian M. Kolb
 Honorable John DeFrancisco Honorable Herman D. Farrell, Jr
 Honorable Liz Krueger Honorable Robert C. Oaks
 Honorable John J. Bonacic Honorable Helene E. Weinstein

From: Lawrence K. Marks *LM*

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2016. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2015.

The 2016-17 General Fund State Operations budget request totals \$1.9 billion, a cash increase of \$44.4 million, or 2.4 percent, over available current-year funds. In this coming fiscal year, the Judiciary must confront significant cost increases, including mandatory salary increases for represented nonjudicial employees, annualization of the cost of the five Family Court judgeships created effective January 1, 2016, and increases in nonpersonal service contractual obligations.

To meet these obligations, it is necessary for the Judiciary to seek an increase in the 2016-17 budget. This budget will allow us to continue our efforts to provide adequate staffing in the courts. Additional funds are also requested for civil legal services to ensure that the most vulnerable New Yorkers are not without counsel in cases involving the essentials of life.

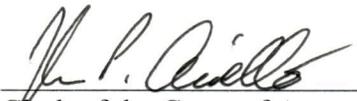
CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2016 and that they have been approved by the Court of Appeals.




Jonathan Lippman
Chief Judge of the Court of Appeals

ATTEST: 
Clerk of the Court of Appeals

Date: November 23, 2015

COURT OF APPEALS APPROVAL

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

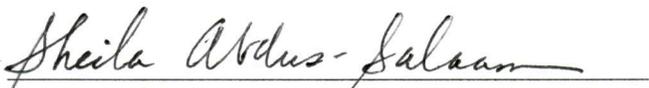
Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2016.


Jonathan Lippman
Chief Judge of the Court of Appeals

Associates Judges of the Court of Appeals:


Eugene F. Pigott, Jr.

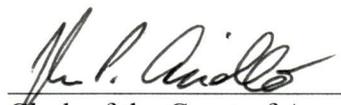

Jenny Rivera


Sheila Abdus-Salaam


Leslie E. Stein


Eugene M. Fahey



ATTEST: 
Clerk of the Court of Appeals

Date: November 23, 2015

2016-17 JUDICIARY BUDGET

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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2016-17

Executive Summary

**2016-17 JUDICIARY BUDGET REQUEST
EXECUTIVE SUMMARY**

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JUDICIARY
2016-2017 BUDGET REQUEST
EXECUTIVE SUMMARY

Pursuant to Article VII, Section 1 of the State Constitution, the Judiciary respectfully submits the following itemized estimate of its financial needs for Fiscal Year 2016-2017.

This budget request is the product of a delicate balancing of two fundamental duties of the Judicial Branch – its responsibility as a co-equal partner in government to prudently manage the expenditure of public funds and its obligation as an independent branch to ensure the timely and fair administration of justice to every person who comes to our courthouses. Based on a careful weighing of these twin duties, the Judiciary has determined that the minimum cash funding necessary to provide the courts with the resources needed to fulfill their constitutional mission is \$1.9 billion for General Fund State Operations. This request represents an increase of \$44.4 million, or 2.4 percent, over current-year funding.

There can be no doubt as to the Judiciary’s commitment to fiscal prudence, and its willingness and ability to work with the Executive and Legislative branches of government to preserve and enhance the State’s fiscal stability. For years, the Judiciary faced significant, non-discretionary cost escalation without corresponding increases in funding. In Fiscal Year 2009-2010, the General Fund State Operating portion of the Judiciary budget, which supports court operations, was \$1.786 billion. Six years later, that amount is \$1.85 billion, an increase of only \$64 million, or 3.5 percent – about 0.6 percent annually, far less than the rate of inflation. In the face of that modest, less-than-inflation growth in funding, the Judiciary absorbed hundreds of millions of dollars in higher costs.¹

During those years, the Judiciary made great strides in improving efficiencies and reducing costs, rethinking every aspect of court operations from top-to-bottom and critically scrutinizing every expenditure. This perpetual re-examination of what we are doing and how we are doing it is crucial to the Judiciary’s ability to live within its means while still fulfilling its constitutional role. The following principles and objectives have guided the Judiciary as it manages the finite available resources to best serve the justice needs of New Yorkers:

Re-tooling to Harness the Power of Technology

We continuously seek ways to leverage technology to better serve the people of New York. For example:

¹Those increased costs include funding for indigent criminal legal services to meet statutory caseload standards, judicial salary adjustments implemented pursuant to the recommendations of the 2011 Special Commission on Judicial Compensation, mandated salary increments for nonjudicial employees, civil legal services, and contract security services provided by county and city law enforcement agencies.

- E-filing continues to expand, and improve court operations, while offering significant savings and convenience to the courts, County Clerks, attorneys and litigants.²
- Recent enhancements to the Family Court case management system allow for the automated production of Orders of Protection in English and Spanish, with other languages to be added in the future.
- We are partnering with a variety of agencies, at both the state and local levels, to improve the transmission of data, and thereby promote efficiency and accuracy, while also reducing costs and eliminating the need for each agency to separately enter the same data in its systems.
- A new, simple web-based automated program, implemented this year in all 62 counties, is assisting advocates to remotely prepare family offense petitions on behalf of domestic violence victims. This same technology will soon help litigants prepare thousands of Family Court petitions without the need to go to the courthouse, enhancing our service to self-represented litigants, while significantly reducing the burdens on litigants and Family Court staff.
- We are piloting audio and video technology to provide remote assistance to self-represented litigants.
- Judges will soon be able to produce orders directly from the case management system and then electronically sign these orders, increasing efficiency and permitting direct electronic storage and transmission of court orders.
- A new tablet-based system gives public defenders access to the accusatory instrument – i.e., the summons – for all defendants who appear in the Summons parts in New York City.

Pursuing Efficiency and Innovation

We are continuously reviewing and, whenever possible, streamlining procedures and adjusting staff deployments. Among the many administrative efficiencies that we have achieved are:

- Enhancements to the web-based Attorney for the Child voucher system improve controls and ensure the accuracy of billing and payments for this \$44 million a year program.
- Criminal history searches can now be ordered online, providing greater service to the public while streamlining the administration of this program, which annually collects more than \$112 million for the State Treasury.
- The deployment of Internet Protocol telephones, which operate over the court system's own data network, has sharply reduced our communication expenses by virtually eliminating monthly telephone charges.

²The comprehensive amendment of the e-filing legislation enacted this year, chapter 237 of the laws of 2015, gives the Chief Administrative Judge greater authority to initiate e-filing programs and will facilitate and expedite the expansion of this program when the courts, the bar, and the county clerk are all prepared to move forward.

- Central and regional centers for the scanning and printing of millions of juror qualification questionnaires and summonses take advantage of economies of scale to save equipment costs and reduce the burden on local commissioner of jurors' offices.
- An automated real-time system for tracking the arraignment process in New York City helps ensure compliance with statutory time requirements.

Reinventing the Justice System

It is not enough to just streamline and automate existing processes. Old ways of doing business must be continuously questioned and challenged and, when necessary, new models created. The New York State courts have earned a well-deserved reputation for crafting new, innovative approaches to old problems. Some of our recent efforts include:

- Expansion of our specialty courts, including Veterans Courts, which provide veterans involved in the criminal justice system with links to community-based services and other resources to help with the addiction, mental illness and other issues that disproportionately affect the veteran community.
- New York is the recognized national leader among state courts in addressing the problem of human trafficking. Human Trafficking Intervention Courts incorporate the key principles of the problem-solving courts, and bring expertise in the legal issues presented in these cases, as well as the ability to address the unique needs of trafficking victims.
- New York's bail system is broken: too many defendants are incarcerated prior to trial for no reason other than that they are poor and cannot pay even a modest cash bail. Pending enactment of legislative reform, the New York courts are doing what they can administratively, including a new procedure in which a second judge conducts a *de novo* review of the bail amount in every case where a misdemeanor defendant cannot make bail.
- New York is one of only two states that set the age of criminal responsibility at 16. Pending legislative reform, we have established Adolescent Diversion Parts, which provide age-appropriate services to adolescent defendants, and attempt to resolve the criminal case with a non-criminal disposition and to avoid the use of jail as a sanction.
- The New York courts have also taken action to ensure a fair process in consumer debt cases. In particular, we have addressed such abuses as entry of default judgments despite insufficient or incorrect factual proof, filings after the expiration of the statute of limitation, and inadequate service of process.
- A new web-based case management dashboard permits court administrators and our criminal justice partners in New York City to closely monitor the age of the felony caseload and manage the progress of these cases. This new tool is helping reduce time to disposition and minimize the time and expense of pre-trial detention.

Bridging the Justice Gap

Ensuring equal access to justice is fundamental to the mission of the Judiciary. Yet there are many economic, social, institutional, geographic and other obstacles to this goal. Too many low income New Yorkers lack the means to retain an attorney, and are left to navigate New York's complex legal system on their own.

The Judiciary has taken a broad range of actions to address this justice gap. The centerpiece of our efforts is the Judiciary Civil Legal Services Program, which awards grants to non-profit organizations that provide direct legal representation to low-income New Yorkers facing housing, consumer debt and other civil legal problems that relate to the essentials of life. The lack of representation in these cases exacts not only a profound human and social toll on the most vulnerable New Yorkers, but also imposes significant additional burdens on judges and court staff, including more and longer court appearances. The result is delay and inefficiency, as well as increased costs, both to the court system and to represented parties. In the current year, the Judiciary budget supports \$85 million in civil legal services funding. Next year, \$100 million of the Judiciary budget will support the provision of civil legal services for low-income New Yorkers.³

While the cornerstone of the Judiciary's efforts to bridge the justice gap, the civil legal services grants program is by no means the only action we are taking. State funding is not, and should not be, the only solution to this problem. For that reason, the Judiciary is pursuing a comprehensive plan, including efforts to encourage greater pro bono work by attorneys and the use of trained volunteer non-attorneys. Among the many components of this plan are:

- As a condition of admission to the bar, every law school graduate must now complete 50 hours of pro bono work. A central purpose of this new requirement is to instill in new attorneys an understanding that pro bono service is a bedrock value of their profession and to encourage a lifelong commitment to serving the community.
- To encourage pro bono work by experienced attorneys who are otherwise retired from the practice of law, an attorney emeritus status has been created to allow these attorneys to continue to contribute to the community while still enjoying the status and benefits of being a retired attorney.
- New reporting requirements on the biennial attorney registration forms serve to remind attorneys of the obligation to provide pro bono service, and will also provide data that will assist in assessing the degree to which the legal needs of low-income New Yorkers are being met.

³These funds not only benefit the parties represented, but are also a sound investment by the State: for every dollar invested in civil legal services, the State of New York receives more than ten dollars in economic benefit as a result of reduced social services and other public expenses and increased inflow of federal benefits. Testimony of Neil Steinkamp, Managing Director, Stout Risius Ross, at The Chief Judge's Hearing on Civil Legal Services, Appellate Division, First Dep't, Sept. 29, 2015.

- While representation by an attorney is preferred in any court case, that goal is not currently in reach; however, there is a role that non-attorneys can play. The court-sponsored Navigator Program uses trained, non-lawyer volunteers to assist litigants, for example, in filing an answer or by making referrals for further assistance. The Navigator Program will be further expanded in the coming year.
- The recently launched Legal Hand initiative takes the concept behind the Navigator Program, extending it outside of the courthouse and into the community. Trained non-attorney volunteers, working under the supervision of an attorney, are placed in storefront offices in low-income communities, to provide legal information and assistance on a range of essentials-of-life issues, including housing, employment, immigration, and public benefits.

* * * * *

We are proud of these and our other initiatives and innovations. But the fact is that the austere budgets of the past years imposed a price. Our efforts to improve efficiencies and reduce costs produced significant savings, but were not sufficient to offset the entire amount of the hundreds of millions of dollars in new costs that we faced. For that reason, the Judiciary’s budgets from Fiscal Years 2009-2010 to 2013-2014 were, of necessity, attrition budgets. The only way for the court system to live within its means in the face of increased costs was to reduce the size of its workforce by not refilling positions as employees left service. Despite the extraordinary efforts of our Judges and employees, service to the public suffered. For example, the loss of back office staff led to delays in processing court documents. In many courthouses, the loss of court officers and other courtroom staff caused delays in opening court parts.

The budgets for Fiscal Years 2014-2015 and 2015-2016 provided increases to offset some new costs, so that our fiscal plan was not premised on the need to reduce our workforce. For the first time in years, we were able to maintain the size of our workforce, and, in fact, to add a limited number of operationally critical positions, allowing us to ameliorate some of the harm of the years of attrition-based budgets.

In Fiscal Year 2016-2017, the Judiciary will again face significant cost increases, including mandatory salary increases for represented non-judicial employees, annualization of the cost of the five Family Court judgeships created effective January 1, 2016, increases in contractual obligations, such as the contracts with local governments to provide court security in certain areas of the state, and increased funding for civil legal services.⁴

⁴There is also the currently unknown cost of a salary adjustment for judges that will be recommended by the Commission on Legislative, Judicial and Executive Compensation, to take effect on April 1, 2016. The recommendations of the Commission with respect to judicial compensation are due by December 31, 2015, and therefore the cost of the recommended adjustment is not now known and is not included in this request. If necessary, the Judiciary will submit a supplemental budget request to cover the cost of the April 2016 salary adjustment.

We simply cannot absorb the new costs without the requested increase in funding. Without this increase there will be an unavoidable impact on the quality of service provided to the people of New York. With the requested increase, we will be able to continue our efforts to ensure adequate staffing in the clerk, court officer, interpreter, court reporter, and other back office and courtroom titles that are critical to providing service to the public.

In sum, this submission was crafted in view of both the Judiciary's obligation to be a faithful steward of public funds and the Judiciary's fundamental and independent duty to provide timely and fair justice to all New Yorkers. The submission seeks the minimum funding necessary to provide the resources needed to fulfill the courts' constitutional mission.

**Unified Court System
2016-17 Budget Request
All Funds Disbursement Requirements
(Millions \$)**

Category/Fund	<u>2015-16 Planned</u>	<u>2016-17 Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	1,850.1	1,894.5	44.4
Special Revenue - Federal	7.0	7.5	0.5
NYC County Clerks' Operations Offset Fund	23.2	23.7	0.5
Judiciary Data Processing Offset Fund	22.8	25.2	2.4
Miscellaneous Special Revenue Fund	24.8	25.2	0.4
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.9	1.6	(0.3)
Court & Agency Operations - All Funds Total	1,954.8	2,002.7	47.9
<hr/>			
Lawyers' Fund for Client Protection	10.7	10.7	0.0
<hr/>			
<u>Aid to Localities</u>			
General Fund	2.4	2.4	0.0
Court Facilities Incentive Aid Fund	104.2	104.9	0.7
Aid to Localities - All Funds Total	106.6	107.3	0.7
<hr/>			
Capital Projects	5.1	5.1	0.0
<hr/>			
General Fund Total	1,852.5	1,896.9	44.4
<hr/>			
All Funds Total	2,077.2	2,125.8	48.6
<hr/>			

**Unified Court System
2016-17 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

State Operations	2015-16	2016-17	
General Fund	<u>Available</u>	<u>Requested</u>	<u>Change</u>
Courts of Original Jurisdiction	\$1,565,780,791	\$1,605,020,638	\$39,239,847
Court of Appeals	\$15,286,324	\$15,682,446	\$396,122
Appellate Court Operations	\$79,446,098	\$79,843,703	\$397,605
Appellate Auxilliary Operations	\$182,463,042	\$185,477,332	\$3,014,290
Administration and General Support	\$18,072,736	\$18,398,626	\$325,890
State Operations - General Fund Total	\$1,861,048,991	\$1,904,422,745	\$43,373,754
<hr/>			
State Operations - Special Revenue Funds - Federal	\$8,000,000	\$8,500,000	\$500,000
<hr/>			
Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$23,297,481	\$23,837,839	\$540,358
Judiciary Data Processing Offset Fund	\$22,885,675	\$25,390,643	\$2,504,968
Miscellaneous Special Revenue Fund	\$1,000,000	\$1,000,000	\$0
Attorney Licensing Fund	\$23,870,011	\$24,442,425	\$572,414
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,741,683	\$1,732,213	(\$9,470)
Lawyers' Fund for Client Protection	\$10,782,613	\$10,805,861	\$23,248
State Operations - Special Revenue Funds - Other	\$108,577,463	\$112,208,981	\$3,631,518
<hr/>			
State Operations - All Funds - Total	\$1,977,626,454	\$2,025,131,726	\$47,505,272
<hr/>			
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Court Facilities Incentive Aid	\$104,200,000	\$104,949,035	\$749,035
Aid to Localities - All Funds Total	\$106,645,584	\$107,394,619	\$749,035
<hr/>			
Grand Total General Fund	\$1,863,494,575	\$1,906,868,329	\$43,373,754
<hr/>			
Grand Total All Funds	\$2,084,272,038	\$2,132,526,345	\$48,254,307
<hr/>			

**Unified Court System
2016-17 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2015-16 Available</u>	<u>2016-17 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,565,780,791	\$1,605,020,638	\$39,239,847
Special Revenue Funds	\$56,881,993	\$60,317,233	\$3,435,240
Total	\$1,622,662,784	\$1,665,337,871	\$42,675,087
Court of Appeals			
General Fund	\$15,286,324	\$15,682,446	\$396,122
Special Revenue Funds	\$0	\$0	\$0
Total	\$15,286,324	\$15,682,446	\$396,122
Appellate Court Operations			
General Fund	\$79,446,098	\$79,843,703	\$397,605
Special Revenue Funds	\$0	\$0	\$0
Total	\$79,446,098	\$79,843,703	\$397,605
Appellate Auxilliary Operations			
General Fund	\$182,463,042	\$185,477,332	\$3,014,290
Special Revenue Funds	\$46,165,232	\$46,521,894	\$356,662
Total	\$228,628,274	\$231,999,226	\$3,370,952
Administration & General Support			
General Fund	\$18,072,736	\$18,398,626	\$325,890
Special Revenue Funds	\$2,747,625	\$3,063,993	\$316,368
Total	\$20,820,361	\$21,462,619	\$642,258
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$10,782,613	\$10,805,861	\$23,248
Total	\$10,782,613	\$10,805,861	\$23,248
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Special Revenue Funds	\$104,200,000	\$104,949,035	\$749,035
Total	\$106,645,584	\$107,394,619	\$749,035
Total General Fund	\$1,863,494,575	\$1,906,868,329	\$43,373,754
Total Special Revenue Funds	\$220,777,463	\$225,658,016	\$4,880,553
Grand Total All Funds	\$2,084,272,038	\$2,132,526,345	\$48,254,307

**Fiscal Year 2016-17
IOLA Support**

Appropriation Requirements

	<u>2015-16 Available</u>	<u>2016-17 Requested</u>	<u>Change</u>
Aid to Localities General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
<u>Aid to Localities - General Fund Total</u>	<u>\$15,000,000</u>	<u>\$15,000,000</u>	<u>\$0</u>

**Disbursement Requirements
(Million \$)**

	<u>2015-16 Planned</u>	<u>2016-17 Required</u>	<u>Change</u>
Aid to Localities General Fund - IOLA	15.0	15.0	0.0
<u>Aid to Localities - General Fund Total</u>	<u>15.0</u>	<u>15.0</u>	<u>0.0</u>

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2016.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2016-17

	Appropriations	Reappropriations
General Fund - State and Local.....	1,906,868,329	-
Special Revenue Funds - Federal.....	8,500,000	12,800,000
Special Revenue Funds - Other	217,158,016	20,960,000
All Funds	2,132,526,345	33,760,000

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	1,904,422,745	2,445,584	-	1,906,868,329
SR - Federal	8,500,000	-	-	8,500,000
SR - Other	112,208,981	104,949,035	-	217,158,016
All Funds	2,025,131,726	107,394,619	-	2,132,526,345

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION **1,665,337,871**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,332,215,918
Personal service - temporary	1,072,139
Personal service - holiday / overtime compensation	18,596,688
Amount available for personal service	1,351,884,745

NONPERSONAL SERVICE

Supplies and Materials	21,653,014
Travel	2,019,990
Contractual Services	225,598,937
Equipment	<u>3,863,952</u>
Amount available for nonpersonal service	<u>253,135,893</u>
Program account subtotal	<u><u>1,605,020,638</u></u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	<u>20,525,699</u>
Amount available for personal service	<u>20,525,699</u>

NONPERSONAL SERVICE

Supplies and Materials	231,150
Travel	6,750
Contractual Services	<u>3,074,240</u>
Amount available for nonpersonal service	<u>3,312,140</u>
Program fund subtotal	<u><u>23,837,839</u></u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	19,274,781
Personal service - holiday / overtime compensation	<u>115,862</u>
Amount available for personal service	<u>19,390,643</u>

NONPERSONAL SERVICE

Contractual Services	<u>6,000,000</u>
Amount available for nonpersonal service	<u>6,000,000</u>
Program fund subtotal	<u><u>25,390,643</u></u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	<u>500,722</u>
Program fund subtotal	<u><u>500,722</u></u>

**Special Revenue Funds - Federal / State Operations
 Federal Operating Grants Fund
 Federal Miscellaneous Grants (Operating) Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	2,500,000
Program account subtotal	<u>2,500,000</u>

**Special Revenue Funds - Federal / State Operations
 Federal Grants - Health and Human Services**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016	6,000,000
Program account subtotal	<u>6,000,000</u>

**Special Revenue Funds - Other / State Operations
 Miscellaneous Special Revenue Fund**

PERSONAL SERVICE

Personal service - regular	1,047,151
Amount available for personal service	<u>1,047,151</u>

NONPERSONAL SERVICE

Supplies and Materials	1,500
Travel	1,500
Contractual Services	<u>1,037,878</u>
Amount available for nonpersonal service	<u>1,040,878</u>
Program fund subtotal	<u>2,088,029</u>

COURT OF APPEALS 15,682,446

**General Fund / State Operations
 State Purposes Account**

PERSONAL SERVICE

Personal service - regular	13,723,235
Personal service - holiday / overtime compensation	<u>106,000</u>
Amount available for personal service	<u>13,829,235</u>

NONPERSONAL SERVICE

Supplies and Materials	942,331
Travel	378,610
Contractual Services	<u>532,270</u>
Amount available for nonpersonal service	<u>1,853,211</u>
Program account subtotal	<u>15,682,446</u>

APPELLATE COURT OPERATIONS 79,843,703

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	75,011,512
Personal service - temporary	108,992
Personal service - holiday / overtime compensation	<u>117,500</u>
Amount available for personal service	<u>75,238,004</u>

NONPERSONAL SERVICE

Supplies and Materials	2,455,699
Travel	388,100
Contractual Services	1,600,440
Equipment	<u>161,460</u>
Amount available for nonpersonal service	<u>4,605,699</u>

Program account subtotal **79,843,703**

APPELLATE AUXILLIARY OPERATIONS 231,999,226

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	30,366,869
Personal service - temporary	119,717
Personal service - holiday / overtime compensation	<u>1,000</u>
Amount available for personal service	<u>30,487,586</u>

NONPERSONAL SERVICE

Supplies and Materials	360,231
Travel	390,000
Contractual Services	<u>154,239,515</u>
Amount available for nonpersonal service	<u>154,989,746</u>

Program account subtotal **185,477,332**

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	15,280,509
Personal service - temporary	355,208
Personal service - holiday / overtime compensation	<u>16,000</u>
Amount available for personal service	<u>15,651,717</u>

NONPERSONAL SERVICE

Supplies and Materials	328,667
Travel	111,700
Contractual Services	5,429,810
Amount available for nonpersonal service	5,870,177
Program fund subtotal	21,521,894

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of state finance law.

NONPERSONAL SERVICE

Contractual Services	25,000,000
Amount available for nonpersonal service	25,000,000
Program fund subtotal	25,000,000

ADMINISTRATION AND GENERAL SUPPORT **21,462,619**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	16,745,776
Personal service - holiday / overtime compensation	6,550
Amount available for personal service	16,752,326

NONPERSONAL SERVICE

Supplies and Materials	147,300
Travel	605,250
Contractual Services	893,750
Amount available for nonpersonal service	1,646,300
Program account subtotal	18,398,626

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	1,220,491
Amount available for personal service	1,220,491

NONPERSONAL SERVICE

Supplies and Materials	3,500
Travel	7,500
Amount available for nonpersonal service	11,000
Program fund subtotal	1,231,491

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	938,893
Personal service - holiday / overtime compensation	10,000
Amount available for personal service	948,893

NONPERSONAL SERVICE

Supplies and Materials	70,900
Travel	750
Contractual Services	811,959
Amount available for nonpersonal service	883,609
Program fund subtotal	1,832,502

LAWYERS' CLIENT PROTECTION	10,805,861
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**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2017.

PERSONAL SERVICE

Personal service - regular	507,416
Amount available for personal service	507,416

NONPERSONAL SERVICE

Supplies and Materials	26,000
Travel	25,000
Contractual Services	10,247,445
Amount available for nonpersonal service	10,298,445
Program fund subtotal	10,805,861

AID TO LOCALITIES 107,394,619

**General Fund / Aid to Localities
Local Assistance Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court
assistance program 2,445,584
Program account subtotal 2,445,584

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2017.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities
incentive aid program 104,949,035
Program fund subtotal 104,949,035

NEW YORK INTEREST ON LAWYER ACCOUNT 2016-17

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	15,000,000
All Funds	<u>15,000,000</u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
All Funds	-	15,000,000	-	<u>15,000,000</u>

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
---------------------------	--------------------------

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
Program account subtotal	<u>15,000,000</u>

THE JUDICIARY

GENERAL STATE CHARGES 2016-17

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2016 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	701,365,708
Special Revenue Funds - Other	<u>28,605,922</u>
All Funds	<u><u>729,971,630</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	701,365,708	-	-	701,365,708
SR - Other	28,605,922	-	-	28,605,922
<u>All Funds</u>	<u>729,971,630</u>	<u>-</u>	<u>-</u>	<u>729,971,630</u>

SCHEDULE

GENERAL STATE CHARGES **729,971,630**

**General Fund / State Operations
State Purposes Account**

FRINGE BENEFITS

For Fringe Benefits	<u>701,365,708</u>
Program account subtotal	<u><u>701,365,708</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>7,827,554</u>
Program fund subtotal	<u><u>7,827,554</u></u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>731,554</u>
Program fund subtotal	<u><u>731,554</u></u>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits	<u>231,664</u>
Program fund subtotal	<u>231,664</u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>11,226,089</u>
Program fund subtotal	<u>11,226,089</u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>8,589,061</u>
Program fund subtotal	<u>8,589,061</u>

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2016.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2016-2017

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	2,500,000	(re.	2,500,000)
By chapter 51, section 2, of the laws of 2014, as reappropriated by chapter 51, section 3, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014	3,000,000	(re.	1,400,000)
By chapter 51, section 2, of the laws of 2013, as reappropriated by chapter 51, section 3, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013	3,500,000	(re.	1,200,000)
By chapter 51, section 2, of the laws of 2012, as reappropriated by chapter 51, section 3, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012	5,000,000	(re.	300,000)
By chapter 51, section 2, of the laws of 2010, as reappropriated by chapter 51, section 3, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2010	6,500,000	(re.	100,000)

**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

By chapter 51, section 2, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	5,500,000	(re.	5,500,000)
By chapter 51, section 2, of the laws of 2014, as reappropriated by chapter 51, section 3, of the laws of 2015: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	4,500,000	(re.	1,500,000)

By chapter 51, section 2, of the laws of 2013, as reappropriated
 by chapter 51, section 3, of the laws of 2015:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2013 5,500,000(re. 260,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
 by chapter 51, section 3, laws of 2015:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2012 5,500,000(re. 40,000)

**Special Revenue Funds - Other / State Operations
 Miscellaneous Special Revenue Fund**

By chapter 51, section 2, of the laws of 2015:
 Contractual Services 1,037,878(re. 900,000)

By chapter 51, section 2, of the laws of 2013, as reappropriated
 by chapter 51, section 3, laws of 2015:
 Contractual Services 1,037,878(re. 60,000)

AID TO LOCALITIES

**Special Revenue Funds - Other / Aid to Localities
 Court Facilities Incentive Aid Fund**

By chapter 51, section 2, of the laws of 2015,
 For expenses necessary to implement provisions of law relating to the furnishing of
 court facilities and the provisions of section 219-a of the judiciary law; provided that,
 notwithstanding any other provision of law to the contrary, and in accordance with
 section 4 of the state finance law, where monies in the court facilities incentive
 aid fund, including such monies as may be transferred thereto pursuant to subdivision 6
 of section 94 of the state finance law, are insufficient to meet vouchers presented for
 payment charged to this appropriation or for transfers made pursuant to paragraph (b)
 of subdivision 2 of such section, the state comptroller is hereby authorized and directed
 to transfer, upon the request of the chief administrator of the courts, sufficient monies to
 meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the
 General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2016.

For services and expenses associated with the court facilities
 incentive aid program 104,200,000(re. 20,000,000)

Total reappropriations for state operations and aid to localities \$ 33,760,000

CAPITAL PROJECTS - REAPPROPRIATIONS 2016-2017

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund

Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2015:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training
of court security personnel (52JT0707) 33,700,000(re. 33,700,000)

The appropriation made by chapter 51, section 2, of the laws of 2007, as
amended by chapter 51, section 3, of the laws of 2012, as
reappropriated by chapter 51, section 3, of the laws of 2015:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training of court
security personnel
(52JT0707) 24,200,000(re. 6,000,000)

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2016-17

2016-17 JUDICIARY BUDGET REQUEST

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Judiciary

2016-17 Budget Request

Introduction

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the state, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally-ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions) and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge) who is responsible for supervising the day to day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrative Judge designates Deputy Chief Administrative Judges for the courts within and outside New York City. On behalf of the Chief Administrative Judge, and together with a corps of Administrative Judges, these designees supervise court operations in the State's Judicial Districts.

By statute and by direction of the Chief Judge, the Chief Administrative Judge also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrative Judge by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the Towns and Villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

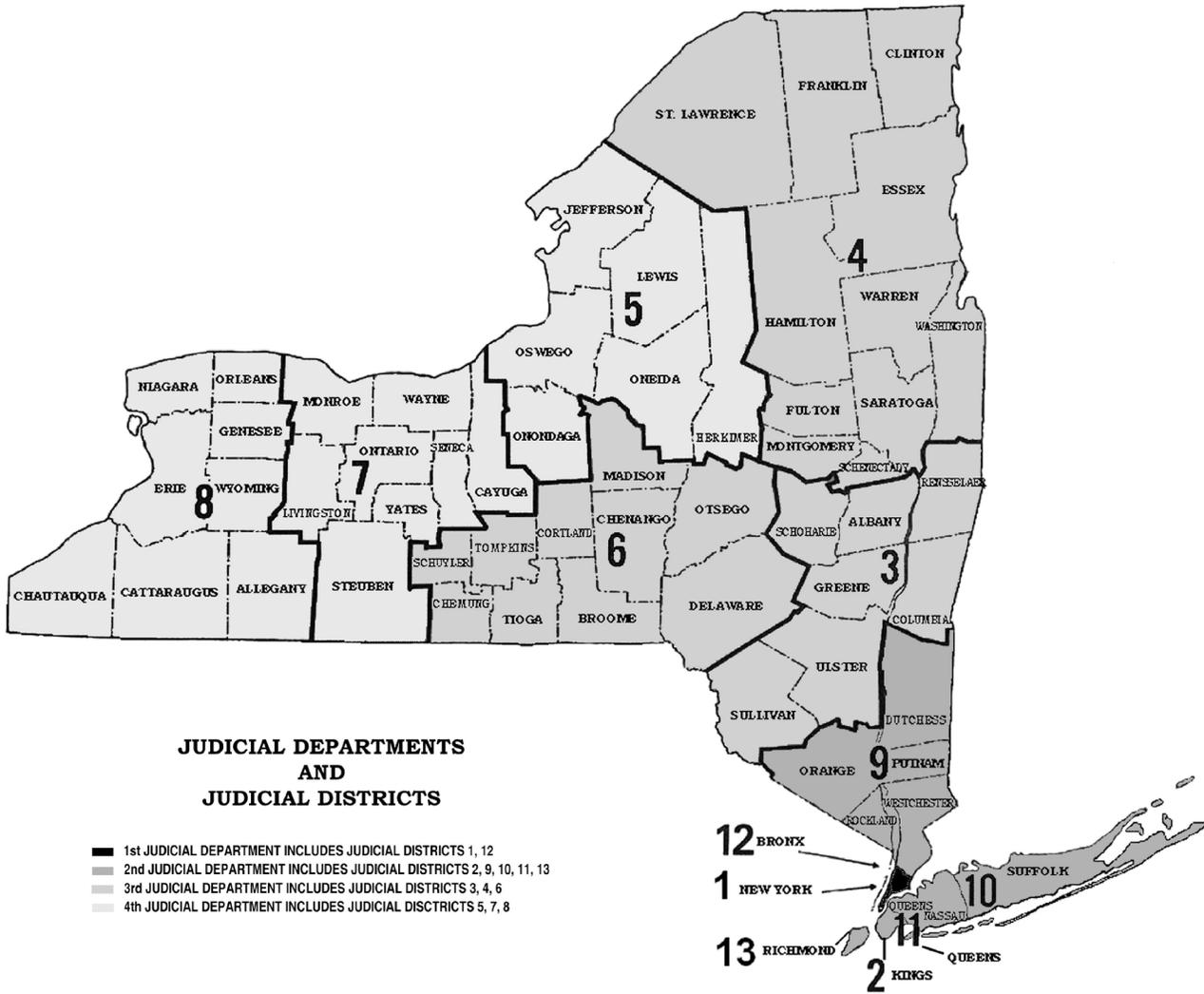
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

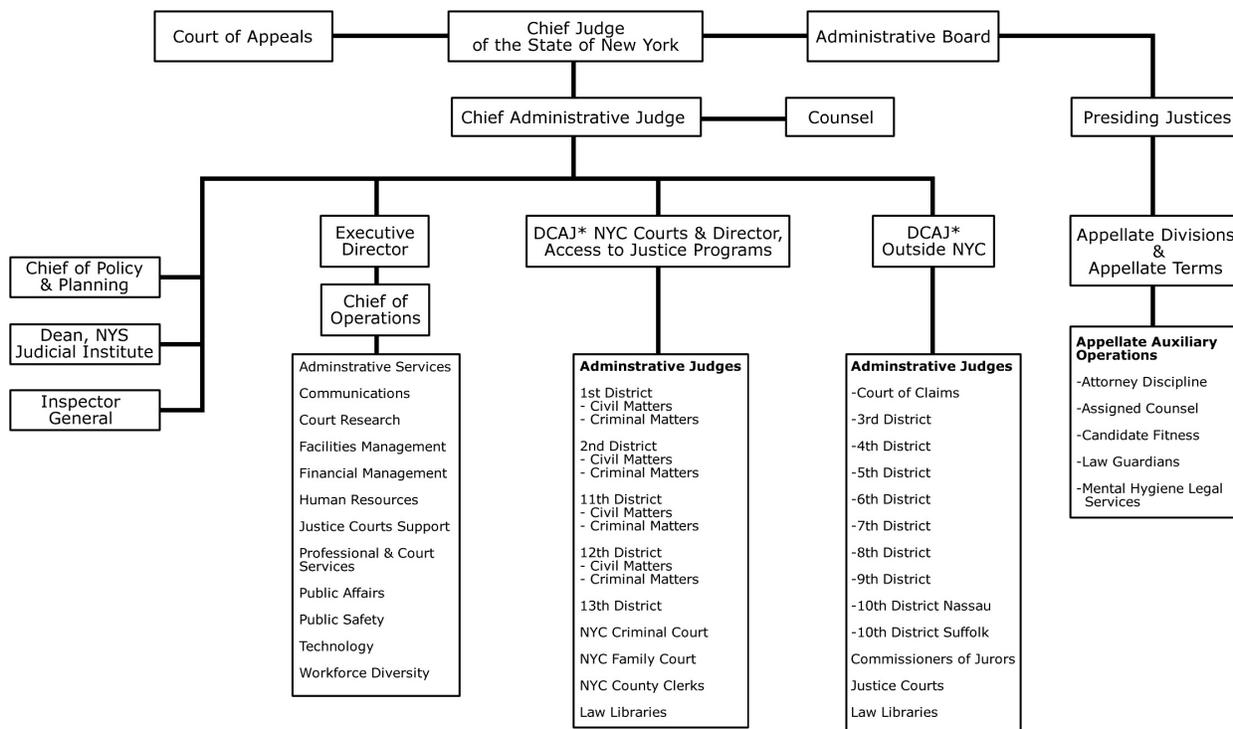
TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



Unified Court System Administrative Structure



*DCAJ - Deputy Chief Administrative Judge

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2016-17

Part I

State Operations

Court and Court-Related Agency Operations

MAJOR PURPOSE SUMMARY
Courts of Original Jurisdiction

2016-17 All Funds Budget Request: \$1,665,337,871	
<i>General Fund:</i>	<i>1,605,020,638</i>
<i>State Special Revenue Funds:</i>	<i>51,817,233</i>
<i>Federal Special Revenue Funds:</i>	<i>8,500,000</i>

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate’s Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2016-17 Funding

The COJ Major Purpose State Operations All Funds budget request is \$1.67 billion, or an increase of \$42.7 million (2.6%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.4 billion represents an increase of \$20.3 million (1.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions, including full-year funding for all new Family Court judgeships authorized by chapter 44 of the Laws of 2014. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request includes \$1.1 million for temporary service in support of ongoing operations. This includes funding for Acting City, Town and Village Justices as well as legal and clerical support in various trial courts. Also reflected in the personal service request is \$18.7 million for overtime to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies; legal reference materials and online research services; telecommunications; rentals and repairs of equipment; real estate rentals for office and training space; maintenance agreements for hardware, software and office equipment; payments for jury *per diems*, lodging and meals; *per diem* interpreter and court reporter costs; ADR contracts; transcript payments; and contractual security services provided in some upstate courts by

local law enforcement agencies. Also reflected are appropriations which provide the framework through which federal and other grants are realized.

The nonpersonal service request is \$272 million, or an increase of \$22.3 million (8.9%) over current year funding. Increases in other professional services will support nonprofit agencies in the delivery of civil legal services and will also enable the Judiciary to receive additional grants from the Substance Abuse and Mental Health Services Administration. Contractual and statutory increases are noted in security services, legal reference materials (both print and digital), computer assisted legal research (CALR), real estate rentals and jury fees. An increase in accounting and auditing services is related to the expansion of electronic filing. The increase in equipment will address the ongoing need to replace outdated courthouse equipment; specifically, furniture, technology equipment, security equipment, and facility-related equipment. Expenditure-based increases are noted in supplies and travel.

The increase in information technology services is found in the Judiciary Data Processing Offset Fund which is dedicated to the payment of data processing functions in the Judiciary. As the cost of providing these functions expands, the Judiciary is seeking additional funds from this dedicated revenue source to pay for a larger portion of them.

These increases are partially offset by the continuation of cost savings measures in telephones within telecommunications, and expenditure-based decreases in printing and transcripts. The in-part services decrease reflects the hiring of additional full-time UCS Court Interpreters in the NYC Citywide Courts. The decrease in records management services relates to nonrecurring projects that were funded in the current year in the New York City County Clerks Program. The slight decrease in funding for judicial hearing officers reflects an analysis of local judicial needs that accounted for the new judgeships created pursuant to chapter 44 of the Laws of 2014.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

2016-17 Request

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,382,504	\$3,685,764	\$17,068,268
New York City	\$705,127,407	\$32,865,623	\$737,993,030
3rd Judicial District	\$45,627,316	\$7,416,495	\$53,043,811
4th Judicial District	\$50,145,953	\$3,037,824	\$53,183,777
5th Judicial District	\$55,492,681	\$6,746,889	\$62,239,570
6th Judicial District	\$39,662,532	\$2,167,717	\$41,830,249
7th Judicial District	\$52,541,949	\$18,585,523	\$71,127,472
8th Judicial District	\$85,990,781	\$7,960,827	\$93,951,608
9th Judicial District	\$105,779,721	\$3,953,929	\$109,733,650
Nassau County	\$96,414,790	\$3,811,490	\$100,226,280
Suffolk County	\$98,444,112	\$3,921,596	\$102,365,708
Alternative Dispute Resolution	\$1,437,411	\$8,039,143	\$9,476,554
Court Support Services	\$57,327,316	\$68,041,923	\$125,369,239
Undistributed	(\$14,025,513)	\$101,754,168	\$87,728,655
Total:	\$1,393,348,960	\$271,988,911	\$1,665,337,871

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,353,222,870	1,373,564,271	20,341,401
PS Temporary	1,084,160	1,072,139	(12,021)
PS Overtime	18,698,850	18,712,550	13,700
Total Personal Service	<u>1,373,005,880</u>	<u>1,393,348,960</u>	<u>20,343,080</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,382,989	20,227,794	844,805
Supplies and Materials	6,642,147	7,243,447	601,300
Travel	1,626,459	2,028,240	401,781
Equipment Rental and Repairs	7,531,745	7,624,366	92,621
Real Estate Rentals	18,898,124	19,393,313	495,189
Conferences and Training	444,063	444,547	484
Postage and Printing	8,542,970	8,650,204	107,234
Telecommunications	8,699,349	8,632,131	(67,218)
Information Technology Services	10,610,351	13,009,094	2,398,743
Accounting and Auditing Services	1,613,029	1,907,137	294,108
Records Management Services	4,334,775	4,051,214	(283,561)
Other Professional Services	90,731,194	106,929,777	16,198,583
In-Part Services	6,167,310	5,046,980	(1,120,330)
ADR/SCAR/Arbitration	6,405,791	6,475,200	69,409
Judicial Hearing Officers	1,938,000	1,880,300	(57,700)
Jury Fees	18,610,064	19,176,736	566,672
Security Services	28,845,623	29,407,643	562,020
Transcripts	6,128,028	5,996,836	(131,192)
Equipment	2,504,893	3,863,952	1,359,059
Total Nonpersonal Service	<u>249,656,904</u>	<u>271,988,911</u>	<u>22,332,007</u>
Grand Total	1,622,662,784	1,665,337,871	42,675,087

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,313,265,167	1,332,215,918	18,950,751
PS Temporary	1,084,160	1,072,139	(12,021)
PS Overtime	18,582,988	18,596,688	13,700
Total Personal Service	<u>1,332,932,315</u>	<u>1,351,884,745</u>	<u>18,952,430</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,382,989	20,227,794	844,805
Supplies and Materials	6,473,547	7,066,347	592,800
Travel	1,618,359	2,019,990	401,631
Equipment Rental and Repairs	7,362,245	7,474,866	112,621
Real Estate Rentals	18,898,124	19,393,313	495,189
Conferences and Training	444,063	444,547	484
Postage and Printing	8,388,542	8,531,976	143,434
Telecommunications	8,633,849	8,571,091	(62,758)
Information Technology Services	7,568,851	7,967,844	398,993
Accounting and Auditing Services	1,085,029	1,202,137	117,108
Records Management Services	1,667,275	1,962,714	295,439
Other Professional Services	80,725,894	96,425,627	15,699,733
In-Part Services	6,167,310	5,046,980	(1,120,330)
ADR/SCAR/Arbitration	6,405,791	6,475,200	69,409
Judicial Hearing Officers	1,938,000	1,880,300	(57,700)
Jury Fees	18,610,064	19,176,736	566,672
Security Services	28,845,623	29,407,643	562,020
Transcripts	6,128,028	5,996,836	(131,192)
Equipment	2,504,893	3,863,952	1,359,059
Total Nonpersonal Service	<u>232,848,476</u>	<u>253,135,893</u>	<u>20,287,417</u>
Grand Total	1,565,780,791	1,605,020,638	39,239,847

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,012,459	1,047,151	34,692
Total Personal Service	1,012,459	1,047,151	34,692
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	1,040,878	1,040,878	0
 Grand Total	 2,053,337	 2,088,029	 34,692

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
 COURT FACILITIES INCENTIVE AID
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	645,438	500,722	(144,716)
PS Overtime	62	0	(62)
Total Personal Service	<u>645,500</u>	<u>500,722</u>	<u>(144,778)</u>
 Grand Total	 645,500	 500,722	 (144,778)

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,529,931	20,525,699	995,768
Total Personal Service	19,529,931	20,525,699	995,768
<u>Nonpersonal Service</u>			
Supplies and Materials	167,100	175,600	8,500
Travel	6,600	6,750	150
Equipment Rental and Repairs	169,500	149,500	(20,000)
Postage and Printing	151,550	115,350	(36,200)
Telecommunications	65,500	61,040	(4,460)
Information Technology Services	6,500	6,250	(250)
Accounting and Auditing Services	528,000	705,000	177,000
Records Management Services	2,667,500	2,088,500	(579,000)
Other Professional Services	5,300	4,150	(1,150)
Total Nonpersonal Service	3,767,550	3,312,140	(455,410)
Grand Total	23,297,481	23,837,839	540,358

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,769,875	19,274,781	504,906
PS Overtime	115,800	115,862	62
Total Personal Service	<u>18,885,675</u>	<u>19,390,643</u>	<u>504,968</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	5,000,000	2,000,000
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>6,000,000</u>	<u>2,000,000</u>
 Grand Total	 22,885,675	 25,390,643	 2,504,968

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	2,500,000	0
Total Nonpersonal Service	2,500,000	2,500,000	0
Grand Total	2,500,000	2,500,000	0

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
 FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	6,000,000	500,000
Total Nonpersonal Service	5,500,000	6,000,000	500,000
Grand Total	5,500,000	6,000,000	500,000

Courts of Original Jurisdiction 2014 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme and County Courts ^a	47,805	50,205
NYC Criminal Court		
Arrest Cases	355,235	356,291
Summons Cases ^b	409,252	343,068
City and District Courts Outside NYC		
Criminal Cases	252,305	241,593
Uniform Traffic Tickets ^b	396,035	360,919
Parking Tickets ^b	115,847	90,568
Criminal Subtotal:	1,576,479	1,442,644
Civil:		
Supreme Courts		
New Cases (RJI's)	195,914	198,553
Ex Parte Applications	248,316	248,316
Uncontested Matrimonials	46,973	46,540
NYC Civil Court		
New Civil Actions	257,033	151,182 ^c
Housing Cases	269,587	236,144
Small Claims	20,656	22,490
Commercial Claims	5,582	6,511
City and District Courts Outside NYC		
New Civil Actions	100,662	141,578 ^c
Housing Cases	82,950	83,746
Small Claims	20,957	21,604
Commercial Claims	8,235	8,405
County Courts ^a	54,353 ^d	54,396 ^d
Court of Claims	1,817	1,538
Arbitration Program (CPLR 3405)	20,063 ^e	18,868
Small Claims Assessment Review	54,041	71,640
Civil Subtotal:	1,367,076	1,311,511
Family ^a	646,954 ^f	655,813 ^f
Surrogate's ^a	138,553	108,914 ^g
2014 Total:	3,729,062	3,518,882

^a Also reflects Multi-Bench matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex-parte applications.

^e Shown here for reference only and not included in totals. Included as intake in the civil court filings listed above.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

COURTS OF ORIGINAL JURISDICTION
Supreme and County Courts Program

2016-17 Budget Request:	\$446,775,461
<i>Personal Service:</i>	434,244,280
<i>Nonpersonal Service:</i>	12,531,181
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate’s Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a Judicial District to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice’s statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2016-17 Funding Request

The Supreme and County Courts General Fund budget request is \$446.8 million, or an increase of \$16.4 million (3.8%) over the current year adjusted appropriation.

The personal service request of \$434.2 million represents an increase of \$16,191,889 (3.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request includes \$553,429 for temporary service. Also reflected in the personal service request is \$241,550 for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, equipment rental and repairs, postage and printing, telecommunications, accounting and auditing services, in-part services and transcripts. Accounting and auditing services consist of the fees associated with electronic filing and the processing of credit card payments.

The nonpersonal service request is \$12.5 million, or an increase of \$206,632 (1.7%) over current year funding. An increase in accounting and auditing services is attributable to expanded use of electronic filing. A workload-driven increase in judicial hearing officers' days of service is included. Expenditure-based increases are reflected in supplies and materials, travel, records management services and other professional services. These increases are partially offset by expenditure-based reductions in equipment rentals and repairs, SCAR within ADR/SCAR/Arbitration and transcripts. In addition, there are continued savings in telephones within telecommunications due to the implementation of IP phones.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
1st Supreme Civil	\$45,220,779	\$586,375	\$45,807,154
1st Supreme Criminal	\$24,821,755	\$1,596,960	\$26,418,715
Supreme Bronx	\$36,014,334	\$1,010,230	\$37,024,564
Supreme Kings	\$61,282,619	\$1,847,231	\$63,129,850
Supreme Queens	\$48,102,210	\$1,162,975	\$49,265,185
Supreme Richmond	\$9,941,669	\$250,425	\$10,192,094
NYC Subtotal:	\$225,383,366	\$6,454,196	\$231,837,562
3rd Judicial District	\$12,974,721	\$563,337	\$13,538,058
4th Judicial District	\$11,313,306	\$477,118	\$11,790,424
5th Judicial District	\$17,554,592	\$543,045	\$18,097,637
6th Judicial District	\$6,775,134	\$175,741	\$6,950,875
7th Judicial District	\$16,542,497	\$452,819	\$16,995,316
8th Judicial District	\$27,641,171	\$720,650	\$28,361,821
9th Judicial District	\$39,337,477	\$1,135,328	\$40,472,805
Nassau County	\$40,950,263	\$1,034,997	\$41,985,260
Suffolk County	\$35,771,753	\$973,950	\$36,745,703
Outside NYC Subtotal:	\$208,860,914	\$6,076,985	\$214,937,899
Total:	\$434,244,280	\$12,531,181	\$446,775,461

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	417,164,640	433,449,301	16,284,661
PS Temporary	645,326	553,429	(91,897)
PS Overtime	242,425	241,550	(875)
Total Personal Service	<u>418,052,391</u>	<u>434,244,280</u>	<u>16,191,889</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,545	5,446	901
Supplies and Materials	1,194,715	1,265,559	70,844
Travel	348,760	435,487	86,727
Equipment Rental and Repairs	993,310	982,013	(11,297)
Real Estate Rentals	250	250	0
Conferences and Training	3,500	3,300	(200)
Postage and Printing	741,833	731,713	(10,120)
Telecommunications	462,095	394,174	(67,921)
Information Technology Services	4,785	4,800	15
Accounting and Auditing Services	464,300	573,100	108,800
Records Management Services	128,403	152,878	24,475
Other Professional Services	440,708	454,860	14,152
In-Part Services	1,304,100	1,293,138	(10,962)
ADR/SCAR/Arbitration	431,600	418,100	(13,500)
Judicial Hearing Officers	787,000	850,300	63,300
Transcripts	4,675,900	4,627,318	(48,582)
Equipment	338,745	338,745	0
Total Nonpersonal Service	<u>12,324,549</u>	<u>12,531,181</u>	<u>206,632</u>
Grand Total	430,376,940	446,775,461	16,398,521

COURTS OF ORIGINAL JURISDICTION
Family Courts Program

2016-17 Budget Request: \$173,968,744
<i>Personal Service: 168,399,162</i>
<i>Nonpersonal Service: 5,569,582</i>
<i>Maintenance Undistributed: 0</i>

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties, there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters.

Summary of 2016-17 Funding Request

The Family Courts General Fund budget request of \$174 million reflects an increase of \$5.7 million (3.4%) over the current year adjusted appropriation.

The personal service request of \$168.4 million represents an increase of \$6,345,167 (3.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions, including full-year funding for all new Family Court judgeships authorized by Chapter 44 of the Laws of 2014. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$81,475 for overtime to support current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: in-part services, supplies and materials, postage and printing, records management, equipment rental and repairs, telecommunications and judicial hearing officers.

The nonpersonal service request of \$5.6 million reflects a decrease of \$658,441 (-10.6%) from current year funding. The decrease is primarily attributable to an anticipated reduction in the use of *per diem* interpreters due to the hiring of full time interpreter staff in New York City Family Court in the current year. Also noted is a decrease in funding for judicial hearing officers based on an analysis of local judicial needs that accounted for the new judgeships created pursuant to chapter 44 of the Laws of 2014. The overall decrease is partially offset by expenditure-based increases in supplies and materials and records management services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Family Courts Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$70,404,314	\$2,537,480	\$72,941,794
3rd Judicial District	\$8,966,950	\$315,695	\$9,282,645
4th Judicial District	\$9,651,649	\$352,801	\$10,004,450
5th Judicial District	\$12,620,205	\$367,214	\$12,987,419
6th Judicial District	\$5,547,944	\$230,617	\$5,778,561
7th Judicial District	\$9,263,149	\$195,959	\$9,459,108
8th Judicial District	\$13,746,140	\$484,823	\$14,230,963
9th Judicial District	\$16,360,706	\$394,153	\$16,754,859
Nassau County	\$9,079,409	\$287,094	\$9,366,503
Suffolk County	\$12,758,696	\$403,746	\$13,162,442
Outside NYC Subtotal:	\$97,994,848	\$3,032,102	\$101,026,950
Total:	\$168,399,162	\$5,569,582	\$173,968,744

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	161,989,670	168,317,687	6,328,017
PS Overtime	64,325	81,475	17,150
Total Personal Service	<u>162,053,995</u>	<u>168,399,162</u>	<u>6,345,167</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	498	498	0
Supplies and Materials	746,607	800,061	53,454
Travel	161,533	173,155	11,622
Equipment Rental and Repairs	681,091	693,618	12,527
Real Estate Rentals	2,780	0	(2,780)
Conferences and Training	1,000	1,000	0
Postage and Printing	899,474	938,161	38,687
Telecommunications	195,948	162,892	(33,056)
Information Technology Services	1,500	1,000	(500)
Records Management Services	259,367	455,186	195,819
Other Professional Services	41,900	46,780	4,880
In-Part Services	1,935,230	1,189,486	(745,744)
ADR/SCAR/Arbitration	60,000	50,000	(10,000)
Judicial Hearing Officers	425,500	308,500	(117,000)
Transcripts	691,350	625,000	(66,350)
Equipment	124,245	124,245	0
Total Nonpersonal Service	<u>6,228,023</u>	<u>5,569,582</u>	<u>(658,441)</u>
 Grand Total	 168,282,018	 173,968,744	 5,686,726

**COURTS OF ORIGINAL JURISDICTION
Surrogate's Courts Program**

2016-17 Budget Request: \$47,905,801	
<i>Personal Service:</i>	46,692,301
<i>Nonpersonal Service:</i>	1,213,500
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for operations in Surrogate's Courts.

Surrogate's Court: There is a Surrogate's Court established in each of the State's 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate's Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate's Courts exercise jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary of 2016-17 Funding Request

The Surrogate's Courts General Fund budget request is \$47.9 million, or an increase of \$1.5 million (3.3%) over the current year adjusted appropriation.

The personal service request of \$46.7 million represents an increase of \$1,446,764 (3.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate's Court, including records management services, equipment rental and repairs, postage and printing, supplies and materials, accounting and auditing services and telecommunications.

The nonpersonal service request of \$1.2 million represents an increase of \$66,724 (5.8%) over the current year adjusted appropriation. This increase is attributable to higher expenditures in records management services and supplies and materials. These increased costs are partially offset by expenditure-based reductions in equipment rental and repairs and telecommunications.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate's Courts Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$6,175,579	\$119,603	\$6,295,182
Bronx Surrogate	\$3,350,504	\$69,165	\$3,419,669
Kings Surrogate	\$5,213,678	\$74,300	\$5,287,978
Queens Surrogate	\$3,931,478	\$77,225	\$4,008,703
Richmond Surrogate	\$2,484,602	\$50,219	\$2,534,821
NYC Subtotal:	\$21,155,841	\$390,512	\$21,546,353
3rd Judicial District	\$1,721,369	\$105,594	\$1,826,963
4th Judicial District	\$2,839,944	\$44,843	\$2,884,787
5th Judicial District	\$2,718,020	\$84,878	\$2,802,898
6th Judicial District	\$698,446	\$10,093	\$708,539
7th Judicial District	\$3,290,964	\$94,702	\$3,385,666
8th Judicial District	\$3,747,115	\$190,437	\$3,937,552
9th Judicial District	\$4,886,854	\$119,949	\$5,006,803
Nassau County	\$3,038,567	\$90,655	\$3,129,222
Suffolk County	\$2,595,181	\$81,837	\$2,677,018
Outside NYC Subtotal:	\$25,536,460	\$822,988	\$26,359,448
Total:	\$46,692,301	\$1,213,500	\$47,905,801

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	45,198,780	46,692,126	1,493,346
PS Temporary	46,707	0	(46,707)
PS Overtime	50	175	125
Total Personal Service	<u>45,245,537</u>	<u>46,692,301</u>	<u>1,446,764</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,799	1,824	(975)
Supplies and Materials	171,192	187,496	16,304
Travel	12,921	13,240	319
Equipment Rental and Repairs	219,855	213,308	(6,547)
Real Estate Rentals	100	100	0
Postage and Printing	193,182	201,591	8,409
Telecommunications	50,731	45,054	(5,677)
Information Technology Services	500	500	0
Accounting and Auditing Services	89,875	88,845	(1,030)
Records Management Services	333,631	384,272	50,641
Other Professional Services	53,490	56,670	3,180
In-Part Services	12,200	13,075	875
Transcripts	6,300	7,525	1,225
Total Nonpersonal Service	<u>1,146,776</u>	<u>1,213,500</u>	<u>66,724</u>
Grand Total	46,392,313	47,905,801	1,513,488

COURTS OF ORIGINAL JURISDICTION
Multi-Bench Courts Program

2016-17 Budget Request:	\$45,878,319
<i>Personal Service:</i>	43,993,916
<i>Nonpersonal Service:</i>	1,884,403
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many counties outside New York City, there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate’s Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Essex, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schoharie, Schuyler, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben counties. Those having combined County and Surrogate’s Courts only include: Chemung, Clinton, Delaware, Franklin, Fulton, Genesee, Herkimer, Sullivan and Warren counties.

Summary of 2016-17 Funding Request

The Multi-Bench Courts General Fund budget request is \$45.9 million, or an increase of \$1,225,173 (2.5%) over the current year adjusted appropriation.

The personal service request of \$44 million represents an increase of \$1,225,173 (2.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$7,690 for temporary service and \$4,650 for overtime. The temporary service funding supports two part-time positions in the trial courts in support of ongoing operations; the overtime request supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Multi-Bench Courts, including transcript costs, postage and printing, equipment rental and repairs, supplies and materials, telecommunications and travel.

The nonpersonal service request of \$1.9 million represents a decrease of \$91,141 (-4.6%) from the current year adjusted appropriation. This is attributable to expenditure-based reductions in telecommunications, records management services, transcripts, postage and printing and supplies and materials. Increases in travel costs, accounting and auditing services and other professional services partially offset these reductions.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$5,466,183	\$270,928	\$5,737,111
4th Judicial District	\$6,951,627	\$314,285	\$7,265,912
5th Judicial District	\$1,349,661	\$74,167	\$1,423,828
6th Judicial District	\$10,810,431	\$521,371	\$11,331,802
7th Judicial District	\$10,991,084	\$386,713	\$11,377,797
8th Judicial District	\$6,606,947	\$237,463	\$6,844,410
9th Judicial District	\$1,817,983	\$79,476	\$1,897,459
Total:	\$43,993,916	\$1,884,403	\$45,878,319

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	42,756,368	43,981,576	1,225,208
PS Temporary	7,500	7,690	190
PS Overtime	4,875	4,650	(225)
Total Personal Service	<u>42,768,743</u>	<u>43,993,916</u>	<u>1,225,173</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,904	5,453	1,549
Supplies and Materials	255,398	247,373	(8,025)
Travel	76,715	91,353	14,638
Equipment Rental and Repairs	315,934	314,219	(1,715)
Postage and Printing	328,642	320,289	(8,353)
Telecommunications	205,339	148,897	(56,442)
Accounting and Auditing Services	37,612	46,550	8,938
Records Management Services	113,056	89,963	(23,093)
Other Professional Services	43,938	49,646	5,708
In-Part Services	69,710	67,450	(2,260)
Judicial Hearing Officers	88,900	81,900	(7,000)
Transcripts	436,396	421,310	(15,086)
Total Nonpersonal Service	<u>1,975,544</u>	<u>1,884,403</u>	<u>(91,141)</u>
Grand Total	44,744,287	45,878,319	1,134,032

COURTS OF ORIGINAL JURISDICTION
City and District Courts Program

2016-17 Budget Request: \$247,051,493
<i>Personal Service:</i> 239,527,589
<i>Nonpersonal Service:</i> 7,523,904
<i>Maintenance Undistributed:</i> 0

Program Description

This Program provides funding for operations in City Courts outside New York City, the Nassau and Suffolk County District Courts, the New York City Civil Court, the New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 23 are comprised solely of full-time judges, 24 are comprised of a mix of full and part-time judges, and 14 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this Program but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2016-17 Funding Request

The City and District Courts General Fund budget request is \$247.1 million, or an increase of \$3.5 million (1.4%) over the current year adjusted appropriation.

The personal service request of \$239.5 million represents an increase of \$3.7 million (1.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$250,762 in temporary service for acting city court judges; part-time legal staff who share a position with an individual in a different title within the legal series; and, clerical positions to support ongoing court operations. The request also includes \$4,913,862 in overtime which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the City & District Courts, including in-part services such as *per diem* interpreters and court reporters, postage and printing, supplies and materials, equipment rental and repairs, judicial hearing officers and arbitration services.

The nonpersonal service request of \$7.5 million represents a decrease of \$259,722 (-3.3%) from the current year adjusted appropriation. The decrease is primarily attributable to an anticipated reduction in the use of *per diem* interpreters due to the hiring of full-time interpreter staff in New York City Criminal Court in the current year, as well as reductions in arbitration services and other professional services. These decreases are partially offset by increases in travel, records management services, supplies and materials and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

City and District Courts Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$57,040,067	\$1,333,150	\$58,373,217
NYC Criminal Court	\$79,382,071	\$2,318,325	\$81,700,396
NYC Subtotal:	\$136,422,138	\$3,651,475	\$140,073,613
3rd Judicial District	\$6,116,242	\$216,645	\$6,332,887
4th Judicial District	\$5,246,835	\$207,126	\$5,453,961
5th Judicial District	\$9,372,274	\$295,774	\$9,668,048
6th Judicial District	\$4,976,422	\$174,557	\$5,150,979
7th Judicial District	\$8,003,346	\$219,983	\$8,223,329
8th Judicial District	\$14,719,499	\$465,726	\$15,185,225
9th Judicial District	\$16,684,724	\$543,641	\$17,228,365
Nassau County	\$18,157,355	\$982,216	\$19,139,571
Suffolk County	\$19,828,754	\$766,761	\$20,595,515
Outside NYC Subtotal:	\$103,105,451	\$3,872,429	\$106,977,880
Total:	\$239,527,589	\$7,523,904	\$247,051,493

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	230,408,441	234,362,965	3,954,524
PS Temporary	169,687	250,762	81,075
PS Overtime	5,228,383	4,913,862	(314,521)
Total Personal Service	<u>235,806,511</u>	<u>239,527,589</u>	<u>3,721,078</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,789	2,886	97
Supplies and Materials	814,057	833,970	19,913
Travel	141,926	203,017	61,091
Equipment Rental and Repairs	717,083	710,743	(6,340)
Real Estate Rentals	640	640	0
Conferences and Training	0	200	200
Postage and Printing	1,131,143	1,141,781	10,638
Telecommunications	278,930	285,173	6,243
Information Technology Services	15,500	18,000	2,500
Accounting and Auditing Services	416,242	417,542	1,300
Records Management Services	403,169	451,368	48,199
Other Professional Services	51,740	29,500	(22,240)
In-Part Services	2,202,200	1,842,251	(359,949)
ADR/SCAR/Arbitration	469,850	453,875	(15,975)
Judicial Hearing Officers	624,600	624,600	0
Transcripts	286,882	281,483	(5,399)
Equipment	226,875	226,875	0
Total Nonpersonal Service	<u>7,783,626</u>	<u>7,523,904</u>	<u>(259,722)</u>
Grand Total	243,590,137	247,051,493	3,461,356

COURTS OF ORIGINAL JURISDICTION
New York City Housing Court Program

2016-17 Budget Request: \$31,571,568	
<i>Personal Service:</i>	30,723,130
<i>Nonpersonal Service:</i>	848,438
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act §110) also established a corps of quasi-judicial hearing officers, designated by the Chief Administrative Judge and now known as Housing Judges, to preside in the Housing Part.

Summary of 2016-17 Funding Request

The New York City Housing Court General Fund budget request is \$31.6 million, or an increase of \$187,408 (0.6%) over the current year adjusted appropriation.

The personal service request of \$30.7 million represents an increase of \$177,208 (0.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$37,206 for temporary service to support one part-time court attorney who shares a position with an individual in a different title in the legal series. Funding of \$7,575 is included for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including supplies and materials, postage and printing and equipment rental and repairs. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and owners in each borough's Housing Court.

The nonpersonal service request of \$848,438 is an increase of \$10,200 (1.2%) over current year funding. The increase is primarily due to expenditure-based increases in supplies and materials and equipment rental and repairs. These increases are partially offset by expenditure-based decreases in postage and printing, telecommunications and other professional services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

2016-17 Request

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$30,723,130	\$848,438	\$31,571,568
Total:	\$30,723,130	\$848,438	\$31,571,568

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	30,500,054	30,678,349	178,295
PS Temporary	38,968	37,206	(1,762)
PS Overtime	6,900	7,575	675
Total Personal Service	<u>30,545,922</u>	<u>30,723,130</u>	<u>177,208</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	85,500	96,500	11,000
Travel	3,303	3,303	0
Equipment Rental and Repairs	78,000	84,000	6,000
Postage and Printing	201,800	200,500	(1,300)
Telecommunications	4,500	2,000	(2,500)
Information Technology Services	500	500	0
Other Professional Services	385,000	382,000	(3,000)
Transcripts	27,000	27,000	0
Equipment	52,635	52,635	0
Total Nonpersonal Service	<u>838,238</u>	<u>848,438</u>	<u>10,200</u>
 Grand Total	 <u>31,384,160</u>	 <u>31,571,568</u>	 <u>187,408</u>

**COURTS OF ORIGINAL JURISDICTION
Community Courts Program**

2016-17 Budget Request:	\$5,264,997
<i>Personal Service:</i>	2,240,818
<i>Nonpersonal Service:</i>	3,024,179
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan’s Midtown Community Court and Harlem Community Justice Center; Brooklyn’s Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx’s Community Solutions.

Summary of 2016-17 Funding Request

The Community Courts General Fund budget request is \$5.3 million, or an increase of \$136,053 (2.7%) over the current year adjusted appropriation.

The personal service request of \$2.2 million represents an increase of \$123,152 (5.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The personal service request also includes \$110,800 for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, equipment rental and repairs, postage and printing and *per diem* court interpreters within in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3.0 million, or an increase of \$12,901 (0.4%) over current year funding. Cost-of-living increases in contracts with the Fund for the City of New York account for additional funding in other professional services. This increase is partially offset by expenditure-based decreases in *per diem* court interpreters within in-part services and supplies and materials. Telecommunications charges have decreased as telephone costs are now charged to the host courts (NYC Civil and NYC Criminal Courts).

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Community Courts Program

2016-17 Request

New York City	Personal Service	Nonpersonal Service	Total
Midtown Community Court	\$502,730	\$630,523	\$1,133,253
Red Hook Community Court	\$750,217	\$857,684	\$1,607,901
Harlem Community Justice Center	\$987,871	\$514,100	\$1,501,971
Bronx Community Solutions	\$0	\$755,885	\$755,885
Brownsville Community Court	\$0	\$265,987	\$265,987
Total:	\$2,240,818	\$3,024,179	\$5,264,997

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,993,416	2,130,018	136,602
PS Overtime	124,250	110,800	(13,450)
Total Personal Service	<u>2,117,666</u>	<u>2,240,818</u>	<u>123,152</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	15,936	12,500	(3,436)
Equipment Rental and Repairs	20,200	20,500	300
Postage and Printing	2,900	2,850	(50)
Telecommunications	9,300	800	(8,500)
Other Professional Services	2,832,742	2,889,329	56,587
In-Part Services	130,000	98,000	(32,000)
Transcripts	200	200	0
Total Nonpersonal Service	<u>3,011,278</u>	<u>3,024,179</u>	<u>12,901</u>
 Grand Total	 <u>5,128,944</u>	 <u>5,264,997</u>	 <u>136,053</u>

**COURTS OF ORIGINAL JURISDICTION
Drug Treatment Courts Program**

2016-17 Budget Request: \$15,663,387
<i>Personal Service: 14,509,839</i>
<i>Nonpersonal Service: 1,153,548</i>
<i>Maintenance Undistributed: 0</i>

Program Description

This Program provides for non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Summary of 2016-17 Funding Request

The Drug Treatment Courts General Fund budget request is \$15.7 million, or an increase of \$647,773 (4.3%) over the current adjusted appropriation.

The personal service request of \$14.5 million represents an increase of \$620,555 (4.5%) over the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Included in the personal service request is \$68,275 for overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, other professional services, travel, and conferences and training for both judicial and nonjudicial employees.

The nonpersonal service request is \$1.2 million, or an increase of \$27,218 (2.4%) over current year funding mainly attributable to expenditure-based increases in supplies and materials, travel, conferences and training, and other professional services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,495,970	\$31,326	\$1,527,296
Bronx	\$1,249,905	\$19,070	\$1,268,975
Kings	\$2,334,877	\$150,587	\$2,485,464
Queens	\$1,219,343	\$26,400	\$1,245,743
Richmond	\$128,496	\$14,764	\$143,260
NYC Subtotal:	\$6,428,591	\$242,147	\$6,670,738
3rd Judicial District	\$943,116	\$90,131	\$1,033,247
4th Judicial District	\$905,529	\$40,450	\$945,979
5th Judicial District	\$1,028,407	\$27,790	\$1,056,197
6th Judicial District	\$618,024	\$85,301	\$703,325
7th Judicial District	\$1,038,627	\$81,520	\$1,120,147
8th Judicial District	\$1,871,979	\$84,510	\$1,956,489
9th Judicial District	\$955,010	\$59,088	\$1,014,098
Nassau County	\$164,781	\$84,566	\$249,347
Suffolk County	\$555,775	\$70,696	\$626,471
Outside NYC Subtotal:	\$8,081,248	\$624,052	\$8,705,300
Undistributed	\$0	\$287,349	\$287,349
Total:	\$14,509,839	\$1,153,548	\$15,663,387

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,793,119	14,441,564	648,445
PS Overtime	96,165	68,275	(27,890)
Total Personal Service	<u>13,889,284</u>	<u>14,509,839</u>	<u>620,555</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	712,956	718,283	5,327
Travel	29,431	44,462	15,031
Equipment Rental and Repairs	27,817	26,935	(882)
Conferences and Training	85,013	88,447	3,434
Postage and Printing	4,490	4,660	170
Telecommunications	5,585	4,034	(1,551)
Information Technology Services	3,800	3,800	0
Other Professional Services	257,238	262,927	5,689
Total Nonpersonal Service	<u>1,126,330</u>	<u>1,153,548</u>	<u>27,218</u>
 Grand Total	 15,015,614	 15,663,387	 647,773

COURTS OF ORIGINAL JURISDICTION
Court of Claims Program

2016-17 Budget Request: \$17,068,268	
<i>Personal Service:</i>	13,382,504
<i>Nonpersonal Service:</i>	3,685,764
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities such as the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State’s power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2016-17 Funding Request

The Court of Claims General Fund budget request is \$17.1 million, or a decrease of \$234,511 (-1.4%) from the current year adjusted appropriation.

The personal service request of \$13.4 million represents an increase of \$226,726 (1.7%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for all eligible employees in active status on March 31, 2017.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, equipment rental and repairs, postage and printing and telecommunications. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$3.7 million, or a decrease of \$461,237 (-11.1%) from current year funding. The decrease is primarily attributable to reduced real estate rental costs due to a shift in funding for a portion of space not occupied by the Court of Claims in the New York City location. This decrease is partially offset by modest expenditure-based increases in supplies and materials and transcripts

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Court of Claims

2016-17 Request

Court of Claims	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,382,504	\$3,685,764	\$17,068,268
Total:	\$13,382,504	\$3,685,764	\$17,068,268

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,153,274	13,381,504	228,230
PS Overtime	2,504	1,000	(1,504)
Total Personal Service	<u>13,155,778</u>	<u>13,382,504</u>	<u>226,726</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	8,000	11,025	3,025
Supplies and Materials	49,500	53,500	4,000
Travel	55,050	50,000	(5,050)
Equipment Rental and Repairs	112,000	105,000	(7,000)
Real Estate Rentals	3,691,860	3,255,080	(436,780)
Conferences and Training	55,000	50,000	(5,000)
Postage and Printing	45,589	42,300	(3,289)
Telecommunications	77,770	71,000	(6,770)
Information Technology Services	707	500	(207)
Accounting and Auditing Services	2,000	1,100	(900)
Records Management Services	10,766	10,200	(566)
Other Professional Services	7,500	4,300	(3,200)
In-Part Services	5,000	2,500	(2,500)
Transcripts	3,000	6,000	3,000
Equipment	23,259	23,259	0
Total Nonpersonal Service	<u>4,147,001</u>	<u>3,685,764</u>	<u>(461,237)</u>
 Grand Total	 <u>17,302,779</u>	 <u>17,068,268</u>	 <u>(234,511)</u>

COURTS OF ORIGINAL JURISDICTION
Jury Program

2016-17 Budget Request:	\$44,439,974
<i>Personal Service:</i>	19,834,878
<i>Nonpersonal Service:</i>	24,605,096
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the cost of operating the jury system for New York’s courts.

The Jury System: The State Constitution guarantees litigants before New York’s courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner’s functions are discharged by the jury divisions of the County Clerk’s office in each of the City’s five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2016-17 Funding Request

The Jury Systems Operations General Fund budget request is \$44.4 million, or an increase of \$1.4 million (3.3%) over the current year adjusted appropriation.

The personal service request of \$19.8 million represents an increase of \$737,941 (3.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, telecommunications, other professional services, supplies and materials, and equipment rental and repairs.

The nonpersonal service request of \$24.6 million represents an increase of \$675,308 (2.8%) over the current year adjusted appropriation. This increase is primarily attributable to higher costs for juror *per diems* and the associated costs of printing and postage for juror summonses. An additional increase in supplies and materials is partially offset by savings in telecommunications costs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Jury Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$3,379,742	\$3,831,738	\$7,211,480
Bronx	\$1,799,493	\$2,490,094	\$4,289,587
Kings	\$2,168,756	\$3,101,922	\$5,270,678
Queens	\$1,801,964	\$2,127,407	\$3,929,371
Richmond	\$408,416	\$410,855	\$819,271
NYC Subtotal:	\$9,558,371	\$11,962,016	\$21,520,387
3rd Judicial District	\$1,166,141	\$762,312	\$1,928,453
4th Judicial District	\$1,267,146	\$734,663	\$2,001,809
5th Judicial District	\$1,123,575	\$711,193	\$1,834,768
6th Judicial District	\$502,061	\$479,150	\$981,211
7th Judicial District	\$1,078,220	\$975,783	\$2,054,003
8th Judicial District	\$1,411,686	\$946,109	\$2,357,795
9th Judicial District	\$1,679,926	\$1,348,466	\$3,028,392
Nassau County	\$929,788	\$1,044,429	\$1,974,217
Suffolk County	\$1,117,964	\$1,284,229	\$2,402,193
Outside NYC Subtotal:	\$10,276,507	\$8,286,334	\$18,562,841
Undistributed	\$0	\$4,356,746	\$4,356,746
Total:	\$19,834,878	\$24,605,096	\$44,439,974

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,095,712	19,833,253	737,541
PS Overtime	1,225	1,625	400
Total Personal Service	<u>19,096,937</u>	<u>19,834,878</u>	<u>737,941</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	856	856	0
Supplies and Materials	325,926	367,292	41,366
Travel	11,695	13,450	1,755
Equipment Rental and Repairs	301,575	303,245	1,670
Real Estate Rentals	350	350	0
Postage and Printing	3,830,980	3,896,508	65,528
Telecommunications	421,336	404,576	(16,760)
Records Management Services	10,706	22,821	12,115
Other Professional Services	411,380	414,882	3,502
In-Part Services	4,920	4,380	(540)
Jury Fees	18,610,064	19,176,736	566,672
Total Nonpersonal Service	<u>23,929,788</u>	<u>24,605,096</u>	<u>675,308</u>
Grand Total	43,026,725	44,439,974	1,413,249

**COURTS OF ORIGINAL JURISDICTION
New York City County Clerks Program**

2016-17 Budget Request:	\$23,548,828
<i>Personal Service:</i>	20,236,888
<i>Nonpersonal Service:</i>	3,311,940
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City as well as deductions from local assistance funds owed the City of New York. Jury operations are funded through the Jury System Operations Program.

Summary of 2016-17 Funding Request

The New York City County Clerks Special Revenue Fund budget request is \$23.5 million, or an increase of \$653,396 (2.9%) over the current year adjusted appropriation.

The personal service request of \$20.2 million represents an increase of \$1,108,856 (5.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for a collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Nonpersonal service funding supports usual and necessary expenses associated with county clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services and records management services. Accounting and auditing services consist of the fees associated with electronic filing and the payment of various fees by credit cards. Records management services include records storage, shredding of documents and digitization or microfilming of paper records.

The nonpersonal service request is \$3.3 million, or a decrease of \$455,460 (-12.1%) from current year funding. The most significant decrease, in records management services, reflects a reduction resulting from a change in the records storage vendor. Smaller decreases in postage and printing, equipment rentals and repairs and telecommunications are expenditure-based. These decreases are partially offset by an increase in accounting and auditing services associated with the expanded use of electronic filing.

**Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund**

New York City County Clerks Program

2016-17 Request

New York City	Personal Service	Nonpersonal Service	Total
New York	\$5,347,392	\$934,150	\$6,281,542
Bronx	\$3,695,193	\$510,000	\$4,205,193
Kings	\$4,518,612	\$471,350	\$4,989,962
Queens	\$4,024,250	\$396,700	\$4,420,950
Richmond	\$2,914,940	\$405,240	\$3,320,180
Undistributed	(\$263,499)	\$594,500	\$331,001
Total:	\$20,236,888	\$3,311,940	\$23,548,828

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,128,032	20,236,888	1,108,856
Total Personal Service	19,128,032	20,236,888	1,108,856
<u>Nonpersonal Service</u>			
Supplies and Materials	167,100	175,600	8,500
Travel	6,600	6,750	150
Equipment Rental and Repairs	169,500	149,500	(20,000)
Postage and Printing	151,550	115,350	(36,200)
Telecommunications	65,500	61,040	(4,460)
Information Technology Services	6,500	6,250	(250)
Accounting and Auditing Services	528,000	705,000	177,000
Records Management Services	2,667,500	2,088,500	(579,000)
Other Professional Services	5,150	3,950	(1,200)
Total Nonpersonal Service	3,767,400	3,311,940	(455,460)
 Grand Total	 22,895,432	 23,548,828	 653,396

COURTS OF ORIGINAL JURISDICTION
Supreme and County Court
Law Library Program

2016-17 Budget Request:	\$5,096,423
<i>Personal Service:</i>	4,892,662
<i>Nonpersonal Service:</i>	203,761
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2016-17 Funding Request

The Supreme Court Law Libraries General Fund budget request is \$5.1 million, or a decrease of \$449,215 (-8.1%) from the current year adjusted appropriation.

The personal service request of \$4.9 million represents a decrease of \$401,682 (-7.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$97,379 for temporary service. This funding includes several part-time positions to support ongoing law library operations.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. It should be noted, however, that the acquisition of most legal reference materials and computer assisted legal reference (CALR) access are provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$203,761 represents a decrease of \$47,533 (-18.9%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in equipment rental and repairs, supplies and materials, legal reference materials and subscriptions, and other professional services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$107,220	\$2,000	\$109,220
New York Criminal	\$71,508	\$10,000	\$81,508
Bronx	\$91,473	\$4,000	\$95,473
Kings	\$453,439	\$4,000	\$457,439
Queens	\$367,389	\$17,000	\$384,389
Richmond	\$81,327	\$1,000	\$82,327
NYC Subtotal:	\$1,172,356	\$38,000	\$1,210,356
3rd Judicial District	\$419,116	\$21,084	\$440,200
4th Judicial District	\$305,779	\$9,838	\$315,617
5th Judicial District	\$1,004,400	\$41,675	\$1,046,075
6th Judicial District	\$247,527	\$18,772	\$266,299
7th Judicial District	\$262,414	\$14,505	\$276,919
8th Judicial District	\$230,676	\$20,793	\$251,469
9th Judicial District	\$549,523	\$6,291	\$555,814
Nassau County	\$233,527	\$12,671	\$246,198
Suffolk County	\$467,344	\$20,132	\$487,476
Outside NYC Subtotal:	\$3,720,306	\$165,761	\$3,886,067
Total:	\$4,892,662	\$203,761	\$5,096,423

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	5,224,872	4,795,283	(429,589)
PS Temporary	69,472	97,379	27,907
Total Personal Service	<u>5,294,344</u>	<u>4,892,662</u>	<u>(401,682)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	101,505	94,403	(7,102)
Supplies and Materials	44,019	35,208	(8,811)
Travel	12,586	12,678	92
Equipment Rental and Repairs	61,339	42,538	(18,801)
Postage and Printing	8,564	7,210	(1,354)
Telecommunications	10,144	6,627	(3,517)
Records Management Services	1,538	0	(1,538)
Other Professional Services	11,399	4,897	(6,502)
In-Part Services	200	200	0
Total Nonpersonal Service	<u>251,294</u>	<u>203,761</u>	<u>(47,533)</u>
 Grand Total	 5,545,638	 5,096,423	 (449,215)

COURTS OF ORIGINAL JURISDICTION
Alternative Dispute Resolution and
Court Improvement Programs

2016-17 Budget Request:	\$9,476,554
<i>Personal Service:</i>	<i>1,437,411</i>
<i>Nonpersonal Service:</i>	<i>8,039,143</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected Alternative Dispute Resolution (ADR) programs in selected courts, Children’s Centers and Court Appointed Special Advocates (CASA).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate’s Court and housing and small claims disputes in City and District Courts. The Children’s Centers provide child-care services for young children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2016-17 Funding Request

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$9.5 million, or a decrease of \$106,916 (-1.1%) from the current year adjusted appropriation.

The personal service request of \$1.4 million represents a decrease of \$263,707 (-15.5 %) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is offset by savings associated with staffing adjustments.

The nonpersonal service request of \$8 million includes contractual funding for statewide dispute resolution programs, child-care services in Family courts and CASA programs throughout the State via a contract with CASANYS. The request represents an increase of \$156,791 (2%) over the current year adjusted appropriation attributable to contractual cost of living adjustments for service providers.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution and Court Improvement Programs

2016-17 Request

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,437,411	\$8,039,143	\$9,476,554
Total:	\$1,437,411	\$8,039,143	\$9,476,554

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,701,118	1,437,411	(263,707)
Total Personal Service	1,701,118	1,437,411	(263,707)
<u>Nonpersonal Service</u>			
Supplies and Materials	3,802	3,853	51
Travel	20,346	20,618	272
Conferences and Training	32,500	32,500	0
Postage and Printing	2,700	2,710	10
Other Professional Services	2,378,663	2,426,237	47,574
ADR/SCAR/Arbitration	5,444,341	5,553,225	108,884
Total Nonpersonal Service	7,882,352	8,039,143	156,791
 Grand Total	 9,583,470	 9,476,554	 (106,916)

**COURTS OF ORIGINAL JURISDICTION
Court Support Services Program**

2016-17 Budget Request:	\$106,093,102
<i>Personal Service:</i>	41,708,046
<i>Nonpersonal Service:</i>	64,385,056
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, criminal disposition reconciliation reporting and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2016-17 Funding Request

The Court Support Services All Funds budget request is \$106.1 million, or an increase of \$6.9 million (6.9%) over the current year adjusted appropriation.

The personal service request of \$41.7 million represents an increase of \$1.5 million (3.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$90,000 for temporary service in support of ongoing operations. Also reflected in the personal service request is \$316,337 in overtime which supports current levels of operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rental and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary’s partnership with the Center for Court Innovation, the preservation of historic records, and grants for lawyer assistance services throughout New York State is also included in the Court Support Services program.

The nonpersonal service request of \$64.4 million is an increase of \$5.4 million (9.1%) over current year funding. Significant contractual increases are noted in legal reference materials (both print and digital), computer assisted legal research (CALR) and real estate rentals. In addition to

contractual increases in real estate rentals, a shift in funding is reflected for space previously paid for by the Court of Claims for shared space in New York City. The increase in information technology services is found in the Judiciary Data Processing Offset Fund which is dedicated to the payment of data processing functions in the Judiciary. As the cost of providing these functions expands, the Judiciary is seeking additional funds from this dedicated revenue source to pay for a larger portion of them. The increase in other professional services supports an initiative the Judiciary is undertaking with the Center for Court Innovation to improve the delivery of Civil Legal Services. Expenditure-based increases are noted in supplies, postage, travel, equipment repairs and telecommunications. An increase in *per diem* interpreter services in the in-part services category reflects the consolidation of administrative *per diem* interpreter services in the Office of Professional and Court Services.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Court Support Services

2016-17 Request

Court Support Services	Personal Service	Nonpersonal Service	Total
Administrative Services	\$5,116,692	\$14,422,313	\$19,539,005
Continuing Legal Education	\$1,047,151	\$40,878	\$1,088,029
Court Interpreter Operations	\$3,523,617	\$545,710	\$4,069,327
Criminal Disposition Reconciliation	\$447,585	\$7,840	\$455,425
Data Processing & Network Support	\$19,390,643	\$24,152,666	\$43,543,309
Div. of Professional&Court Services	\$1,798,485	\$2,997,093	\$4,795,578
Human Resources Administration	\$4,128,924	\$868,200	\$4,997,124
Office of the Inspector General	\$1,390,969	\$20,150	\$1,411,119
Legal Resources	\$512,226	\$20,168,416	\$20,680,642
NYS Courts Access to Justice	\$184,519	\$80,255	\$264,774
Office of Policy and Planning	\$906,058	\$101,950	\$1,008,008
Payroll Operations	\$2,151,229	\$205,000	\$2,356,229
Records Management	\$770,728	\$310,565	\$1,081,293
Undistributed	\$0	\$435,000	\$435,000
Workforce Diversity	\$339,220	\$29,020	\$368,240
Total:	\$41,708,046	\$64,385,056	\$106,093,102

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	39,819,202	41,301,709	1,482,507
PS Temporary	54,000	90,000	36,000
PS Overtime	301,350	316,337	14,987
Total Personal Service	<u>40,174,552</u>	<u>41,708,046</u>	<u>1,533,494</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,252,964	20,104,074	851,110
Supplies and Materials	1,265,155	1,322,113	56,958
Travel	252,787	336,357	83,570
Equipment Rental and Repairs	3,063,469	3,277,705	214,236
Real Estate Rentals	12,093,259	13,108,542	1,015,283
Conferences and Training	119,500	126,000	6,500
Postage and Printing	658,415	679,878	21,463
Telecommunications	6,830,000	6,970,000	140,000
Information Technology Services	10,237,249	12,578,794	2,341,545
Accounting and Auditing Services	75,000	75,000	0
Records Management Services	360,333	373,500	13,167
Other Professional Services	4,322,323	4,896,593	574,270
In-Part Services	503,750	536,500	32,750
Total Nonpersonal Service	<u>59,034,204</u>	<u>64,385,056</u>	<u>5,350,852</u>
Grand Total	<u>99,208,756</u>	<u>106,093,102</u>	<u>6,884,346</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,036,868	20,979,777	942,909
PS Temporary	54,000	90,000	36,000
PS Overtime	185,550	200,475	14,925
Total Personal Service	<u>20,276,418</u>	<u>21,270,252</u>	<u>993,834</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,252,964	20,104,074	851,110
Supplies and Materials	1,263,655	1,320,613	56,958
Travel	251,287	334,857	83,570
Equipment Rental and Repairs	3,063,469	3,277,705	214,236
Real Estate Rentals	12,093,259	13,108,542	1,015,283
Conferences and Training	119,500	126,000	6,500
Postage and Printing	655,537	677,000	21,463
Telecommunications	6,830,000	6,970,000	140,000
Information Technology Services	7,202,249	7,543,794	341,545
Accounting and Auditing Services	75,000	75,000	0
Records Management Services	360,333	373,500	13,167
Other Professional Services	3,322,323	3,896,593	574,270
In-Part Services	503,750	536,500	32,750
Total Nonpersonal Service	<u>54,993,326</u>	<u>58,344,178</u>	<u>3,350,852</u>
 Grand Total	 <u>75,269,744</u>	 <u>79,614,430</u>	 <u>4,344,686</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,012,459	1,047,151	34,692
Total Personal Service	1,012,459	1,047,151	34,692
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Total Nonpersonal Service	40,878	40,878	0
 Grand Total	 1,053,337	 1,088,029	 34,692

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,769,875	19,274,781	504,906
PS Overtime	115,800	115,862	62
Total Personal Service	<u>18,885,675</u>	<u>19,390,643</u>	<u>504,968</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	5,000,000	2,000,000
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>6,000,000</u>	<u>2,000,000</u>
 Grand Total	 22,885,675	 25,390,643	 2,504,968

**COURTS OF ORIGINAL JURISDICTION
Town and Village Program - State Operations**

2016-17 Budget Request: \$4,661,017	
<i>Personal Service:</i>	4,083,016
<i>Nonpersonal Service:</i>	578,001
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program.

Summary of 2016-17 Funding Request

The Town and Village State Operations General Fund budget request is \$4.7 million, or an increase of \$353,140 (8.2%) over the current year adjusted appropriation.

The personal service request of \$4.1 million represents an increase of \$300,346 (7.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$35,673 in temporary service for acting town and village justices required in support of ongoing operations.

The nonpersonal service request is \$578,001 or an increase of \$52,794 (10.1%) over current year funding. This request primarily supports training for local justice court staff and case management support. The increase is attributable to travel, postage and printing, and supplies and materials. The increases reflect the Office of Justice Court Support's efforts to provide support, including materials and texts for online training to local justices and their staff; the increase is offset in part by reductions to repairs of equipment.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Town & Village State Operations Program

2016-17 Request

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$100,000	\$15,528	\$115,528
4th Judicial District	\$78,354	\$4,050	\$82,404
5th Judicial District	\$308,918	\$3,575	\$312,493
6th Judicial District	\$135,221	\$6,029	\$141,250
7th Judicial District	\$201,069	\$10,108	\$211,177
8th Judicial District	\$68,042	\$4,227	\$72,269
9th Judicial District	\$80,776	\$734	\$81,510
Nassau County	\$88,716	\$750	\$89,466
Suffolk County	\$148,703	\$200	\$148,903
Court Support Services	\$2,873,217	\$532,800	\$3,406,017
Total:	\$4,083,016	\$578,001	\$4,661,017

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,728,770	4,043,043	314,273
PS Temporary	52,500	35,673	(16,827)
PS Overtime	1,400	4,300	2,900
Total Personal Service	<u>3,782,670</u>	<u>4,083,016</u>	<u>300,346</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	43,004	49,381	6,377
Travel	98,312	153,674	55,362
Equipment Rental and Repairs	72,419	48,419	(24,000)
Conferences and Training	102,250	102,050	(200)
Postage and Printing	46,722	61,477	14,755
Information Technology Services	162,000	162,500	500
Other Professional Services	500	500	0
Total Nonpersonal Service	<u>525,207</u>	<u>578,001</u>	<u>52,794</u>
Grand Total	4,307,877	4,661,017	353,140

COURTS OF ORIGINAL JURISDICTION
Public Safety Program

2016-17 Budget Request:	\$338,184,872
<i>Personal Service:</i>	304,351,951
<i>Nonpersonal Service:</i>	33,832,921
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2016-17 Funding Request

The Public Safety All Funds budget request is \$338.2 million, or an increase of \$11.3 million (3.5%) over the current year adjusted appropriation.

The personal service request of \$304.4 million represents an increase of \$10.3 million (3.5%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Also reflected in the personal service request is \$11.1 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for the court officer training academies and payments to local sheriff and police departments who provide contractual security in certain upstate localities. Funding in medical services within other professional services supports the background investigations of NYS Court Officer-Trainees. The request also includes funding for supplies and materials, equipment rental and repairs, travel and information technology services.

The nonpersonal service request is \$33.8 million, or an increase of \$994,514 (3%) over current year funding. The largest component of the increase is in security services due to local collective bargaining increases and the annualization of the cost of new recruits in Monroe County. In addition, there are expenditure-based increases in security supplies within supplies and materials, travel, medical services within other professional services and information technology services. These increases are partially offset by expenditure-based reductions in equipment rental and repairs, legal reference/CALR/subscriptions and conferences and training.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Public Safety Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
1st Supreme Civil	\$6,658,931	\$7,860	\$6,666,791
1st Supreme Criminal	\$13,665,483	\$9,000	\$13,674,483
Supreme Bronx	\$18,549,314	\$15,100	\$18,564,414
Supreme Kings	\$20,551,080	\$15,750	\$20,566,830
Supreme Queens	\$15,330,618	\$10,300	\$15,340,918
Supreme Richmond	\$3,886,128	\$2,000	\$3,888,128
Family Court	\$28,939,092	\$26,600	\$28,965,692
Surrogate's Court	\$376,610	\$0	\$376,610
Civil Court	\$20,821,282	\$11,000	\$20,832,282
Criminal Court	\$49,187,828	\$33,000	\$49,220,828
Jury	\$508,019	\$0	\$508,019
County Clerks	\$288,811	\$200	\$289,011
NYC Subtotal:	\$178,763,196	\$130,810	\$178,894,006
3rd Judicial District	\$6,042,382	\$4,631,608	\$10,673,990
4th Judicial District	\$9,963,949	\$434,810	\$10,398,759
5th Judicial District	\$6,621,874	\$3,952,633	\$10,574,507
6th Judicial District	\$7,983,851	\$105,058	\$8,088,909
7th Judicial District	\$0	\$15,720,115	\$15,720,115
8th Judicial District	\$13,541,453	\$4,589,380	\$18,130,833
9th Judicial District	\$20,311,279	\$36,364	\$20,347,643
Nassau County	\$20,952,905	\$102,391	\$21,055,296
Suffolk County	\$23,313,510	\$109,975	\$23,423,485
Outside NYC Subtotal:	\$108,731,203	\$29,682,334	\$138,413,537
Court Support Services	\$16,857,552	\$3,669,777	\$20,527,329
Undistributed	\$0	\$350,000	\$350,000
Total:	\$304,351,951	\$33,832,921	\$338,184,872

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	283,063,281	293,245,613	10,182,332
PS Overtime	10,977,466	11,106,338	128,872
Total Personal Service	<u>294,040,747</u>	<u>304,351,951</u>	<u>10,311,204</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,800	0	(3,800)
Supplies and Materials	516,983	870,927	353,944
Travel	169,805	240,405	70,600
Equipment Rental and Repairs	436,573	395,914	(40,659)
Real Estate Rentals	2,178,965	2,178,930	(35)
Conferences and Training	35,500	32,500	(3,000)
Postage and Printing	38,600	37,250	(1,350)
Telecommunications	31,108	29,244	(1,864)
Information Technology Services	150,000	200,000	50,000
Other Professional Services	431,450	440,108	8,658
Security Services	28,845,623	29,407,643	562,020
Total Nonpersonal Service	<u>32,838,407</u>	<u>33,832,921</u>	<u>994,514</u>
 Grand Total	 326,879,154	 338,184,872	 11,305,718

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	282,661,382	292,956,802	10,295,420
PS Overtime	10,977,466	11,106,338	128,872
Total Personal Service	<u>293,638,848</u>	<u>304,063,140</u>	<u>10,424,292</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,800	0	(3,800)
Supplies and Materials	516,983	870,927	353,944
Travel	169,805	240,405	70,600
Equipment Rental and Repairs	436,573	395,914	(40,659)
Real Estate Rentals	2,178,965	2,178,930	(35)
Conferences and Training	35,500	32,500	(3,000)
Postage and Printing	38,600	37,250	(1,350)
Telecommunications	31,108	29,244	(1,864)
Information Technology Services	150,000	200,000	50,000
Other Professional Services	431,300	439,908	8,608
Security Services	28,845,623	29,407,643	562,020
Total Nonpersonal Service	<u>32,838,257</u>	<u>33,832,721</u>	<u>994,464</u>
 Grand Total	 326,477,105	 337,895,861	 11,418,756

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	401,899	288,811	(113,088)
Total Personal Service	401,899	288,811	(113,088)
<u>Nonpersonal Service</u>			
Other Professional Services	150	200	50
Total Nonpersonal Service	150	200	50
 Grand Total	 402,049	 289,011	 (113,038)

**COURTS OF ORIGINAL JURISDICTION
Maintenance Undistributed Program**

2016-17 Budget Request: \$82,734,560
<i>Personal Service: (14,025,513)</i>
<i>Nonpersonal Service: 96,760,073</i>
<i>Maintenance Undistributed: 0</i>

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This Program includes funding for civil legal services in order to provide counsel to low income New Yorkers in civil cases. In addition, through special revenue funding requests, this program provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives.

Summary of 2016-17 Funding Request

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$82.7 million, or a decrease of \$6.4 million (-7.2%) from the current year adjusted appropriation.

The undistributed personal service request reflects savings that are generated throughout the year in the trial courts as employees leave service and are replaced (-\$23.5 million); funding for lump sum payments associated with employees separating from service (\$7.7 million); and, funding to address shifts in overtime usage (\$1.8 million).

The nonpersonal service request will provide \$85 million to nonprofit agencies in support of civil legal services for indigent persons. Also reflected in the undistributed nonpersonal service request, is funding for travel (\$0.2 million), equipment (\$2.1 million) and other professional services (\$9.5 million). The funding for travel is being held in the Undistributed Program to be provided to courts on an as-needed basis as workload and staffing levels shift. The special revenue funding in other professional services provides appropriation authority for anticipated federal and other grants. The equipment funding will address the ongoing need to replace outdated courthouse equipment; specifically, furniture, technology equipment, security equipment, and facility-related equipment.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Maintenance Undistributed

2016-17 Request

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$8,500,000	\$8,500,000
Miscellaneous Special Revenue Fund	\$0	\$1,000,000	\$1,000,000
General Fund - Undistributed	(\$14,025,513)	\$87,260,073	\$73,234,560
Total:	(\$14,025,513)	\$96,760,073	\$82,734,560

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	7,564,254	(15,874,451)	(23,438,705)
PS Overtime	1,640,745	1,848,938	208,193
Total Personal Service	<u>9,204,999</u>	<u>(14,025,513)</u>	<u>(23,230,512)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	26,000	0	(26,000)
Travel	150,000	150,000	0
Records Management Services	35,000	0	(35,000)
Other Professional Services	79,000,000	94,500,000	15,500,000
Equipment	751,014	2,110,073	1,359,059
Total Nonpersonal Service	<u>79,962,014</u>	<u>96,760,073</u>	<u>16,798,059</u>
Grand Total	89,167,013	82,734,560	(6,432,453)

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	7,564,254	(15,874,451)	(23,438,705)
PS Overtime	1,640,745	1,848,938	208,193
Total Personal Service	<u>9,204,999</u>	<u>(14,025,513)</u>	<u>(23,230,512)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	26,000	0	(26,000)
Travel	150,000	150,000	0
Records Management Services	35,000	0	(35,000)
Other Professional Services	70,000,000	85,000,000	15,000,000
Equipment	751,014	2,110,073	1,359,059
Total Nonpersonal Service	<u>70,962,014</u>	<u>87,260,073</u>	<u>16,298,059</u>
Grand Total	80,167,013	73,234,560	(6,932,453)

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	1,000,000	1,000,000	0
Grand Total	1,000,000	1,000,000	0

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COJ MAINTENANCE UNDISTRIBUTED
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	2,500,000	2,500,000	0
Total Nonpersonal Service	2,500,000	2,500,000	0
Grand Total	2,500,000	2,500,000	0

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COJ MAINTENANCE UNDISTRIBUTED
 FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	6,000,000	500,000
Total Nonpersonal Service	5,500,000	6,000,000	500,000
Grand Total	5,500,000	6,000,000	500,000

COURTS OF ORIGINAL JURISDICTION
Trial Court Administration

2016-17 Budget Request:	\$19,954,503
<i>Personal Service:</i>	17,116,082
<i>Nonpersonal Service:</i>	2,838,421
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations, except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2016-17 Funding Request

The Trial Courts Administration All Funds budget request is \$20 million, or an increase of \$122,976 (0.6%) over the current year adjusted appropriation.

The personal service request of \$17.1 million represents an increase of \$169,428 (1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include postage and printing, equipment rental and repairs, supplies and materials, travel, other professional services and telecommunications. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth and Sixth Judicial Districts.

The nonpersonal service request of \$2.8 million represents a decrease of \$46,452 (-1.6%) from the current year adjusted appropriation. This decrease is principally attributable to the termination of leased space for the Eighth Judicial District administrative office, as well as expenditure-based reductions in equipment rental and repairs and telecommunications. This decrease is partially offset by increased expenditures in records management services, other professional services, postage and printing, travel and information technology services.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Trial Court Administration Program

2016-17 Request

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$503,047	\$274,330	\$777,377
3rd Judicial District	\$1,505,102	\$419,610	\$1,924,712
4th Judicial District	\$1,621,835	\$417,840	\$2,039,675
5th Judicial District	\$1,790,755	\$378,945	\$2,169,700
6th Judicial District	\$1,367,471	\$361,028	\$1,728,499
7th Judicial District	\$1,640,650	\$162,316	\$1,802,966
8th Judicial District	\$2,176,839	\$216,709	\$2,393,548
9th Judicial District	\$2,838,771	\$227,870	\$3,066,641
Nassau County	\$1,879,163	\$169,703	\$2,048,866
Suffolk County	\$1,792,449	\$210,070	\$2,002,519
Outside NYC Subtotal:	\$16,613,035	\$2,564,091	\$19,177,126
Total:	\$17,116,082	\$2,838,421	\$19,954,503

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,939,867	17,110,432	170,565
PS Overtime	6,787	5,650	(1,137)
Total Personal Service	<u>16,946,654</u>	<u>17,116,082</u>	<u>169,428</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,329	1,329	0
Supplies and Materials	204,297	203,831	(466)
Travel	74,689	80,291	5,602
Equipment Rental and Repairs	261,580	256,709	(4,871)
Real Estate Rentals	929,920	849,421	(80,499)
Conferences and Training	9,800	8,550	(1,250)
Postage and Printing	256,386	265,976	9,590
Telecommunications	51,063	46,620	(4,443)
Information Technology Services	27,310	32,450	5,140
Records Management Services	11,306	22,526	11,220
Other Professional Services	56,073	66,598	10,525
Judicial Hearing Officers	12,000	15,000	3,000
Transcripts	1,000	1,000	0
Equipment	988,120	988,120	0
Total Nonpersonal Service	<u>2,884,873</u>	<u>2,838,421</u>	<u>(46,452)</u>
Grand Total	19,831,527	19,954,503	122,976

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,294,429	16,609,710	315,281
PS Overtime	6,725	5,650	(1,075)
Total Personal Service	<u>16,301,154</u>	<u>16,615,360</u>	<u>314,206</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,329	1,329	0
Supplies and Materials	204,297	203,831	(466)
Travel	74,689	80,291	5,602
Equipment Rental and Repairs	261,580	256,709	(4,871)
Real Estate Rentals	929,920	849,421	(80,499)
Conferences and Training	9,800	8,550	(1,250)
Postage and Printing	256,386	265,976	9,590
Telecommunications	51,063	46,620	(4,443)
Information Technology Services	27,310	32,450	5,140
Records Management Services	11,306	22,526	11,220
Other Professional Services	56,073	66,598	10,525
Judicial Hearing Officers	12,000	15,000	3,000
Transcripts	1,000	1,000	0
Equipment	988,120	988,120	0
Total Nonpersonal Service	<u>2,884,873</u>	<u>2,838,421</u>	<u>(46,452)</u>
Grand Total	19,186,027	19,453,781	267,754

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

COJ ADMINISTRATION
 COURT FACILITIES INCENTIVE AID
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	645,438	500,722	(144,716)
PS Overtime	62	0	(62)
Total Personal Service	<u>645,500</u>	<u>500,722</u>	<u>(144,778)</u>
Grand Total	645,500	500,722	(144,778)

MAJOR PURPOSE SUMMARY
Court of Appeals

2016-17 All Funds Budget Request: \$15,682,446
<i>General Fund: 15,682,446</i>
<i>State Special Revenue Funds: 0</i>

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges, is New York’s highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2016-17 Funding Request

The Court of Appeals Major Purpose State Operations General Fund budget request is \$15.7 million, or an increase of \$0.4 million (2.6 %) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$13.8 million represents an increase of \$396,122 (2.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is partially offset by savings associated with turnover.

Also reflected in the personal service request is \$106,000 for overtime which supports current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff. The nonpersonal service request of \$1.9 million is level with current year funding.

**Court of Appeals
Budget Summary - General Fund**

2016-17 Request

Court of Appeals	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$13,829,235	\$1,853,211	\$15,682,446
Total:	\$13,829,235	\$1,853,211	\$15,682,446

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,319,113	13,723,235	404,122
PS Overtime	114,000	106,000	(8,000)
Total Personal Service	<u>13,433,113</u>	<u>13,829,235</u>	<u>396,122</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	445,528	445,528	0
Supplies and Materials	154,595	154,595	0
Travel	378,610	378,610	0
Equipment Rental and Repairs	139,763	139,763	0
Real Estate Rentals	372,500	372,500	0
Conferences and Training	8,235	8,235	0
Postage and Printing	136,608	136,608	0
Telecommunications	73,414	73,414	0
Information Technology Services	22,000	22,000	0
Other Professional Services	91,958	91,958	0
Transcripts	30,000	30,000	0
Total Nonpersonal Service	<u>1,853,211</u>	<u>1,853,211</u>	<u>0</u>
Grand Total	15,286,324	15,682,446	396,122

Court of Appeals 2014 Workload

Court of Appeals	2014
Applications Decided [CPL 460.20(3)(b)]	2,090
Records on Appeal Filed	310
Oral Arguments (Includes Submissions)	192
Appeals Decided	235
Motions Decided	1,300
Judicial Conduct Commission Determinations Reviewed	1
Opinions Published	17,906
Motion Decisions Published	36,541

MAJOR PURPOSE SUMMARY
Appellate Court Operations

2016-17 All Funds Budget Request: \$79,843,703
<i>General Fund: 79,843,703</i>
<i>State Special Revenue Funds: 0</i>

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State’s four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 15 Justices sitting in the First Department, 19 in the Second Department, 9 in the Third Department and 9 in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2016-17 Funding Request

The Appellate Court Operations State Operations General Fund budget request is \$79.8 million, or an increase of \$0.4 million (0.5%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$75.2 million represents an increase of \$378,973 (0.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is partially offset by savings associated with turnover and fewer appellate judicial appointments.

The personal service request also includes \$108,992 for temporary service in the Second Department in support of ongoing operations. Also reflected in the personal service request is a total of \$117,500 for overtime to support current levels of operations in all four departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including: supplies and materials, legal reference materials and online services, equipment rentals and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.6 million reflects an increase of \$18,632 (0.4%) over current year funding. Expenditure-based increases in legal reference and online services, equipment rental and repairs and postage and printing are noted. The increases are offset by cost-saving measures in telecommunications, information technology services and other professional services as well as expenditure-based reductions in records management, travel and conferences and training.

Appellate Court Operations Budget Summary - General Fund

Appellate Divisions and Appellate Terms

2016-17 Request

Appellate Divisions & Appellate Terms			
Appellate Division	Personal Service	Nonpersonal Service	Total
1st Department	\$20,682,187	\$739,295	\$21,421,482
2nd Department	\$26,170,776	\$1,123,077	\$27,293,853
3rd Department	\$11,751,598	\$578,891	\$12,330,489
4th Department	\$11,467,637	\$2,013,620	\$13,481,257
Appellate Division Subtotal:	\$70,072,198	\$4,454,883	\$74,527,081
Appellate Division Undistributed	(\$1,060,827)	\$0	(\$1,060,827)
Appellate Term			
1st Department	\$2,358,783	\$8,700	\$2,367,483
2nd Department	\$3,867,850	\$142,116	\$4,009,966
Appellate Term Subtotal:	\$6,226,633	\$150,816	\$6,377,449
Total:	\$75,238,004	\$4,605,699	\$79,843,703

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	74,536,135	75,011,512	475,377
PS Temporary	196,996	108,992	(88,004)
PS Overtime	125,900	117,500	(8,400)
Total Personal Service	<u>74,859,031</u>	<u>75,238,004</u>	<u>378,973</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,977,862	2,022,347	44,485
Supplies and Materials	312,285	314,981	2,696
Travel	396,632	388,100	(8,532)
Equipment Rental and Repairs	277,317	296,271	18,954
Real Estate Rentals	67,100	70,023	2,923
Conferences and Training	16,000	11,700	(4,300)
Postage and Printing	274,979	289,111	14,132
Telecommunications	111,522	94,396	(17,126)
Information Technology Services	125,000	113,800	(11,200)
Records Management Services	81,510	71,010	(10,500)
Other Professional Services	79,600	66,700	(12,900)
In-Part Services	800	800	0
Judicial Hearing Officers	160,000	160,000	0
Security Services	545,000	545,000	0
Equipment	161,460	161,460	0
Total Nonpersonal Service	<u>4,587,067</u>	<u>4,605,699</u>	<u>18,632</u>
 Grand Total	 79,446,098	 79,843,703	 397,605

Appellate Court Operations 2014 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,718	2,893
2nd Department	3,813	10,379
3rd Department	1,918	1,504
4th Department	1,204	1,219
Total:	9,653	15,995

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	428	323
2nd Department	2,608	2,502
Total:	3,036	2,825

MAJOR PURPOSE SUMMARY
Appellate Auxiliary Operations

2016-17 All Funds Budget:	\$231,999,226
<i>General Fund:</i>	<i>185,477,332</i>
<i>State Special Revenue Funds:</i>	<i>46,521,894</i>

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2016-17 Funding

The Appellate Auxiliary Operations State Operations All Funds budget request is \$232 million or an increase of \$3.4 million (1.5%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$46.1 million represents an increase of \$1,715,900 (3.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$474,925 for temporary service to support ongoing operations for the MHLS Program (\$119,717) and the Attorney Discipline Program (\$355,208). Overtime funding is also included to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: attorney for the child representation provided through both contractual providers and panel attorneys; indigent criminal defense funding for defense providers in New York City; assigned counsel costs for attorney representation under section 35 of the Judiciary Law; transcript costs and other professional services (forensic evaluations) required for administrative hearings and court proceedings for the MHLS, Attorney for the Child and Assigned Counsel Programs; and real estate rentals for the NYS Bar Examination testing sites and for the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for supplies and materials, legal reference materials and online services, records management, and information technology services.

The nonpersonal service request of \$185.9 million reflects an increase of \$1,655,052 (0.9%) over current year funding. The increase supports cost of living adjustments to contractual providers in the attorney for child representation and indigent criminal defense categories. An increase in the other professional services category is for the purchase of examinations for the Uniform Bar Exam from the National Conference of Bar Examiners and for additional grading services in the Candidate Exam program. An expenditure-based increase in other professional services for forensic evaluations in the MHLS program is also noted.

The increase is partially offset by expenditure-based reductions in attorney for child representation via panel attorneys, real estate rentals for test locations in the Candidate Exam program and for assigned counsel representation under section 35 of the Judiciary Law. Expenditure-based reductions are also noted in postage and printing, equipment rentals and repairs and telecommunications.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

2016-17 Request

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$10,494,774	\$4,239,435	\$14,734,209
2nd Department	\$20,020,292	\$17,864,285	\$37,884,577
3rd Department	\$6,258,758	\$14,516,000	\$20,774,758
4th Department	\$8,371,906	\$17,465,865	\$25,837,771
Attorney for the Child Contracts	\$0	\$70,750,695	\$70,750,695
Court of Appeals Assigned Counsel	\$0	\$5,000	\$5,000
Board of Law Examiners	\$1,621,150	\$4,067,000	\$5,688,150
Indigent Criminal Defense	\$0	\$55,619,070	\$55,619,070
Undistributed	(\$627,577)	\$1,332,573	\$704,996
Total:	\$46,139,303	\$185,859,923	\$231,999,226

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	43,743,061	45,647,378	1,904,317
PS Temporary	663,342	474,925	(188,417)
PS Overtime	17,000	17,000	0
Total Personal Service	<u>44,423,403</u>	<u>46,139,303</u>	<u>1,715,900</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	266,293	274,111	7,818
Supplies and Materials	253,397	254,082	685
Travel	515,308	501,700	(13,608)
Equipment Rental and Repairs	384,598	314,935	(69,663)
Real Estate Rentals	3,245,707	2,736,072	(509,635)
Conferences and Training	125,900	122,200	(3,700)
Postage and Printing	317,210	239,549	(77,661)
Telecommunications	160,108	139,201	(20,907)
Information Technology Services	42,560	27,904	(14,656)
Accounting and Auditing Services	100,000	115,000	15,000
Records Management Services	126,450	113,150	(13,300)
Other Professional Services	4,747,782	5,754,092	1,006,310
Attorney for the Child Representation	116,916,329	117,419,078	502,749
Assigned Counsel	2,127,000	1,882,000	(245,000)
Indigent Criminal Defense	54,530,000	55,619,070	1,089,070
In-Part Services	13,560	11,560	(2,000)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	319,669	323,219	3,550
Total Nonpersonal Service	<u>184,204,871</u>	<u>185,859,923</u>	<u>1,655,052</u>
Grand Total	228,628,274	231,999,226	3,370,952

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	28,529,696	30,366,869	1,837,173
PS Temporary	319,980	119,717	(200,263)
PS Overtime	1,000	1,000	0
Total Personal Service	<u>28,850,676</u>	<u>30,487,586</u>	<u>1,636,910</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	165,600	158,502	(7,098)
Supplies and Materials	98,329	107,879	9,550
Travel	395,500	390,000	(5,500)
Equipment Rental and Repairs	93,569	90,816	(2,753)
Real Estate Rentals	1,161,412	1,164,628	3,216
Conferences and Training	110,800	109,500	(1,300)
Postage and Printing	72,248	70,797	(1,451)
Telecommunications	83,858	83,651	(207)
Information Technology Services	20,960	15,004	(5,956)
Records Management Services	47,500	28,100	(19,400)
Other Professional Services	2,663,282	2,718,742	55,460
Attorney for the Child Representation	91,916,329	92,419,078	502,749
Assigned Counsel	2,127,000	1,882,000	(245,000)
Indigent Criminal Defense	54,530,000	55,619,070	1,089,070
In-Part Services	2,560	4,560	2,000
Transcripts	123,419	127,419	4,000
Total Nonpersonal Service	<u>153,612,366</u>	<u>154,989,746</u>	<u>1,377,380</u>
Grand Total	182,463,042	185,477,332	3,014,290

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	15,213,365	15,280,509	67,144
PS Temporary	343,362	355,208	11,846
PS Overtime	16,000	16,000	0
Total Personal Service	<u>15,572,727</u>	<u>15,651,717</u>	<u>78,990</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	100,693	115,609	14,916
Supplies and Materials	155,068	146,203	(8,865)
Travel	119,808	111,700	(8,108)
Equipment Rental and Repairs	291,029	224,119	(66,910)
Real Estate Rentals	2,084,295	1,571,444	(512,851)
Conferences and Training	15,100	12,700	(2,400)
Postage and Printing	244,962	168,752	(76,210)
Telecommunications	76,250	55,550	(20,700)
Information Technology Services	21,600	12,900	(8,700)
Accounting and Auditing Services	100,000	115,000	15,000
Records Management Services	78,950	85,050	6,100
Other Professional Services	2,084,500	3,035,350	950,850
In-Part Services	11,000	7,000	(4,000)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	196,250	195,800	(450)
Total Nonpersonal Service	<u>5,592,505</u>	<u>5,870,177</u>	<u>277,672</u>
 Grand Total	 <u>21,165,232</u>	 <u>21,521,894</u>	 <u>356,662</u>

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

APPELLATE AUXILIARY OPERATIONS
 INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations 2014 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	7,188	16
Children's Law Center NYC	8,902	49
Children's Rights Society	2,426	0
Citizens Concerned for Children	1,091	0
Genesee County Public Defender	47	0
Lawyers For Children	6,379	10
Legal Aid Society NYC	32,221	189
Legal Aid Society Rochester	4,430	9
Legal Aid Society Rockland	2,537	5
Legal Aid Society Suffolk	12,741	46
Sullivan Trail Legal Aid	1,426	2
Clinton County	1,010	0
Franklin County	429	0
Fulton County	282	0
Otsego County	343	0
Schuyler County	145	0
Children's Law Center Erie	2,584	4
Total:	84,181	330

Appellate Auxiliary Operations 2014 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	35,139	48,517
2nd Department	100,489	200,119
3rd Department	29,539	43,188
4th Department	49,973	64,167
Total:	215,140	355,991

Candidate Examination

State Board of Law Examiners	2014
Candidates for Examination	17,010
Individuals with Disabilities Applicants	389
Applicants for Certification	856
MPRE Scores Transferred In	12,379
Foreign Evaluations	3,753

Appellate Auxiliary Operations 2014 Workload

Candidate Fitness

Department	Applications for Admissions
1st Department	3,329
2nd Department	2,931
3rd Department	4,171
4th Department	362
Total:	10,793

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	2,837	2,949
2nd Department	5,481	5,078
3rd Department	3,885	2,913
4th Department	2,124	1,951
Total:	14,327	12,891

**APPELLATE AUXILIARY OPERATIONS
Assigned Counsel Program**

2016-17 Budget Request:	\$57,634,070
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	57,634,070
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for legal services and forensic evaluations required by section 35 of the Judiciary Law.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County-Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2016-17 Funding Request

The Assigned Counsel General Fund budget request is \$57.6 million or an increase of \$754,070 (1.3%) over the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

The increase of \$754,070 is attributable to cost of living adjustments for indigent criminal defense providers in New York City. The increase is partially offset by an expenditure-based decrease for attorney representation and forensic evaluation costs in matters related to section 35 of the Judiciary Law.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

2016-17 Request

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$225,000	\$225,000
2nd Department	\$0	\$650,000	\$650,000
3rd Department	\$0	\$75,000	\$75,000
4th Department	\$0	\$900,000	\$900,000
Court of Appeals	\$0	\$5,000	\$5,000
Indigent Criminal Defense	\$0	\$55,619,070	\$55,619,070
Undistributed	\$0	\$160,000	\$160,000
Total:	\$0	\$57,634,070	\$57,634,070

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	220,000	130,000	(90,000)
Assigned Counsel	2,127,000	1,882,000	(245,000)
Indigent Criminal Defense	54,530,000	55,619,070	1,089,070
Transcripts	3,000	3,000	0
Total Nonpersonal Service	<u>56,880,000</u>	<u>57,634,070</u>	<u>754,070</u>
 Grand Total	 56,880,000	 57,634,070	 754,070

**APPELLATE AUXILIARY OPERATIONS
Attorney for the Child Program**

2016-17 Budget Request: \$121,123,241	
<i>Personal Service:</i>	2,288,172
<i>Nonpersonal Service:</i>	118,835,069
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for legal representation to children in Family Court matters.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. This legal representation is provided through the Attorney for the Child Program with oversight by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Summary of 2016-17 Funding Request

The Attorney for the Child All Funds budget request is \$121.1 million or an increase of \$0.7 million (0.6%) over the current year adjusted appropriation.

The personal service request of \$2.3 million represents an increase of \$188,162 (9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The nonpersonal service budget primarily supports payments to legal service providers and individual panel attorneys who provide legal representation to children (Attorney for Child Representation), and includes related expenses such as forensic evaluations (other professional services) and transcripts. Funding also includes statutorily mandated training (conferences and training) as well as litigation support and legal reference materials for these attorneys.

The nonpersonal service request of \$118.8 million reflects an increase of \$672,960 (0.6%) over current year funding. The increase is primarily attributable to cost of living adjustments for attorney for children contractual providers. Also noted are expenditure-based increases in conferences and training and transcript costs. The increase is partially offset by expenditure-based reductions in attorney for child representation via panel attorney payments, records management services and other professional services for forensic evaluations.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Attorney for the Child Program

2016-17 Request

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$319,904	\$3,330,300	\$3,650,204
2nd Department	\$761,420	\$15,004,000	\$15,765,420
3rd Department	\$632,049	\$13,448,027	\$14,080,076
4th Department	\$574,799	\$15,129,474	\$15,704,273
Attorney for the Child Contracts	\$0	\$70,750,695	\$70,750,695
Undistributed	\$0	\$1,172,573	\$1,172,573
Total:	\$2,288,172	\$118,835,069	\$121,123,241

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,099,010	2,287,172	188,162
PS Overtime	1,000	1,000	0
Total Personal Service	<u>2,100,010</u>	<u>2,288,172</u>	<u>188,162</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,300	3,300	(1,000)
Supplies and Materials	11,950	13,250	1,300
Travel	27,000	26,500	(500)
Equipment Rental and Repairs	11,945	9,045	(2,900)
Real Estate Rentals	62,712	62,712	0
Conferences and Training	103,000	106,000	3,000
Postage and Printing	17,035	14,684	(2,351)
Telecommunications	3,700	3,700	0
Information Technology Services	900	900	0
Records Management Services	11,500	1,000	(10,500)
Other Professional Services	1,127,900	1,117,900	(10,000)
Attorney for the Child Representation	116,916,329	117,419,078	502,749
In-Part Services	1,000	1,000	0
Transcripts	51,000	56,000	5,000
Total Nonpersonal Service	<u>118,350,271</u>	<u>118,835,069</u>	<u>484,798</u>
Grand Total	120,450,281	121,123,241	672,960

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,099,010	2,287,172	188,162
PS Overtime	1,000	1,000	0
Total Personal Service	<u>2,100,010</u>	<u>2,288,172</u>	<u>188,162</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,300	3,300	(1,000)
Supplies and Materials	11,950	13,250	1,300
Travel	27,000	26,500	(500)
Equipment Rental and Repairs	11,945	9,045	(2,900)
Real Estate Rentals	62,712	62,712	0
Conferences and Training	103,000	106,000	3,000
Postage and Printing	17,035	14,684	(2,351)
Telecommunications	3,700	3,700	0
Information Technology Services	900	900	0
Records Management Services	11,500	1,000	(10,500)
Other Professional Services	1,127,900	1,117,900	(10,000)
Attorney for the Child Representation	91,916,329	92,419,078	502,749
In-Part Services	1,000	1,000	0
Transcripts	51,000	56,000	5,000
Total Nonpersonal Service	<u>93,350,271</u>	<u>93,835,069</u>	<u>484,798</u>
Grand Total	95,450,281	96,123,241	672,960

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

ATTORNEY FOR THE CHILD
 INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

**APPELLATE AUXILIARY OPERATIONS
Mental Hygiene Legal Service Program**

2016-17 Budget Request:	\$32,127,259
<i>Personal Service:</i>	28,606,652
<i>Nonpersonal Service:</i>	3,520,607
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2016-17 Funding Request

The MHLS General Fund budget request is \$32.1 million or an increase of \$1.7 million (5.4%) over the current year adjusted appropriation.

The personal service request of \$28.6 million represents an increase of \$1,448,748 (5.3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$119,717 in temporary service for attorneys and support staff in the Second, Third and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.5 million reflects an increase of \$202,552 (6.1%) over current year funding. The increase is primarily attributable to a growing need for expert witnesses and forensic evaluations related to sex offender civil confinement litigation. Expenditure-based increases in supplies and materials and travel are also noted. The overall increase is partially offset by expenditure-based reductions in real estate rentals, records management and legal reference.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Service Program

2016-17 Request

Mental Hygiene Legal Service	Personal Service	Nonpersonal Service	Total
1st Department	\$5,760,077	\$397,600	\$6,157,677
2nd Department	\$12,683,973	\$1,333,639	\$14,017,612
3rd Department	\$4,347,644	\$693,388	\$5,041,032
4th Department	\$5,814,958	\$1,095,980	\$6,910,938
Total:	\$28,606,652	\$3,520,607	\$32,127,259

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	26,837,924	28,486,935	1,649,011
PS Temporary	319,980	119,717	(200,263)
Total Personal Service	<u>27,157,904</u>	<u>28,606,652</u>	<u>1,448,748</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	161,300	155,202	(6,098)
Supplies and Materials	86,279	94,629	8,350
Travel	357,500	363,500	6,000
Equipment Rental and Repairs	80,224	81,771	1,547
Real Estate Rentals	1,098,700	1,101,916	3,216
Conferences and Training	3,800	3,500	(300)
Postage and Printing	54,413	56,113	1,700
Telecommunications	80,158	79,951	(207)
Information Technology Services	13,860	14,104	244
Records Management Services	34,000	27,100	(6,900)
Other Professional Services	1,276,842	1,470,842	194,000
In-Part Services	1,560	3,560	2,000
Transcripts	69,419	68,419	(1,000)
Total Nonpersonal Service	<u>3,318,055</u>	<u>3,520,607</u>	<u>202,552</u>
Grand Total	30,475,959	32,127,259	1,651,300

**APPELLATE AUXILIARY OPERATIONS
Candidate Examination Program**

2016-17 Budget Request:	\$5,688,150
<i>Personal Service:</i>	<i>1,621,150</i>
<i>Nonpersonal Service:</i>	<i>4,067,000</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: Applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. Applicants who are admitted to practice in a reciprocal jurisdiction, have graduated from an ABA approved law school and have practiced for five of the preceding seven years may be admitted on motion without examination.

The State Board of Law Examiners develops and administers the bar examination twice annually. Beginning in July 2016, as adopted by the Court of Appeals, the Uniform Bar Examination (UBE) will be administered in New York. The UBE is a high quality, uniform battery of tests that are administered simultaneously in the UBE jurisdictions. Applicants will also be required to complete an online course and pass an online examination on New York law, as a requirement for admission.

The Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness program.

Summary of 2016-17 Funding Request

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.7 million or an increase of \$263,217 (4.9%) over the current year adjusted appropriation.

The personal service request of \$1.6 million represents a slight decrease of \$26,783 (-1.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments and longevity bonuses, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is offset by savings associated with turnover.

The personal service budget also includes \$16,000 for overtime to support current levels of operations.

The nonpersonal service funding supports expenses associated with the administration of the Bar Examination including: other professional services for fees paid to the national association for the exam, consultant fees, security costs and proctor and grader payments; real estate rentals for testing locations and accounting and auditing services for processing credit card payments.

The nonpersonal service request of \$4.1 million reflects an increase of \$290,000 (7.7%) over the current year adjusted appropriation. The increase is attributable to other professional services for the purchase of UBE examinations from the National Conference of Bar Examiners and for additional grading services. The increase is partially offset by an expenditure-based reduction in real estate rentals for test locations as well as cost-saving initiatives for postage and printing, equipment rental and repairs, telecommunications and supplies and materials.

The Candidate Examination program collects revenue of approximately \$6.9 million annually in Bar Examination fees which is deposited into the General Fund.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Examination Program

2016-17 Request

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$1,621,150	\$4,067,000	\$5,688,150
Total:	\$1,621,150	\$4,067,000	\$5,688,150

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,631,933	1,605,150	(26,783)
PS Overtime	16,000	16,000	0
Total Personal Service	<u>1,647,933</u>	<u>1,621,150</u>	<u>(26,783)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	42,000	23,000	(19,000)
Travel	50,000	47,000	(3,000)
Equipment Rental and Repairs	178,000	125,000	(53,000)
Real Estate Rentals	1,300,000	775,000	(525,000)
Postage and Printing	137,500	57,500	(80,000)
Telecommunications	50,000	32,000	(18,000)
Information Technology Services	10,000	7,500	(2,500)
Accounting and Auditing Services	100,000	115,000	15,000
Other Professional Services	1,899,500	2,880,000	980,500
In-Part Services	10,000	5,000	(5,000)
Total Nonpersonal Service	<u>3,777,000</u>	<u>4,067,000</u>	<u>290,000</u>
 Grand Total	 5,424,933	 5,688,150	 263,217

APPELLATE AUXILIARY OPERATIONS
Candidate Fitness Program

2016-17 Budget Request: \$1,168,723	
<i>Personal Service:</i>	979,973
<i>Nonpersonal Service:</i>	188,750
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2016-17 Funding Request

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.2 million or an increase of \$70,358 (6.4%) over the current year adjusted appropriation.

The personal service request of \$979,973 represents an increase of \$80,962 (9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, supplies and materials and equipment rental and repairs.

The nonpersonal service request of \$188,750 reflects a decrease of \$10,604 (-5.3%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in other professional services, postage and printing, and equipment rentals and repairs.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Fitness Program

2016-17 Request

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$432,815	\$37,235	\$470,050
2nd Department	\$547,158	\$47,050	\$594,208
3rd Department	\$0	\$92,300	\$92,300
4th Department	\$0	\$12,165	\$12,165
Total:	\$979,973	\$188,750	\$1,168,723

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	899,011	979,973	80,962
Total Personal Service	899,011	979,973	80,962
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	100	100	0
Supplies and Materials	13,436	12,450	(986)
Travel	3,008	2,400	(608)
Equipment Rental and Repairs	7,500	6,100	(1,400)
Real Estate Rentals	135	135	0
Conferences and Training	4,700	4,300	(400)
Postage and Printing	49,925	47,915	(2,010)
Telecommunications	2,600	2,600	0
Information Technology Services	400	400	0
Records Management Services	38,700	51,300	12,600
Other Professional Services	56,600	34,250	(22,350)
Transcripts	22,250	26,800	4,550
Total Nonpersonal Service	199,354	188,750	(10,604)
Grand Total	1,098,365	1,168,723	70,358

**APPELLATE AUXILIARY OPERATIONS
Attorney Discipline Program**

2016-17 Budget Request: \$14,885,360	
<i>Personal Service:</i>	13,270,933
<i>Nonpersonal Service:</i>	1,614,427
<i>Maintenance Undistributed:</i>	0

Program Description

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2016-17 Funding Request

The Attorney Discipline Special Revenue Fund budget request is \$14.9 million or a slight increase of \$34,687 (0.2%) over the current year adjusted appropriation.

The personal service request of \$13.3 million represents an increase of \$24,811 (0.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017.

The personal service request also includes \$355,208 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services; supplies and materials.

The nonpersonal service request of \$1.6 million reflects a slight increase of \$9,876 (0.6%) over current year funding. The increase is attributable to cost-based increases in real estate leases and legal reference materials and online services as well as expenditure-based increases in supplies and materials and postage. The increases are offset by expenditure-based decreases in equipment rental and repairs, information technology services, transcripts and records management services.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Attorney Discipline Program

2016-17 Request

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$3,981,978	\$249,300	\$4,231,278
2nd Department	\$6,027,741	\$829,596	\$6,857,337
3rd Department	\$1,279,065	\$207,285	\$1,486,350
4th Department	\$1,982,149	\$328,246	\$2,310,395
Total:	\$13,270,933	\$1,614,427	\$14,885,360

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,902,760	12,915,725	12,965
PS Temporary	343,362	355,208	11,846
Total Personal Service	<u>13,246,122</u>	<u>13,270,933</u>	<u>24,811</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	100,593	115,509	14,916
Supplies and Materials	99,432	110,753	11,321
Travel	65,800	62,300	(3,500)
Equipment Rental and Repairs	103,329	93,019	(10,310)
Real Estate Rentals	784,160	796,309	12,149
Conferences and Training	8,400	8,400	0
Postage and Printing	57,537	63,337	5,800
Telecommunications	23,650	20,950	(2,700)
Information Technology Services	11,000	5,000	(6,000)
Records Management Services	39,250	33,750	(5,500)
Other Professional Services	123,400	121,100	(2,300)
In-Part Services	1,000	2,000	1,000
Judicial Hearing Officers	13,000	13,000	0
Transcripts	174,000	169,000	(5,000)
Total Nonpersonal Service	<u>1,604,551</u>	<u>1,614,427</u>	<u>9,876</u>
Grand Total	14,850,673	14,885,360	34,687

MAJOR PURPOSE SUMMARY
Administration and General Support

2016-17 All Funds Budget Request: \$21,462,619
<i>General Fund: 18,398,626</i>
<i>State Special Revenue Funds: 3,063,993</i>

Major Purpose Description

The Administration and General Support Major Purpose requests funding to provide management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, media relations and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed among the Lawyer’s Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State’s obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full State assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff who manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2016-17 Funding Request

The Administration and General Support Major Purpose State Operations All Funds budget request is \$21.5 million, or an increase of \$0.6 million (3.1%) over the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$18.9 million represents an increase of \$494,806 (2.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. Additionally, funding is included for a one-time lump sum payment of \$750 for eligible employees in active status on March 31, 2017. The net increase in these funding requirements is partially offset by savings associated with turnover.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: supplies, travel, postage, printing, and conferences. Also reflected in accounting and auditing services is the payment of credit card fees associated with online payment of attorney registration fees.

The nonpersonal service request is \$2.5 million, or an increase of \$147,452 (6.2%) over current year funding. Significant increases are reflected in travel, information technology services and accounting and auditing services. The increase in travel is expenditure-based. The increase in information technology services is partially related to the temporary use of consultant services to develop a content management system for the UCS public web site; the balance of the increase is offset by a decrease in rentals of equipment which reflects a correction in budgeting for the UCS' Google search engine. The increase in accounting and auditing services relates to the continued increase in credit card usage by attorneys paying attorney registration fees. Also noted is the elimination of funding for in-part services to reflect the consolidation of administrative *per diem* interpreter services in the Office of Professional and Court Services in the Courts of Original Jurisdiction Major Purpose.

**Administration and General Support
Budget Summary - All Funds**

2016-17 Request

Administration & General Support	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$743,751	\$120,900	\$864,651
Communications	\$500,877	\$10,810	\$511,687
Counsel Activities	\$3,257,629	\$31,215	\$3,288,844
Deputy Chief Administrative Judges	\$1,832,880	\$46,115	\$1,878,995
Division of Financial Management	\$2,058,618	\$44,785	\$2,103,403
Executive Direction	\$1,681,832	\$163,000	\$1,844,832
Internal Audit Services	\$1,884,857	\$140,100	\$2,024,957
Judicial Institute Education & Training	\$774,983	\$794,500	\$1,569,483
Jury, Court Statistics & Operations	\$1,407,468	\$41,500	\$1,448,968
HR Civil Service & Labor Administration	\$1,991,257	\$192,400	\$2,183,657
Public Affairs	\$361,297	\$21,775	\$383,072
Attorney Registration	\$948,893	\$883,609	\$1,832,502
Court Facilities Administration	\$1,220,491	\$11,000	\$1,231,491
Web Design	\$488,177	\$39,200	\$527,377
Undistributed	(\$231,300)	\$0	(\$231,300)
Total:	\$18,921,710	\$2,540,909	\$21,462,619

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,413,054	18,905,160	492,106
PS Overtime	13,850	16,550	2,700
Total Personal Service	<u>18,426,904</u>	<u>18,921,710</u>	<u>494,806</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	13,238	26,435	13,197
Supplies and Materials	117,745	116,415	(1,330)
Travel	558,500	613,500	55,000
Equipment Rental and Repairs	62,909	25,509	(37,400)
Real Estate Rentals	90,500	83,000	(7,500)
Conferences and Training	268,000	273,100	5,100
Postage and Printing	315,000	312,750	(2,250)
Information Technology Services	43,450	101,335	57,885
Accounting and Auditing Services	570,000	630,000	60,000
Records Management Services	50,000	50,000	0
Other Professional Services	247,250	265,000	17,750
In-Part Services	15,000	0	(15,000)
ADR/SCAR/Arbitration	10,000	10,000	0
Transcripts	31,865	33,865	2,000
Total Nonpersonal Service	<u>2,393,457</u>	<u>2,540,909</u>	<u>147,452</u>
Grand Total	20,820,361	21,462,619	642,258

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,484,038	16,745,776	261,738
PS Overtime	3,850	6,550	2,700
Total Personal Service	<u>16,487,888</u>	<u>16,752,326</u>	<u>264,438</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	13,238	26,435	13,197
Supplies and Materials	101,745	102,915	1,170
Travel	550,250	605,250	55,000
Equipment Rental and Repairs	59,450	22,050	(37,400)
Real Estate Rentals	90,500	83,000	(7,500)
Conferences and Training	268,000	273,100	5,100
Postage and Printing	134,100	103,350	(30,750)
Information Technology Services	43,450	101,335	57,885
Accounting and Auditing Services	20,000	20,000	0
Other Professional Services	247,250	265,000	17,750
In-Part Services	15,000	0	(15,000)
ADR/SCAR/Arbitration	10,000	10,000	0
Transcripts	31,865	33,865	2,000
Total Nonpersonal Service	<u>1,584,848</u>	<u>1,646,300</u>	<u>61,452</u>
 Grand Total	 18,072,736	 18,398,626	 325,890

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	843,833	938,893	95,060
PS Overtime	10,000	10,000	0
Total Personal Service	<u>853,833</u>	<u>948,893</u>	<u>95,060</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	12,500	10,000	(2,500)
Travel	750	750	0
Equipment Rental and Repairs	3,459	3,459	0
Postage and Printing	180,900	209,400	28,500
Accounting and Auditing Services	550,000	610,000	60,000
Records Management Services	50,000	50,000	0
Total Nonpersonal Service	<u>797,609</u>	<u>883,609</u>	<u>86,000</u>
 Grand Total	 1,651,442	 1,832,502	 181,060

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,085,183	1,220,491	135,308
Total Personal Service	1,085,183	1,220,491	135,308
<u>Nonpersonal Service</u>			
Supplies and Materials	3,500	3,500	0
Travel	7,500	7,500	0
Total Nonpersonal Service	11,000	11,000	0
 Grand Total	 1,096,183	 1,231,491	 135,308

MAJOR PURPOSE SUMMARY
Lawyers' Fund for Client Protection

2016-17 All Funds Budget Request: \$10,805,861	
<i>General Fund:</i>	<i>0</i>
<i>Lawyers' Fund for Client Protection:</i>	<i>10,805,861</i>

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection. The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2016-17 Funding Request:

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$10.8 million, or an increase of \$23,248 (0.2%) over the current year adjusted appropriation.

Personal Service

The personal service request of \$507,416 represents an increase of \$17,203 (3.5%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for the payment of salary increases and longevity bonuses for eligible employees, as approved by the Board of Trustees.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$10.3 million, or an increase of \$6,045 (0.1%) over current year funding. This inflationary increase supports current levels of operation.

**Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund**

2016-17 Request

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$507,416	\$10,298,445	\$10,805,861
Total:	\$507,416	\$10,298,445	\$10,805,861

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	490,213	507,416	17,203
Total Personal Service	490,213	507,416	17,203
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	9,500	8,000	(1,500)
Travel	26,000	25,000	(1,000)
Equipment Rental and Repairs	14,000	13,000	(1,000)
Real Estate Rentals	43,200	44,605	1,405
Postage and Printing	17,500	18,000	500
Telecommunications	6,000	6,000	0
Other Professional Services	10,166,200	10,173,840	7,640
Total Nonpersonal Service	10,292,400	10,298,445	6,045
 Grand Total	 10,782,613	 10,805,861	 23,248

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2016-17

Part II

Aid to Localities

MAJOR PURPOSE SUMMARY
Aid To Localities

2016-17 All Funds Budget Request: \$107,394,619
<i>General Fund: 2,445,584</i>
<i>Court Facilities Incentive Aid Fund: 104,949,035</i>

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2016-17 Funding

The Aid to Localities All Funds budget request is \$107.4 million. This reflects an increase of \$749,035 (0.7%) over the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$107.4 million, reflecting an increase of \$1,036,618 in Cleaning and Minor Repairs, offset by a slight decrease of \$287,583 in Interest Aid.

**Aid to Localities
Budget Summary - All Funds**

2016-17 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$104,949,035	\$104,949,035
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$107,394,619	\$107,394,619

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,547,937	14,260,354	(287,583)
State Supported Facilities	14,686,489	14,686,489	0
Cleaning and Repairs	74,965,574	76,002,192	1,036,618
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	<u>106,645,584</u>	<u>107,394,619</u>	<u>749,035</u>
Grand Total	106,645,584	107,394,619	749,035

AID TO LOCALITIES
Court Facilities Incentive Aid Program

2016-17 Budget Request:	\$104,949,035
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	0
<i>Maintenance Undistributed:</i>	104,949,035

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2016-17 Funding Request

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$104.9 million. This is an increase of \$749,035 (0.7%) over the current year appropriation. An increase of \$1,036,618 in Cleaning and Minor Repairs is offset by a slight decrease of \$287,583 in Interest Aid.

The maintenance undistributed request reflects \$14.2 million for interest aid subsidies, \$76 million for reimbursement of court cleaning and minor repair expenses, and \$14.7 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

2016-17 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$104,949,035	\$104,949,035
Total:	\$104,949,035	\$104,949,035

State of New York - Judiciary
Budget Summary for Fiscal Year 2016-2017

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	14,547,937	14,260,354	(287,583)
State Supported Facilities	14,686,489	14,686,489	0
Cleaning and Repairs	74,965,574	76,002,192	1,036,618
Total Maintenance Undistributed	<u>104,200,000</u>	<u>104,949,035</u>	<u>749,035</u>
Grand Total	104,200,000	104,949,035	749,035

**AID TO LOCALITIES
Justice Court Assistance Program**

2016-17 Budget Request:	\$2,445,584
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	0
<i>Maintenance Undistributed:</i>	2,445,584

Program Description

This Program provides funding for State assistance to Town and Village Justice courts.

Justice Court Assistance Program (“JCAP”): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their online legal reference capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually provided to applicant towns and villages.

Summary of 2016-17 Funding Request

The Aid to Localities Justice Court Assistance Program budget request is \$2.4 million. There is no change from the current year appropriation.

The maintenance undistributed request is \$2.4 million which will be distributed to local justice courts through this program.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

2016-17 Request

Justice Court Assistance	Maintenance Undistributed	Total
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$2,445,584	\$2,445,584

State of New York - Judiciary
 Budget Summary for Fiscal Year 2016-2017

AID TO LOCALITIES
 LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	2,445,584	2,445,584	0
Grand Total	2,445,584	2,445,584	0

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2016-17

Part III
Capital Projects

The 2016-17 Judiciary budget request does not include any new appropriations for capital projects. It does seek to reappropriate capital funds originally appropriated in fiscal 2007-08 for the development of a Court Officer Training Academy in Kings County.

Court Officer Academy in Kings County

One of the primary recommendations of the Task Force on Court Security—convened by the Chief Judge and Chief Administrative Judge in the aftermath of September 11, 2001—was the expansion of training for court security officers by establishing appropriate residential facilities similar to those in use by the Department of Corrections and the State Police. Such facilities are necessary because of the unique security environments in which court officers operate and because of certain insufficiencies in the Judiciary’s existing nonresidential program. Residential facilities would resolve many operational difficulties and would also facilitate court officer recruitment. In addition, the creation of a residential training facility will assist the court system in meeting the established training requirements under chapter 491 of the Laws of 2010.

Chapter 548 of the Laws of 2006 provided for the construction or acquisition of a Court Officer Training Academy to be located on specified property within Kings County. The Judiciary, with the assistance of the Dormitory Authority of the State of New York, has entered into a 49-year lease with a nonprofit entity for the purpose of renovating a former school complex into a state-of-the-art Court Officer Training Academy in Kings County. The project entered the construction phase in early Spring of 2014 and is scheduled for completion in 2017. Funds needed for this project are estimated at \$51 million.